

Combinatorics

Existence Problems

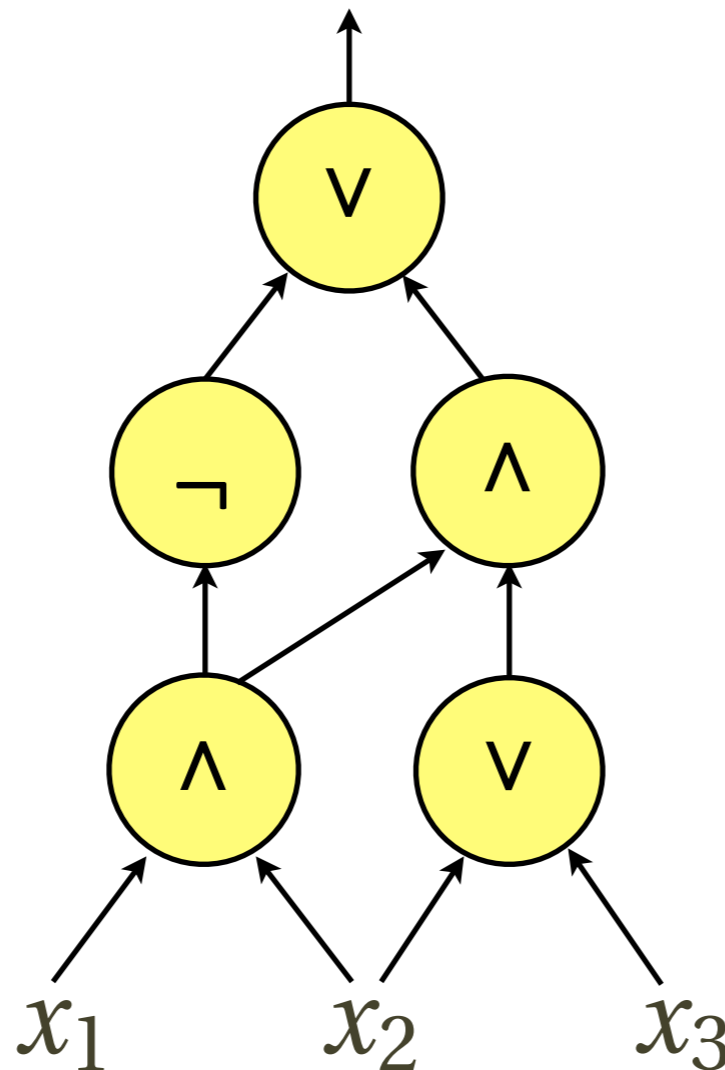
尹一通 Nanjing University, 2023 Spring

Counting Argument

Circuit Complexity

Boolean function $f : \{0,1\}^n \rightarrow \{0,1\}$

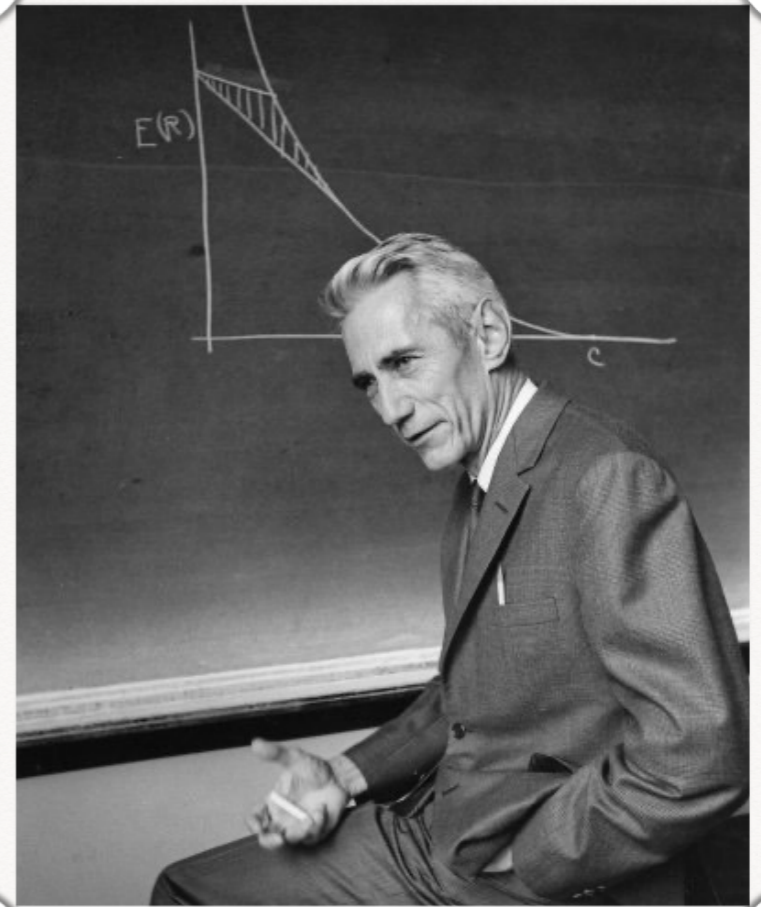
Boolean
circuit



- **DAG**
(directed acyclic graph)
- Nodes:
 - inputs: x_1, \dots, x_n
 - gates: $\wedge \vee \neg$
- Complexity: #gates

Theorem (Shannon 1949)

There is a boolean function $f: \{0,1\}^n \rightarrow \{0,1\}$ which cannot be computed by any circuit with $\frac{2^n}{3n}$ gates.



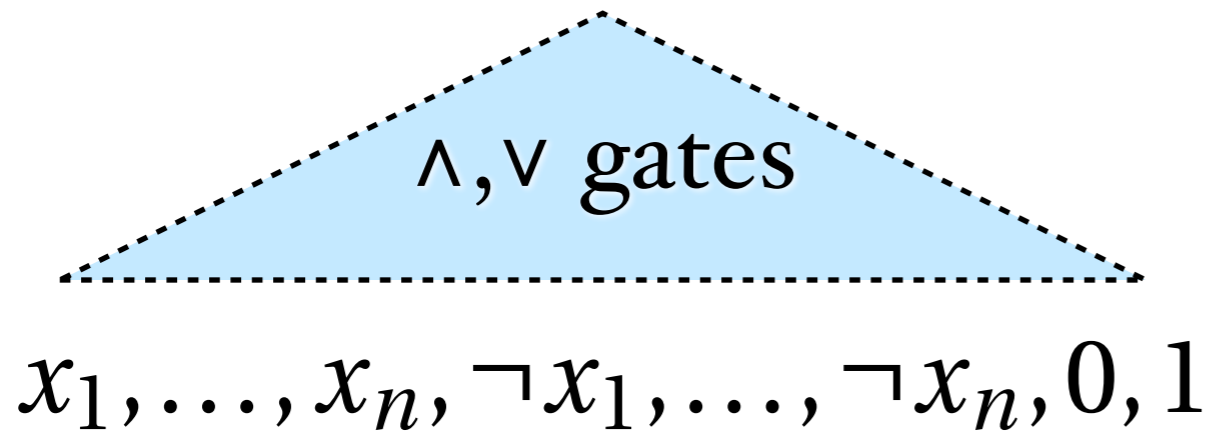
**Claude Shannon
(1916–2001)**

of $f: \{0,1\}^n \rightarrow \{0,1\}$

$$|\{0,1\}^n \rightarrow \{0,1\}| = 2^{2^n}$$

of circuits with t gates:

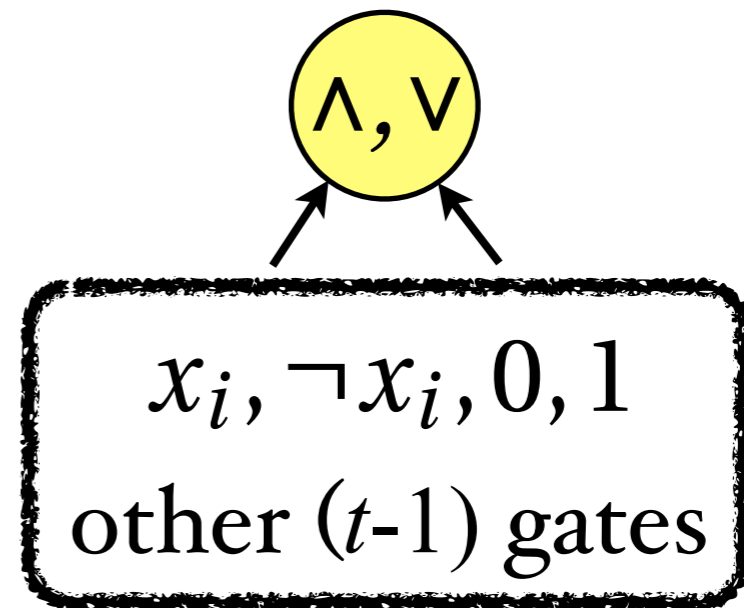
$$< 2^t(2n + t + 1)^{2t}$$



De Morgan's law:

$$\neg(A \vee B) = \neg A \wedge \neg B$$

$$\neg(A \wedge B) = \neg A \vee \neg B$$



Theorem (Shannon 1949)

Almost all

~~There is a~~ boolean function $f: \{0,1\}^n \rightarrow \{0,1\}$
which cannot be computed by any circuit
with $\frac{2^n}{3n}$ gates.

one circuit computes one function

f computable by t gates \leq

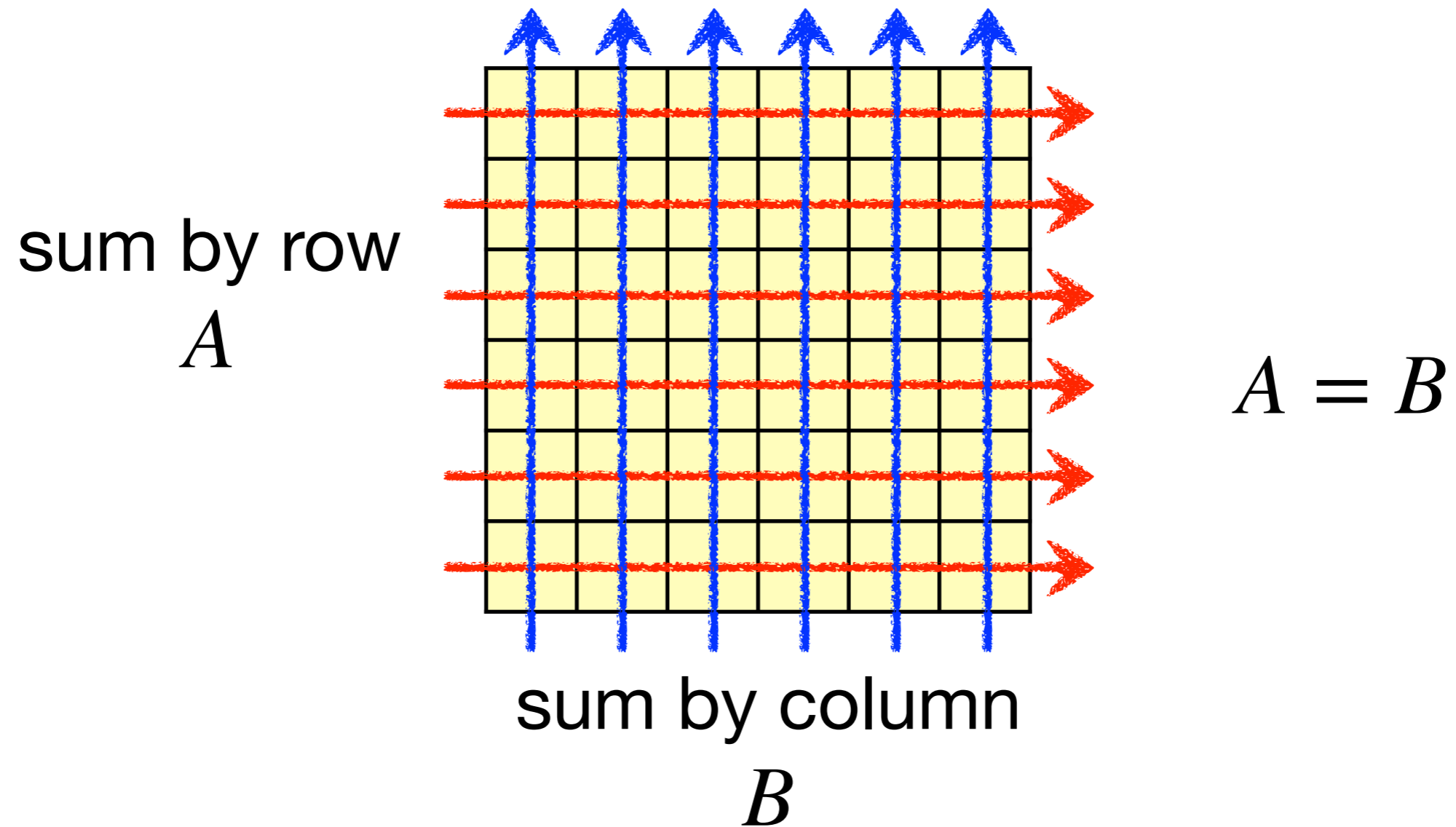
#circuits with t gates \leq

$$< 2^t(2n + t + 1)^{2t} \ll 2^{2^n} = \#f$$

$$\text{for } t \leq \frac{2^n}{3n}$$

Double Counting

*“Count the same thing twice.
The result will be the same.”*



Handshaking Lemma

A party of n guests.

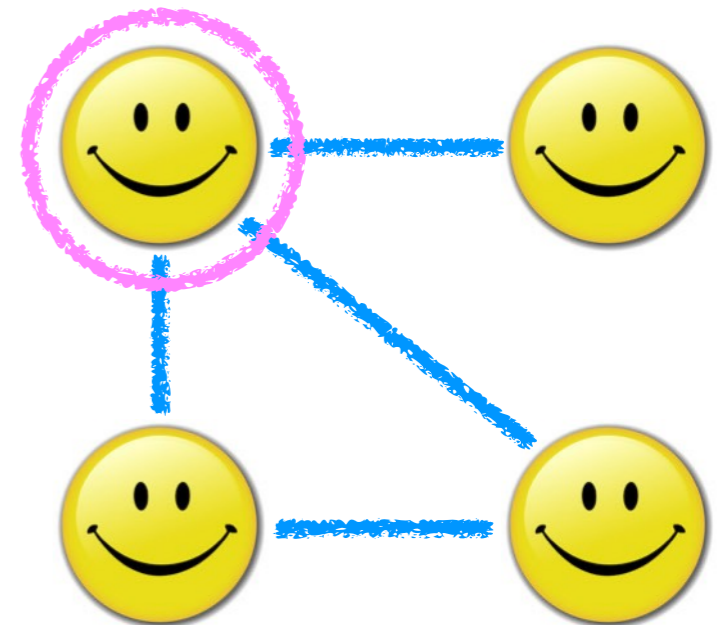
Handshaking Lemma: The number of people who shake an odd number of other people's hands is even.

Represented by graph:

n guests $\Leftrightarrow n$ vertices

handshaking \Leftrightarrow edge

of handshaking \Leftrightarrow degree

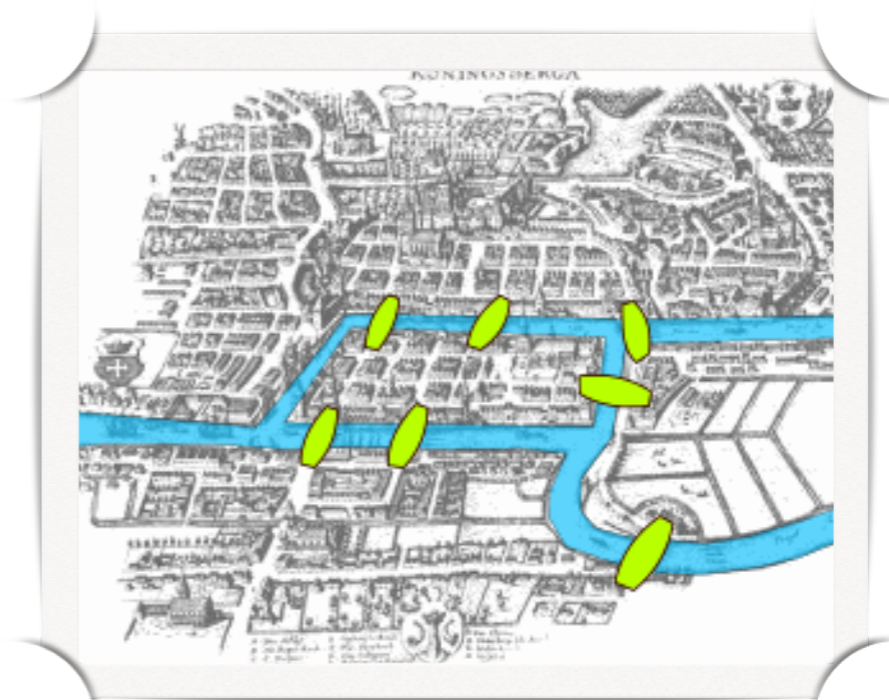


Handshaking Lemma (Euler 1736)

$$\sum_{v \in V} d(v) = 2|E|$$



Leonhard Euler



In the 1736 paper of
*Seven Bridges of
Königsberg*

Handshaking Lemma (Euler 1736)

$$\sum_{v \in V} d(v) = 2|E|$$

Count the # of edge *orientations*:

$$(u, v) : \{u, v\} \in E$$

Count by vertex:

$$\forall v \in V$$

d directed edges

$$(v, u_1) \cdots (v, u_d)$$

=

Count by edge:

$$\forall \{u, v\} \in E$$

2 orientations

$$(u, v) \text{ and } (v, u)$$

Handshaking Lemma (Euler 1736)

$$\sum_{v \in V} d(v) = 2|E|$$

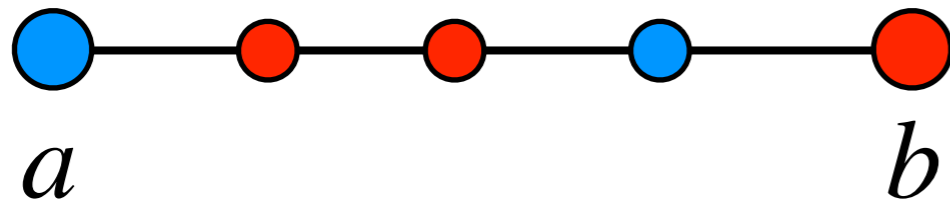
Corollary

of odd-degree vertices is even.

Sperner's Lemma

line segment: ab divided into small segments

each endpoint: red or blue



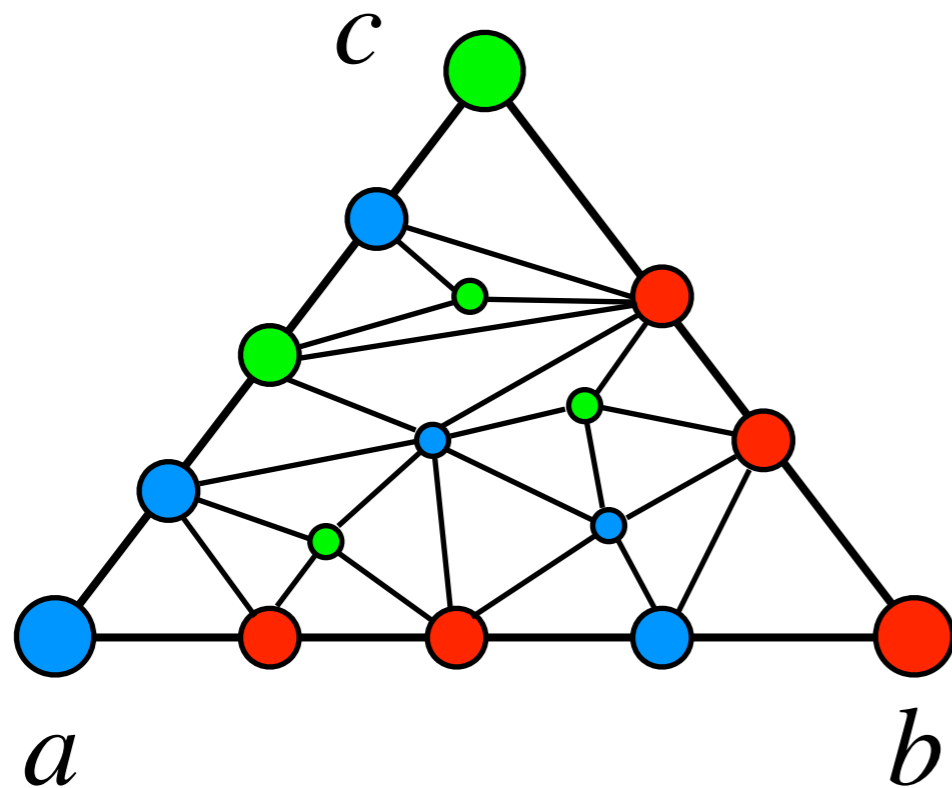
ab are colored differently

\exists small segment 



Emanuel Sperner
(1905–1980)

Sperner's Lemma



triangle: abc

triangulation

proper coloring:

3 colors **red**, **blue**, **green**

abc is **tricolored**

lines ab, bc, ac are 2-colored

Sperner's Lemma (1928)

\forall properly colored triangulation of a triangle,
 \exists a properly colored small triangle.

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partial dual graph:

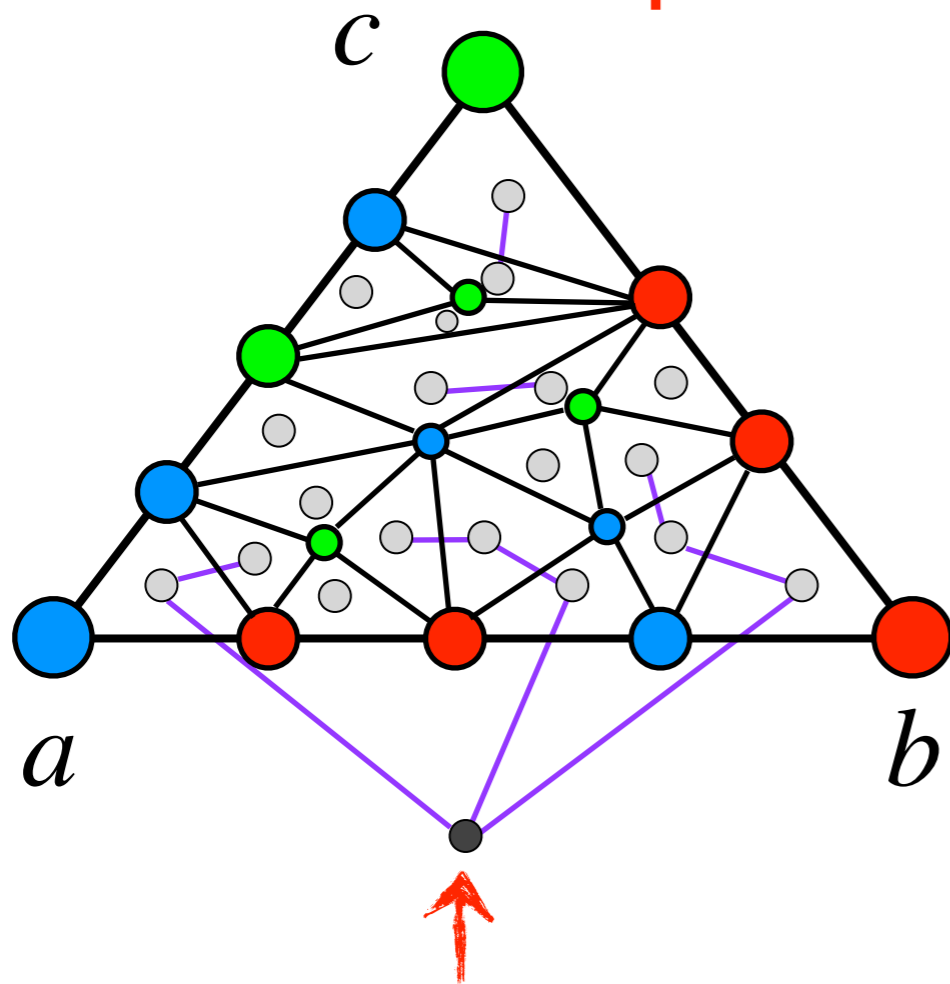
each \triangle is a vertex
the outer-space is a vertex

add an edge if 2 \triangle
share a \bullet — \bullet edge

degree of \triangle node: 1

degree of \triangle or \triangle node: 2

other cases: 0 degree

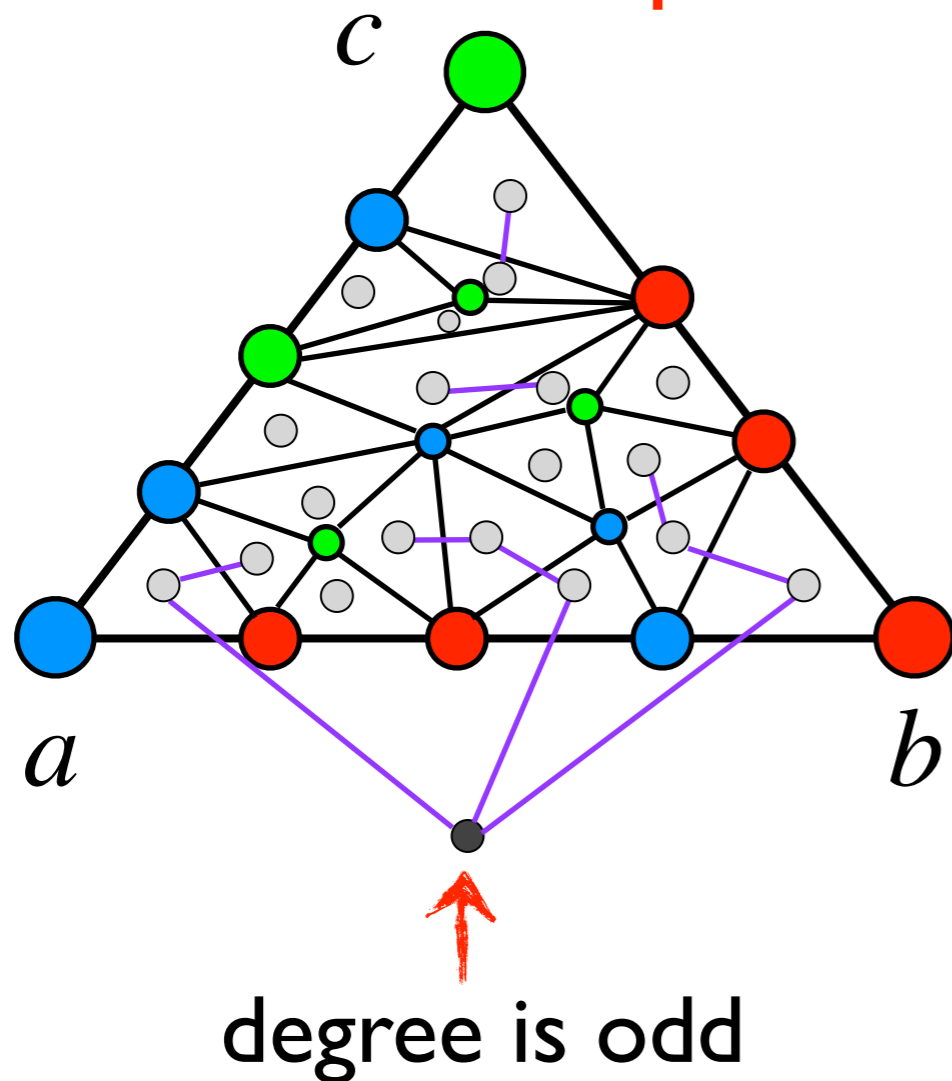


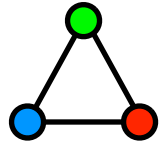
degree is odd

Sperner's Lemma (1928)

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partial dual graph:

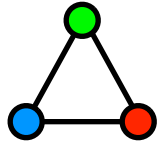


degree of  node: 1

degree of other  : even

handshaking lemma:

of odd-degree vertices is even.

of  : odd $\neq 0$

Sperner's Lemma (1928)

\forall properly colored triangulation of a triangle,
 \exists a properly colored small triangle.

high-dimension: triangle \Rightarrow simplex
triangulation \Rightarrow simplicial
subdivision

Brouwer's fixed point theorem (1911)

\forall continuous function $f : B \rightarrow B$ of an
 n -dimensional ball B , \exists a fixed point $x = f(x)$.

Averaging Principle

Pigeonhole Principle

“ $n + 1$ pigeons cannot sit in n holes so that every pigeon is alone in its hole.”



Pigeonhole Principle

If $> mn$ objects are partitioned into n classes, then some class receives $> m$ objects.



Schubfachprinzip

“drawer principle”

Dirichlet Principle



Johann Peter Gustav Lejeune Dirichlet
(1805 – 1859)

Dirichlet's approximation

Approximate any **irrational** x
by a **rational** with **bounded denominator**.

Theorem (Dirichlet 1879)

\forall irrational x and natural number n , \exists a rational $\frac{p}{q}$
such that $1 \leq q \leq n$ and

$$\left| x - \frac{p}{q} \right| < \frac{1}{nq}$$

Theorem (Dirichlet 1879)

\forall irrational x and natural number n , \exists a rational $\frac{p}{q}$ such that $1 \leq q \leq n$ and

$$\left| x - \frac{p}{q} \right| < \frac{1}{nq} \iff |qx - p| < \frac{1}{n}$$

fractional part: $\{x\} = x - [x]$

$(n + 1)$ pigeons: $\{kx\}$ for $k = 1, 2, \dots, n + 1$

n holes: $\left(0, \frac{1}{n}\right), \left(\frac{1}{n}, \frac{2}{n}\right), \dots, \left(\frac{n-1}{n}, 1\right)$

fractional part: $\{x\} = x - \lfloor x \rfloor$

$(n + 1)$ pigeons: $\{kx\}$ for $k = 1, 2, \dots, n + 1$

n holes: $\left(0, \frac{1}{n}\right), \left(\frac{1}{n}, \frac{2}{n}\right), \dots, \left(\frac{n-1}{n}, 1\right)$

$\exists 1 \leq b < a \leq n + 1$ $\{ax\}, \{bx\}$ in the same hole

$$|(a - b)x - (\lfloor ax \rfloor - \lfloor bx \rfloor)| = |\{ax\} - \{bx\}| < \frac{1}{n}$$

integers: $q \leq n$ p

$$|qx - p| < \frac{1}{n} \quad \Rightarrow \quad \left| x - \frac{p}{q} \right| < \frac{1}{nq}.$$

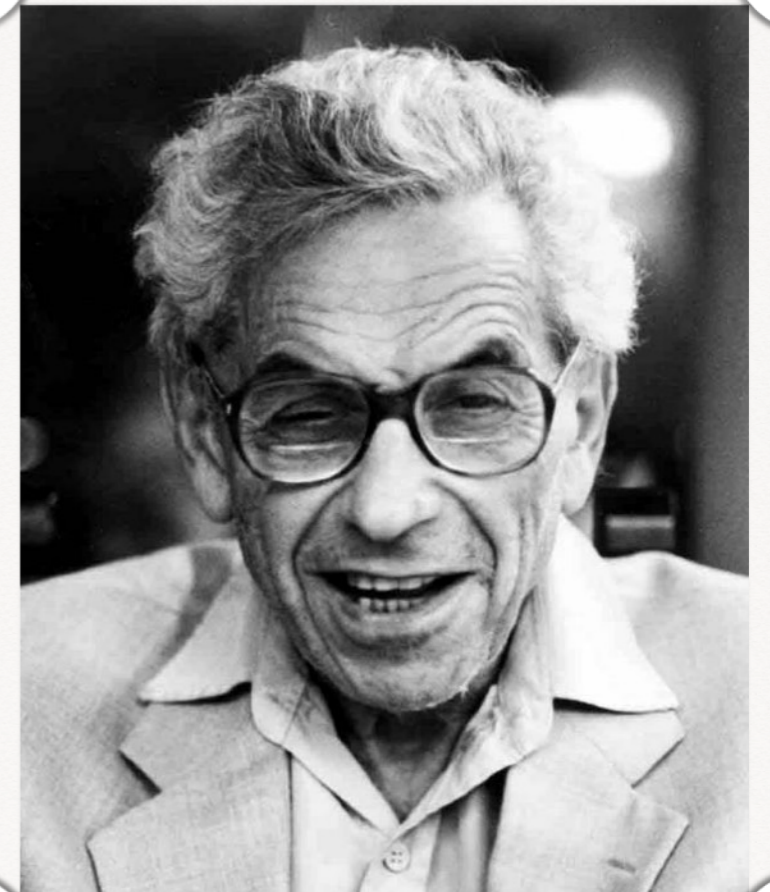
An *initiation* question to Mathematics

$$\forall S \subseteq \{1, 2, \dots, 2n\} \text{ that } |S| > n$$
$$\exists a, b \in S \text{ such that } a \mid b$$
$$\forall a \in \{1, 2, \dots, 2n\}$$
$$a = 2^k m \text{ for an odd } m$$
$$C_m = \{2^k m \mid k \geq 0, 2^k m \leq 2n\}$$

$>n$ pigeons: S

n pigeonholes: $C_1, C_3, C_5, \dots, C_{2n-1}$

$a < b \quad a, b \in C_m \quad \longrightarrow \quad a \mid b$



Paul Erdős
(1913—1996)

Monotonic subsequences

sequence: (a_1, \dots, a_n) of n different numbers

$$1 \leq i_1 < i_2 < \dots < i_k \leq n$$

subsequence:

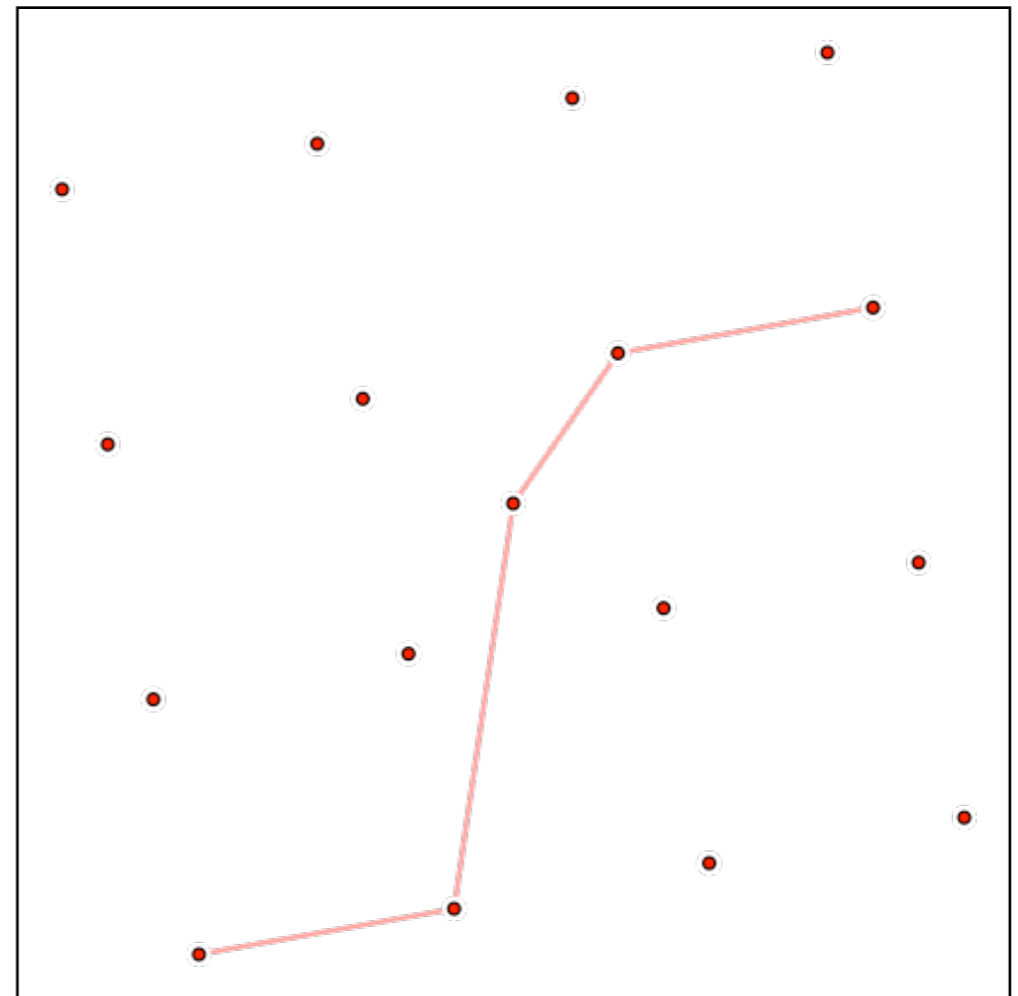
$$(a_{i_1}, a_{i_2}, \dots, a_{i_k})$$

increasing:

$$a_{i_1} < a_{i_2} < \dots < a_{i_k}$$

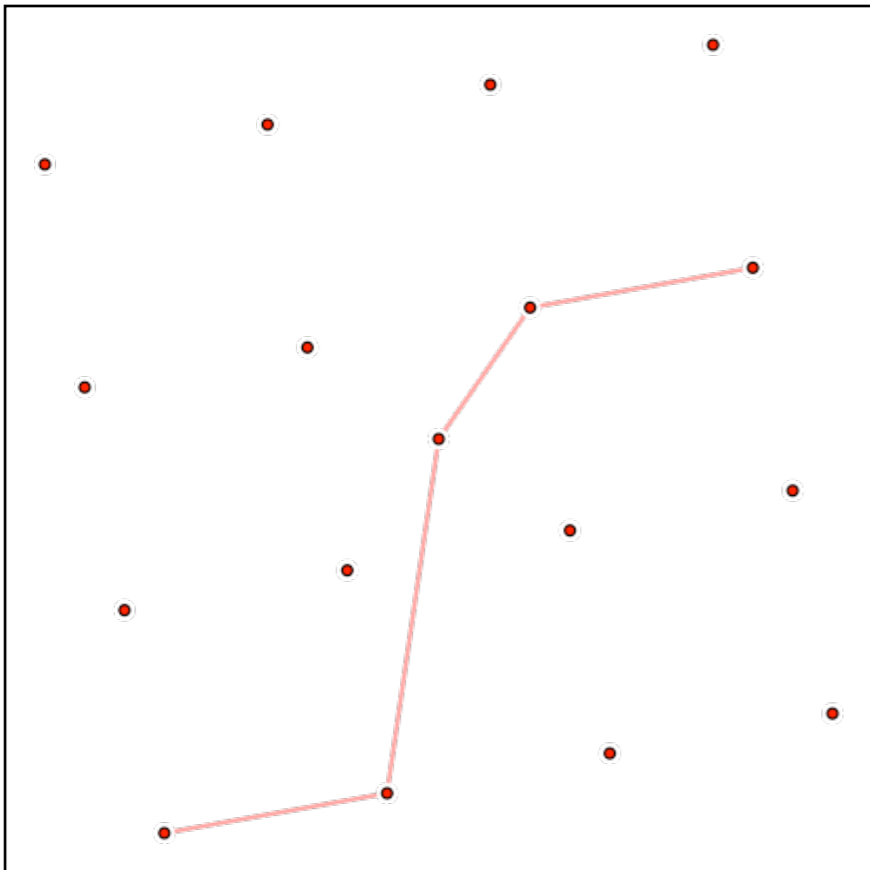
decreasing:

$$a_{i_1} > a_{i_2} > \dots > a_{i_k}$$



Theorem (Erdős-Szekeres 1935)

A sequence of $> mn$ different numbers must contain either an increasing subsequence of length $m + 1$, or a decreasing subsequence of length $n + 1$.



(a_1, \dots, a_N) of N different numbers $N > mn$

associate each a_i with (x_i, y_i)

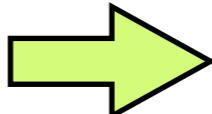
x_i : length of longest *increasing*
subsequence *ending* at a_i

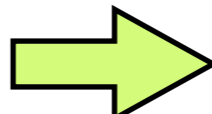
y_i : length of longest *decreasing*
subsequence *starting* at a_i

$$\forall i \neq j, \quad (x_i, y_i) \neq (x_j, y_j)$$

assume

$i < j$

Cases.1: $a_i < a_j$  $x_i < x_j$

Cases.2: $a_i > a_j$  $y_i > y_j$

(a_1, \dots, a_N) of N different numbers $N > mn$

x_i : length of longest *increasing* subsequence *ending* at a_i

y_i : length of longest *decreasing* subsequence *starting* at a_i

$$\forall i \neq j, (x_i, y_i) \neq (x_j, y_j)$$

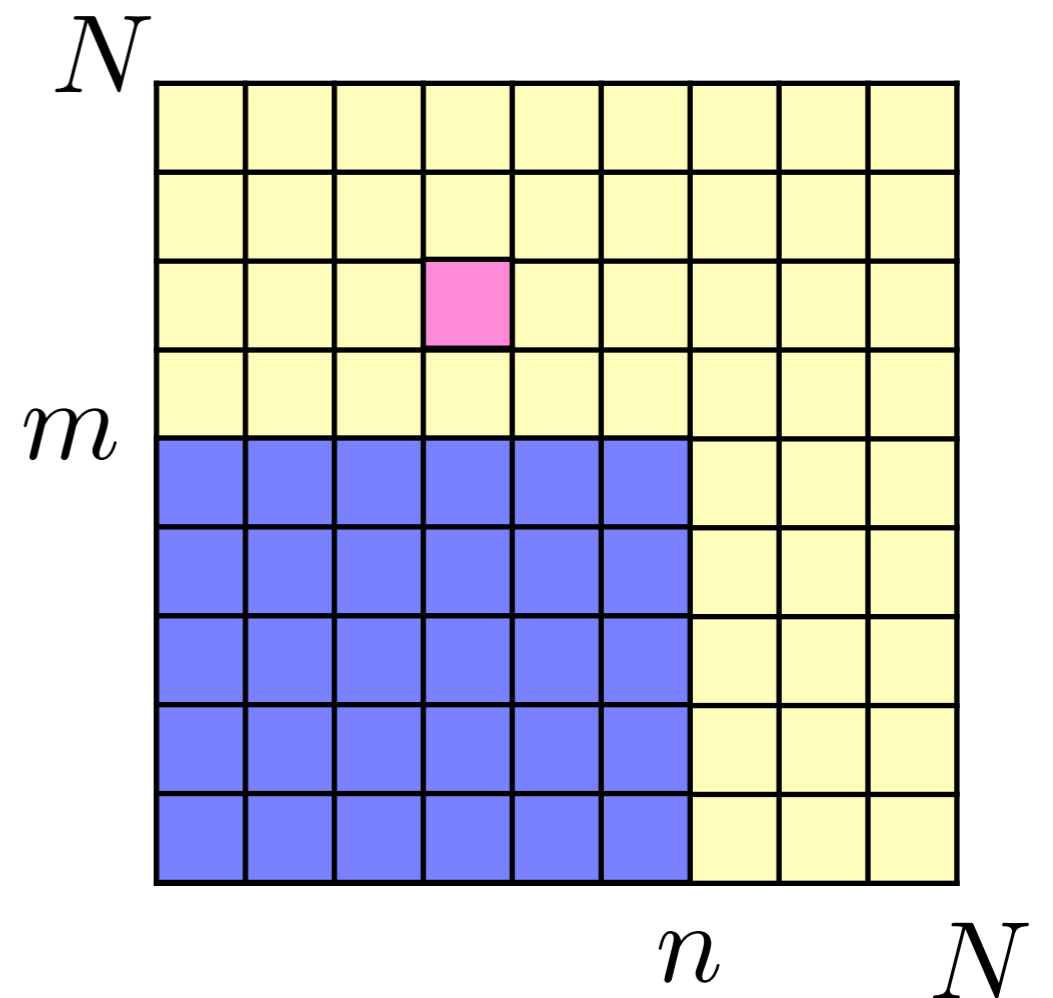


“One pigeon per each hole.”

No way to put N pigeons into mn holes.

“ N pigeons” (a_1, \dots, a_N)

a_i is in hole (x_i, y_i)



Theorem (Erdős-Szekeres 1935)

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(a_1, \dots, a_N) $N > mn$

x_i : length of longest *increasing* subsequence *ending* at a_i

y_i : length of longest *decreasing* subsequence *starting* at a_i

