

# Approximate Counting *via* Correlation Decay *on* Planar Graphs

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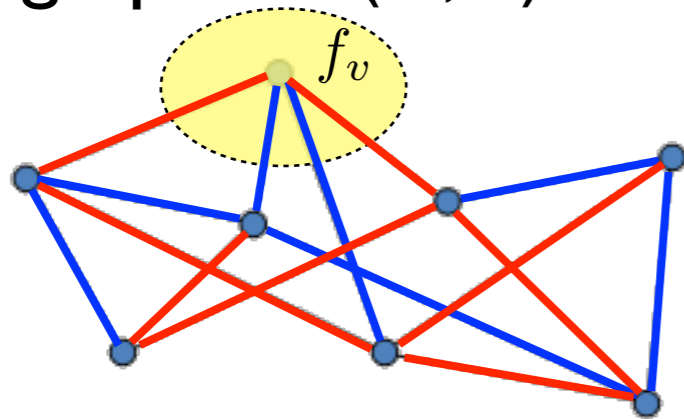
Shanghai Jiaotong University

# Holant Problems

(Valiant 2004)

instance:  $\Omega = (G(V, E), \{f_v\}_{v \in V})$

graph  $G=(V, E)$



edges: *variables* (domain  $[q]$ )

vertices: *constraints* (arity=degree)

**symmetric**  $f_v : [q]^{\deg(v)} \rightarrow \mathbb{C}$

**configuration** (*solution, coloring, ...*):  $\sigma \in [q]^E$

**holant** (*counting*):

$$\text{holant}(\Omega) = \sum_{\sigma \in [q]^E} \prod_{v \in V} f_v(\sigma|_{E(v)})$$

**#matchings**:  $q=2$   $\sigma \in \{0, 1\}^E$   $f_v \equiv \text{AT-MOST-ONE}$

**Holant problem:**  $\text{Holant}(\mathcal{G}, \mathcal{F})$

graph family      function family

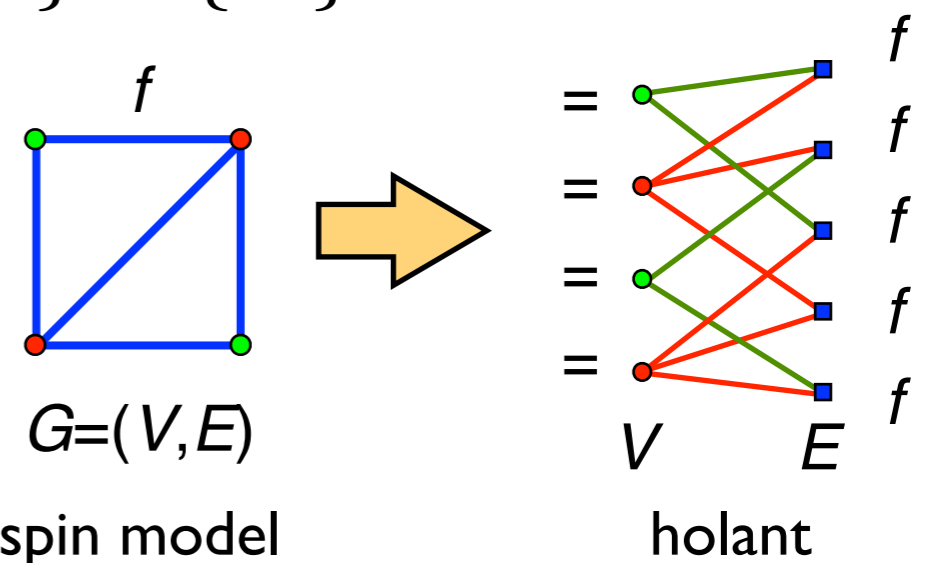
**input:**  $\Omega = (G(V, E), \{f_v\}_{v \in V})$  with  $\begin{cases} G \in \mathcal{G} \\ f_v \in \mathcal{F} \end{cases}$

**output:**  $\text{holant}(\Omega) = \sum_{\sigma \in [q]^E} \prod_{v \in V} f_v(\sigma|_{E(v)})$

spin system / graph homomorphism ( $G.H.$ ):

$$\mathcal{F} = \{f : [q]^d \rightarrow \mathbb{C}, d \leq 2\} \cup \{=\}$$

- #IS, #VC
- #q-colorings, #H-colorings
- *hardcore/Ising/Potts* models, MRF



# Holant Problems

**Holant problem:**  $\text{Holant}(\mathcal{G}, \mathcal{F})$

graph family      function family

characterize the *tractability* of  $\text{Holant}(\mathcal{G}, \mathcal{F})$  by  $\mathcal{G}$  and  $\mathcal{F}$

**Bad news: for general/planar  $\mathcal{G}$ , almost all nontrivial  $\mathcal{F}$ : #P-hard**  
(Dyer-Greenhill'00, Bulatov-Grohe'05, Dyer-Goldberg'07, Bulatov'08, Goldberg-Grohe-Jerrum'10, Cai-Chen'10, Cai-Chen-Lu'10, Cai-Lu-Xia'10, Dyer-Richerby'10, Dyer-Richerby'11, Cai-Chen'12, ***Cai-Lu-Xia'13***)

Good news: tractable if  $\mathcal{G}$  is *tree*,  $\mathcal{F}$  is *Spin* or *Matching*  
 (arity  $\leq 2$  and  $=$ ) (At-Most-One)

## Our result:

$\mathcal{G}$  is planar  
 $\mathcal{F}$  is regular  
 correlation decay

(locally like a tree)  
 (like / matching)  
 (local info is enough)

**FPTAS**

# Gibbs Measure

$$\Omega = (G(V, E), \{f_v\}_{v \in V}) \quad f_v : [q]^{\deg(v)} \rightarrow \mathbb{R}_{\geq 0}$$

$$\text{holant}(\Omega) = \sum_{\sigma \in [q]^E} \prod_{v \in V} f_v(\sigma|_{E(v)})$$

**Gibbs measure:**  $\Pr(\sigma) = \frac{\prod_{v \in V} f_v(\sigma|_{E(v)})}{\text{holant}}$

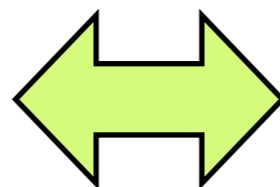
**marginal probability:**  $\sigma_A \in [q]^A \quad A \subset E$   
 $\Pr(\sigma(e) = c \mid \sigma_A)$

compute

$$\Pr(\sigma(e) = c \mid \tau_A) \pm \frac{1}{n}$$

in time  $\text{poly}(n)$

self-  
reduction

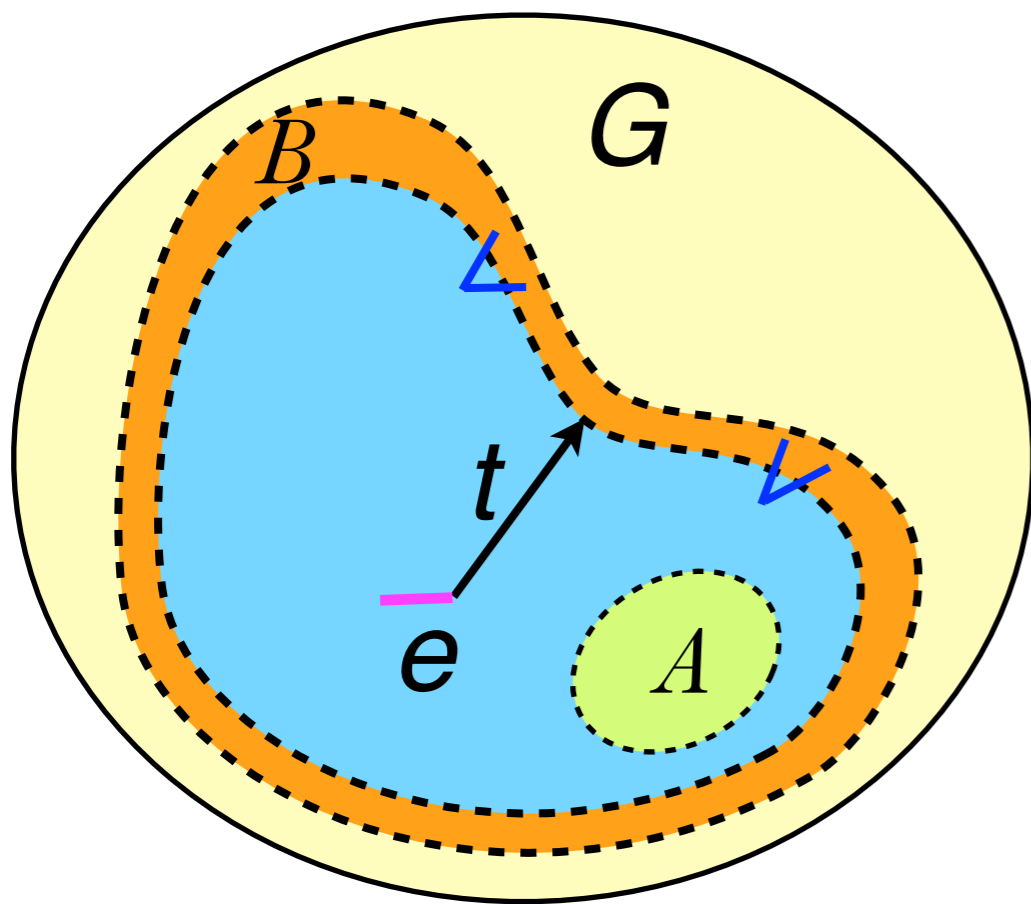


**FPTAS for**  
 $\text{holant}(\Omega)$

# Correlation Decay

**strong spatial mixing (SSM):**  $\forall \sigma_B \in [q]^B$

$$\left| \Pr(\sigma(e) = c \mid \sigma_A) - \Pr(\sigma(e) = c \mid \sigma_A, \sigma_B) \right| \\ \leq \text{poly}(|V|) \exp(-\Omega(t))$$



SSM: sufficiency of *local information*  
for approx. of  $\Pr(\sigma(e) = c \mid \sigma_A)$



efficiency of  
*local computation* (FPTAS)

such implication was known for:

$q=2$ ,  $\mathcal{F}$  is  $\begin{cases} \text{Spin (Weitz'06)} \\ \text{Matching} \end{cases}$   
(Bayati-Gamarnik-Katz-Nair-Tetali'08)

# Regularity

**Pinning:** *symmetric*  $f : [q]^d \rightarrow \mathbb{C}$      $\tau \in [q]^k$

$\text{Pin}_\tau(f) = g$     where  $g : [q]^{d-k} \rightarrow \mathbb{C}$

$\forall \sigma \in [q]^{d-k}, \quad g(\sigma) = f(\sigma_1, \dots, \sigma_{d-k}, \tau_1, \dots, \tau_k)$

when  $q=2$  write  $f = [f_0, f_1, \dots, f_d]$

where  $f_i = f(\sigma)$  that  $\|\sigma\|_1 = i$      $C$

a family  $\mathcal{F}$  of symmetric functions is *regular* if

$\exists$  a finite  $C$  s.t.  $\forall f \in \mathcal{F}, \quad f$  is  $C$ -regular

$\underbrace{[f_0, f_1, f_2, \dots, f_i, \dots, f_{d-1}, f_d]}_{d-k+1}$

**counterexample:**  $\underbrace{[0, \dots, 0]}_{\frac{d}{2}}, 1, \underbrace{[0, \dots, 0]}_{\frac{d}{2}}$

**examples:** *bounded-arity*

*equality*  $[1, 0, \dots, 0, 1]$

*at-most-one*  $[1, 1, 0, \dots, 0]$

*cyclic*  $[a, b, c, a, b, c, \dots]$

Holant can be computed  
in time  $\text{poly}(n) \cdot 2^{\text{tw}}$  if  $\begin{cases} \mathcal{F} \text{ is Spin (junction-tree BP)} \\ \mathcal{F} \text{ has bounded-arity} \end{cases}$   
(*tensor network*, Markov-Shi'09)

### **Theorem I**

If  $\mathcal{F}$  is regular, then  $\text{holant}(G, \{f_v\}_{v \in V} \subset \mathcal{F})$   
can be computed in time  $\text{poly}(|V|) \cdot 2^{O(\text{treewidth}(G))}$

### **Theorem II**

If  $\mathcal{G}$  is planar (apex-minor-free),  $\mathcal{F}$  is regular, then  
SSM  FPTAS for  $\text{Holant}(\mathcal{G}, \mathcal{F})$

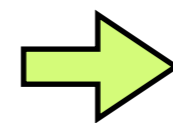
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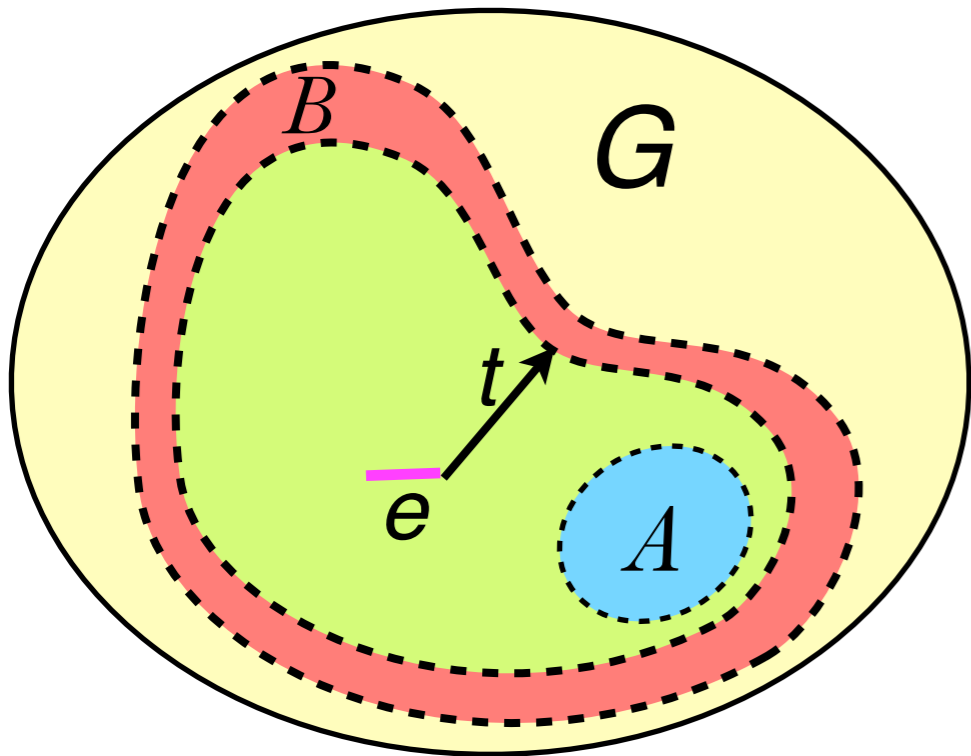
SSM:  $|\Pr(\sigma(e) = c \mid \sigma_A) - \Pr(\sigma(e) = c \mid \sigma_A, \sigma_B)| \leq \text{poly}(|V|) \exp(-t)$

compute

$\Pr(\sigma(e) = c \mid \tau_A) \pm \frac{1}{n}$   
in time  $\text{poly}(n)$



FPTAS  
for Holant



**Theorem** (Demaine-Hajiaghayi'04)  
For apex-minor-free graphs,  
treewidth of  $t$ -ball is  $O(t)$ .

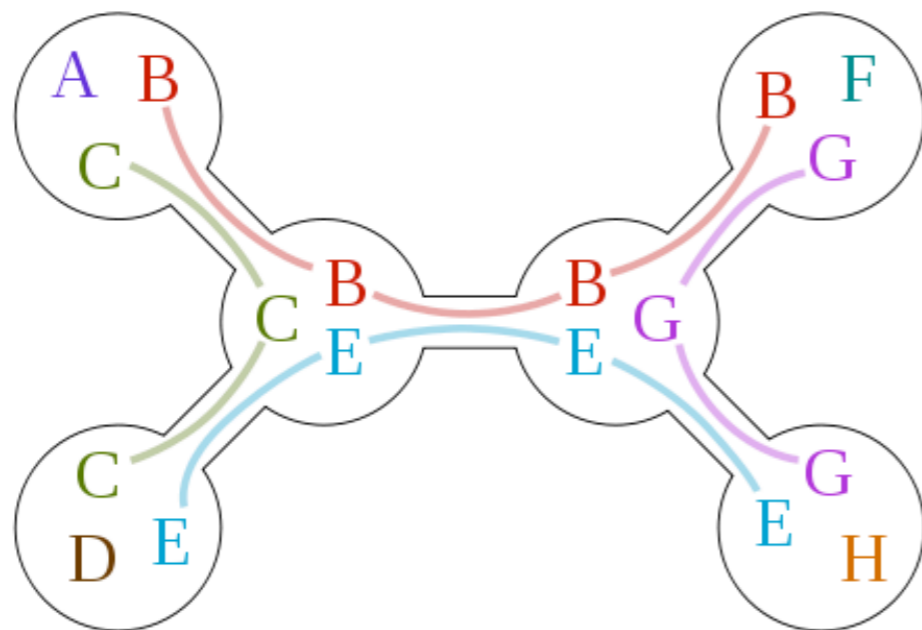
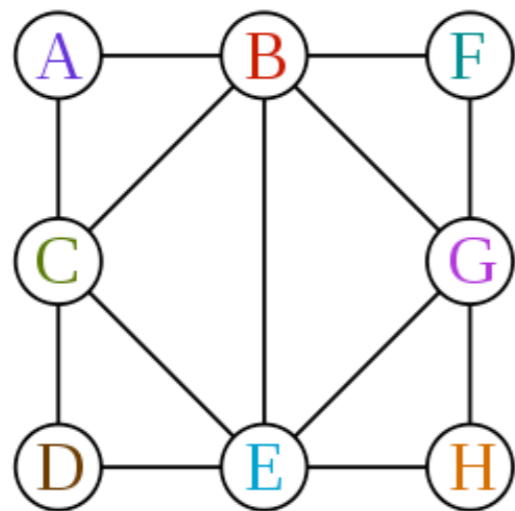
## Theorem II

If  $\mathcal{G}$  is planar (apex-minor-free),  $\mathcal{F}$  is regular, then  
SSM  $\Rightarrow$  FPTAS for  $\text{Holant}(\mathcal{G}, \mathcal{F})$

## Theorem I

If  $\mathcal{F}$  is regular, then  $\text{holant}(G, \{f_v\}_{v \in V} \subset \mathcal{F})$   
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tree-decomposition:



a tree of “bags” of vertices:

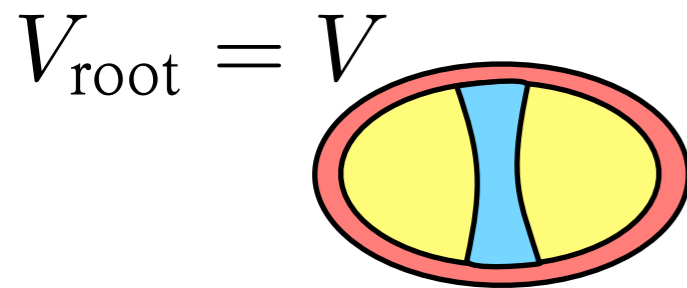
1. Every vertex is in some bag.
2. Every edge is in some bag.
3. If two bags have a same vertex, then all bags in the path between them have that vertex.

**width:** max bag size - 1

**treewidth:** width of optimal  
tree decomposition

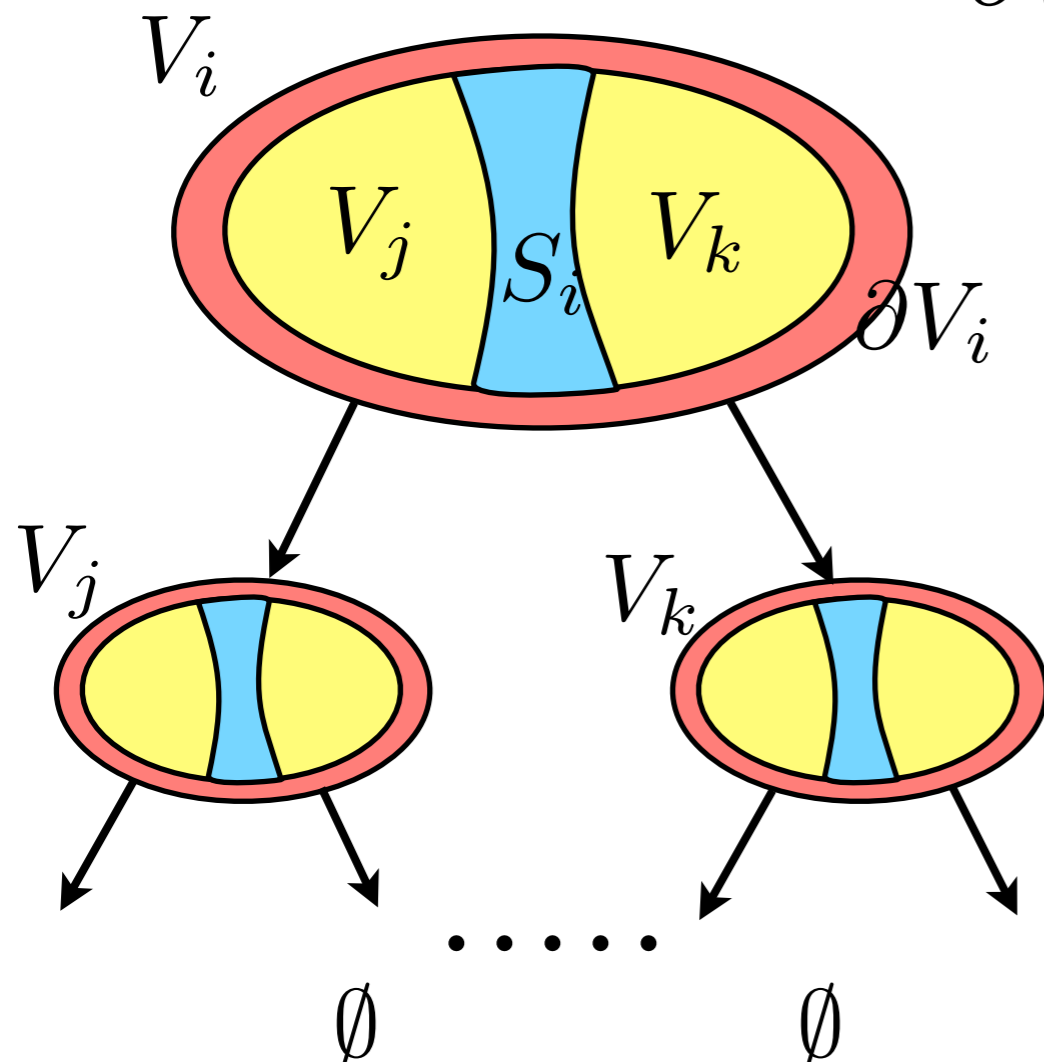
# Separator-Decomposition $T_G$ of $G(V, E)$ :

each node  $i \in T_G$  corresponds to  $(V_i, S_i)$



such that  $\begin{cases} V_{\text{root}} = V \text{ and } V_{\text{leaf}} = \emptyset \\ S_i \neq \emptyset \text{ is a vertex separator} \\ \text{of } V_j, V_k \subset V_i \text{ in } G[V_i] \end{cases}$

$\partial V_i$  is vertex boundary of  $V_i$  in  $G[V_i]$



**width:**  $\max_{i \in T_G} \{|S_i|, |\partial V_i|\}$

**separator-width**  $sw(G)$  :

width of optimal  $T_G$

## Theorem:

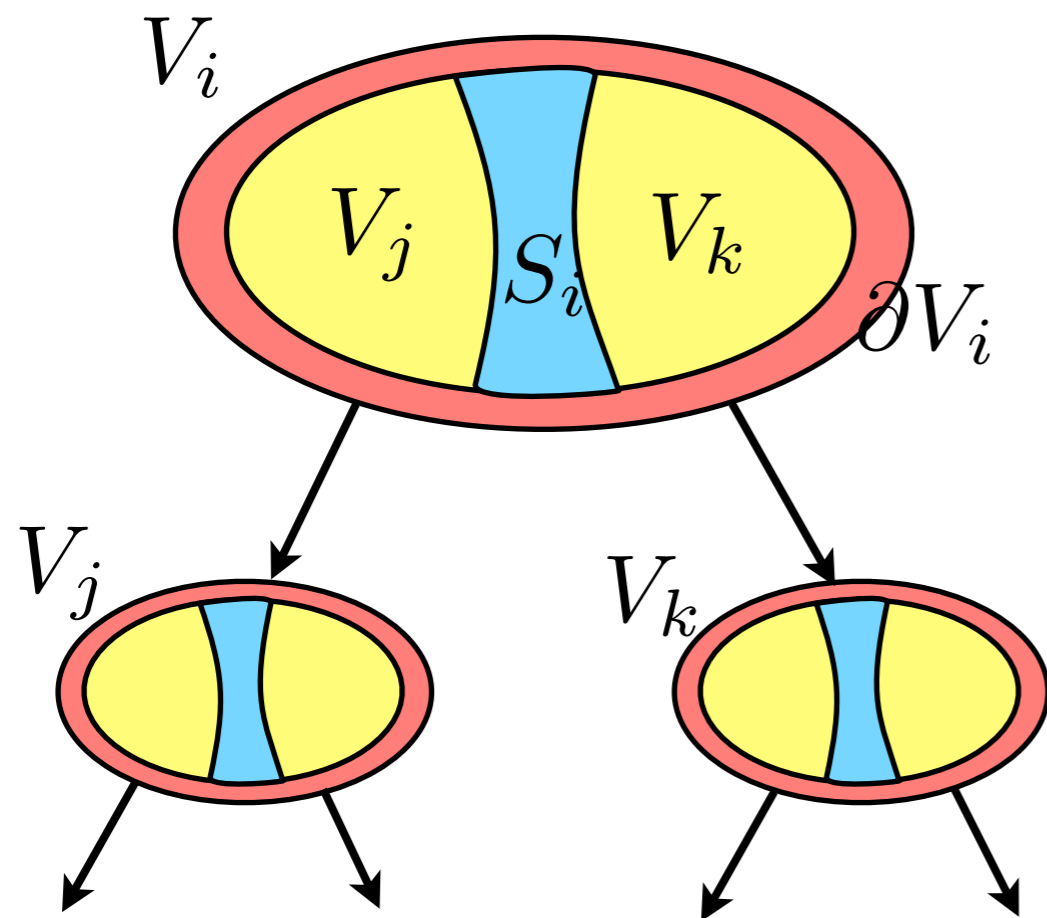
$sw(G) = \Theta(tw(G))$  and

$T_G$  can be constructed

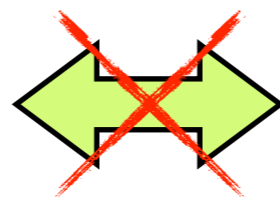
in time  $\text{poly}(n) \cdot 2^{O(tw(G))}$

# Theorem I

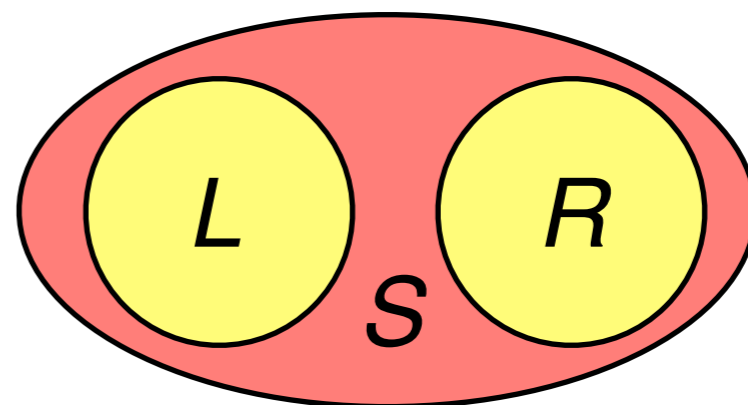
If  $\mathcal{F}$  is regular, then  $\text{holant}(G, \{f_v\}_{v \in V} \subset \mathcal{F})$   
can be computed in time  $\text{poly}(|V|) \cdot 2^{O(\text{treewidth}(G))}$



$S_i$  : vertex separator  
 $\partial V_i$  : vertex boundary



conditional independence:



$\Pr(\sigma_L \mid \sigma_S)$  and  $\Pr(\sigma_R \mid \sigma_S)$   
are independent for fixed  $\sigma_S$

$S$  : edge separator

**Peering:** given  $f : [q]^d \rightarrow \mathbb{C}$   $\tau \in [q]^k$

$\text{Peer}_\tau(f) : [q]^k \rightarrow \{0, 1\}$  defined as

$$\forall \sigma \in [q]^k, \quad \text{Peer}_\tau(f)(\sigma) = \begin{cases} 1 & \text{Pin}_\sigma(f) = \text{Pin}_\tau(f) \\ 0 & \text{o.w.} \end{cases}$$

$$\text{Peer}_\tau(f) = \{\sigma \in [q]^k \mid \text{Pin}_\sigma(f) = \text{Pin}_\tau(f)\}$$

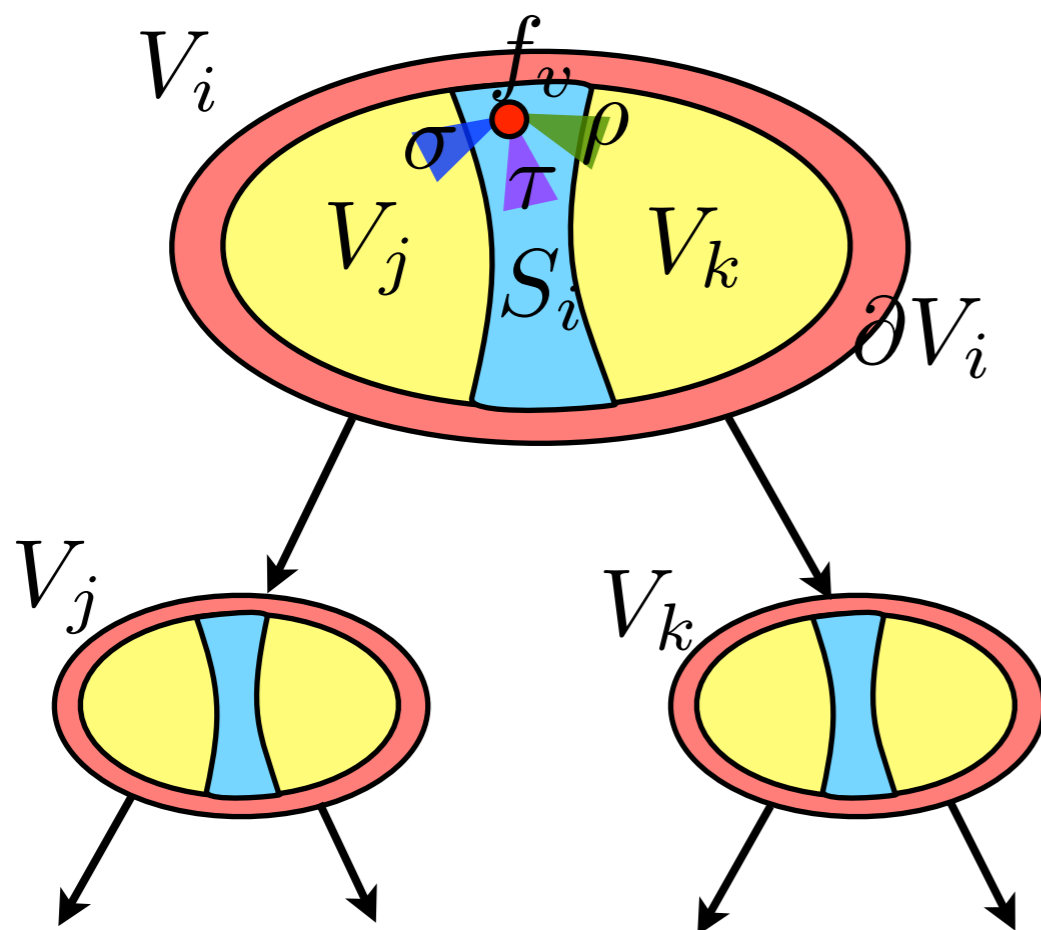
peering classifies configurations around a vertex into **equivalent classes**

states of a vertex: peer classes

$f_v(\sigma\tau\rho)$  depends only on  
peer classes of  $\sigma, \tau, \rho$

Holant value can be figured out by  
keeping track of only peer classes

for regular  $f$ , # of peer classes  
is always finite



# Algorithmic Implications

applying the SSM obtained by a “decay-only” technique  
*recursive coupling* (Goldberg-Martin-Paterson’05),

we have FPTAS for:

- $\#q$ -coloring of triangle-free planar graphs of max-degree  $\Delta$  for  $q > 1.76322 \Delta - 0.47031$
- ferromagnetic Ising model with temperature  $\beta$  and field  $B$  on planar graphs of max-degree  $\Delta$ , when

$$\Delta < \frac{1}{4} \left( \frac{e^{2\beta B} + e^{-2\beta B}}{e^{\beta B} + e^{-\beta B}} \right)^2$$

- ferromagnetic Potts model with temperature  $\beta$  on planar graphs of max-degree  $\Delta$  for  $\beta = O(\frac{1}{\Delta})$

(conjectured by Gamarnik-Katz’06)

# Conclusions and Open Problems

for *Holant* problems defined by *regular* constraints:

- a  $\text{poly}(n) \cdot 2^{\text{treewidth}}$  time algorithm for exact computation;
- SSM implies FPTAS on planar graphs.

open problems:

- in terms of reliance on treewidth, tightness of  $2^{\text{tw}}$  for regular Holant and  $n^{\text{tw}}$  for all symmetric Holant (under some assumption);
- using SSM for FPTAS on general graphs.