

算法设计与分析(2024年春季学期)

# Graph Algorithms

授课老师: 栗师

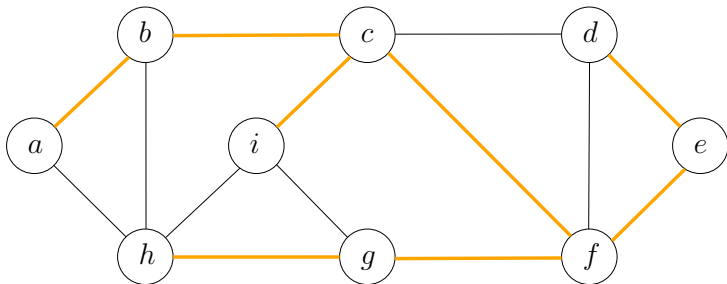
南京大学计算机科学与技术系

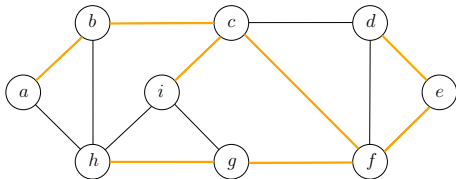
# Outline

- 1 Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- 2 Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- 5 Minimum Cost Arborescence

# Spanning Tree

**Def.** Given a connected graph  $G = (V, E)$ , a **spanning tree**  $T = (V, F)$  of  $G$  is a sub-graph of  $G$  that is a tree including all vertices  $V$ .





**Lemma** Let  $T = (V, F)$  be a subgraph of  $G = (V, E)$ . The following statements are equivalent:

- $T$  is a spanning tree of  $G$ ;
- $T$  is acyclic and connected;
- $T$  is connected and has  $n - 1$  edges;
- $T$  is acyclic and has  $n - 1$  edges;
- $T$  is minimally connected: removal of any edge disconnects it;
- $T$  is maximally acyclic: addition of any edge creates a cycle;
- $T$  has a unique simple path between every pair of nodes.

## Minimum Spanning Tree (MST) Problem

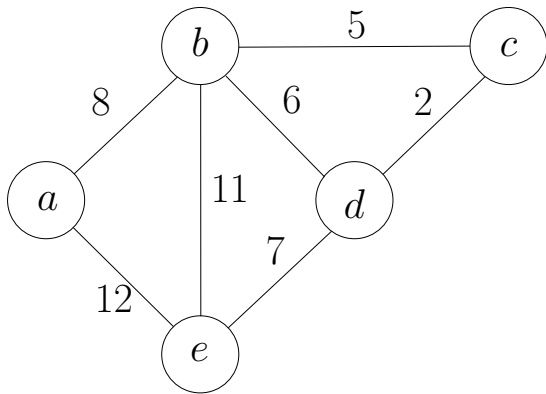
**Input:** Graph  $G = (V, E)$  and edge weights  $w : E \rightarrow \mathbb{R}$

**Output:** the spanning tree  $T$  of  $G$  with the minimum total weight

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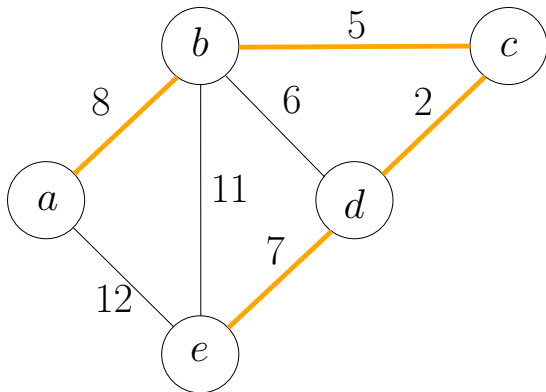
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## Recall: Steps of Designing A Greedy Algorithm

- Design a “reasonable” strategy
- Prove that the reasonable strategy is “safe” (key, usually done by “exchanging argument”)
- Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem (usually trivial)

**Def.** A choice is “safe” if there is an optimum solution that is “consistent” with the choice



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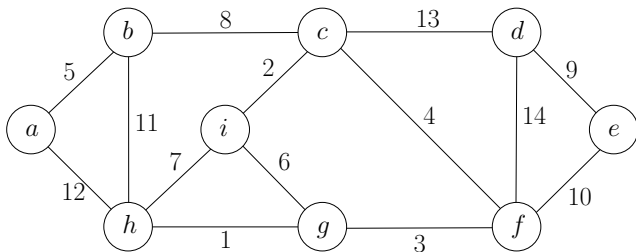
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## Two Classic Greedy Algorithms for MST

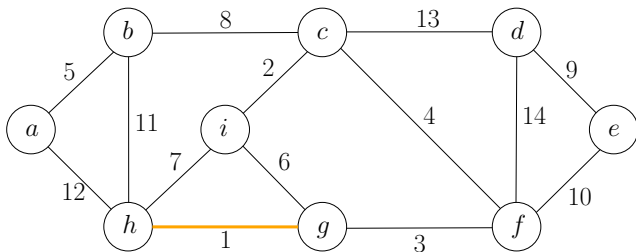
- Kruskal’s Algorithm
- Prim’s Algorithm

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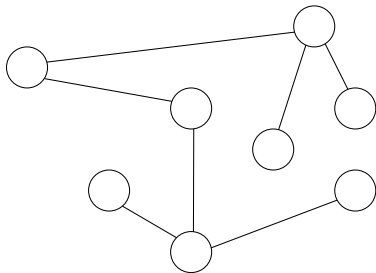
**A:** The edge with the smallest weight (lightest edge).

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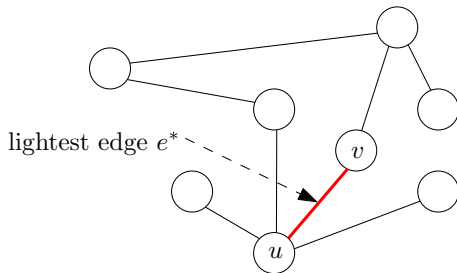
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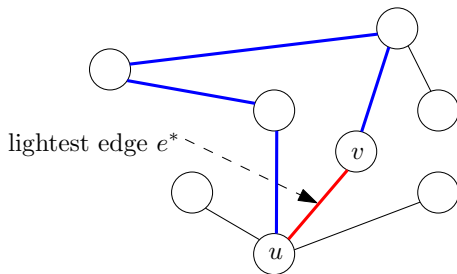
- Take a minimum spanning tree  $T$
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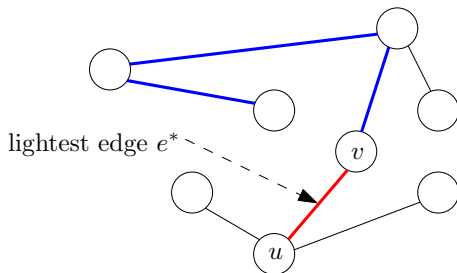




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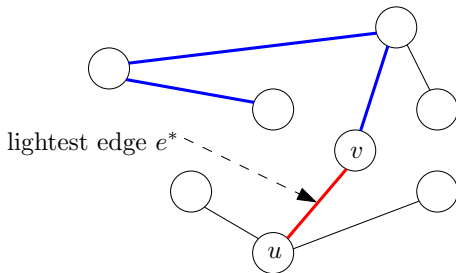
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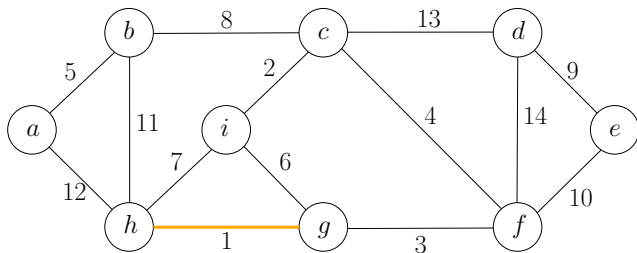
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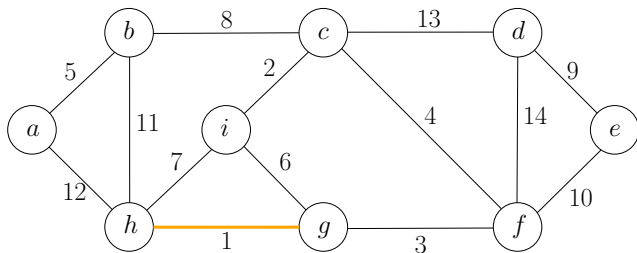
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- $w(e^*) \leq w(e) \implies w(T') \leq w(T)$ :  $T'$  is also a MST □



# Is the Residual Problem Still a MST Problem?

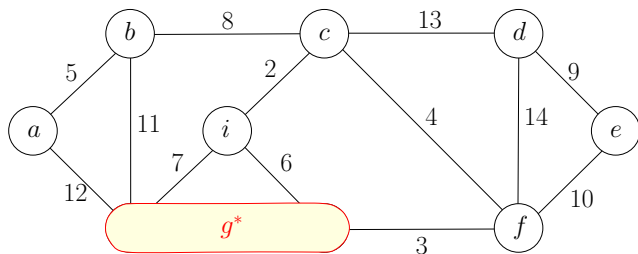


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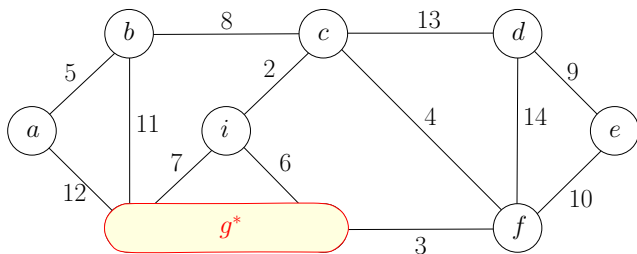
- Residual problem: find the minimum spanning tree that contains edge  $(g, h)$

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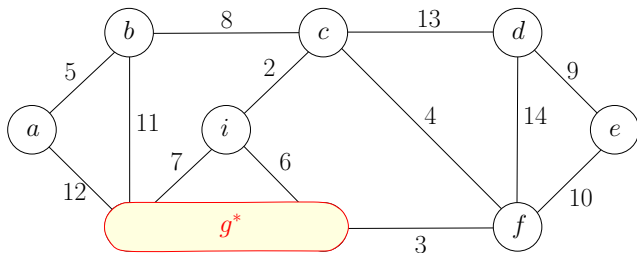
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- **Contract** the edge  $(g, h)$

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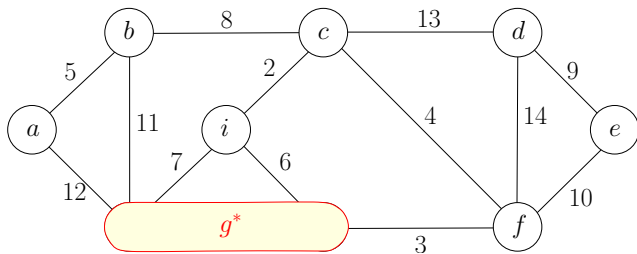


- Residual problem: find the minimum spanning tree that contains edge  $(g, h)$
- **Contract** the edge  $(g, h)$
- Residual problem: find the minimum spanning tree in the contracted graph

# Contraction of an Edge $(u, v)$



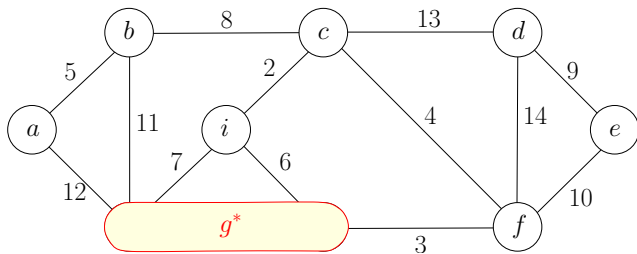
## Contraction of an Edge $(u, v)$



- Remove  $u$  and  $v$  from the graph, and add a new vertex  $u^*$

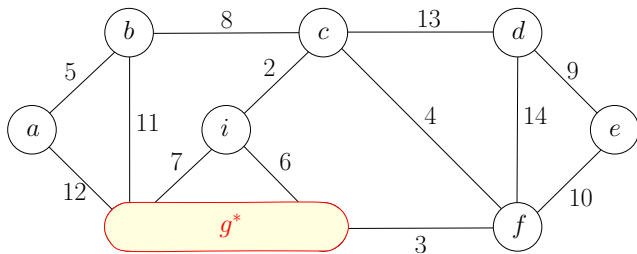


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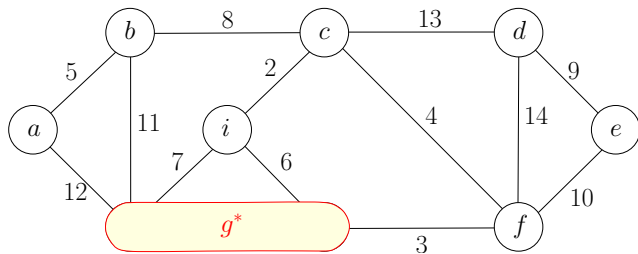
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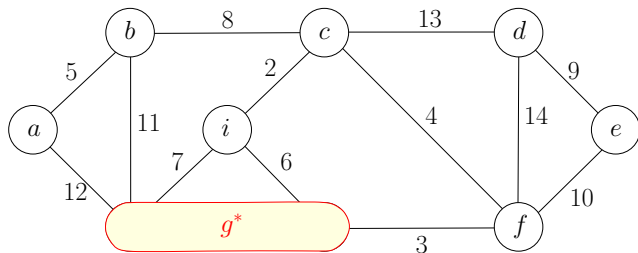
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- **May create parallel edges!** E.g. : two edges  $(i, g^*)$

# Greedy Algorithm

Repeat the following step until  $G$  contains only one vertex:

- 1 Choose the lightest edge  $e^*$ , add  $e^*$  to the spanning tree
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**Q:** What edges are removed due to contractions?

**A:** Edge  $(u, v)$  is removed if and only if there is a path connecting  $u$  and  $v$  formed by edges we selected

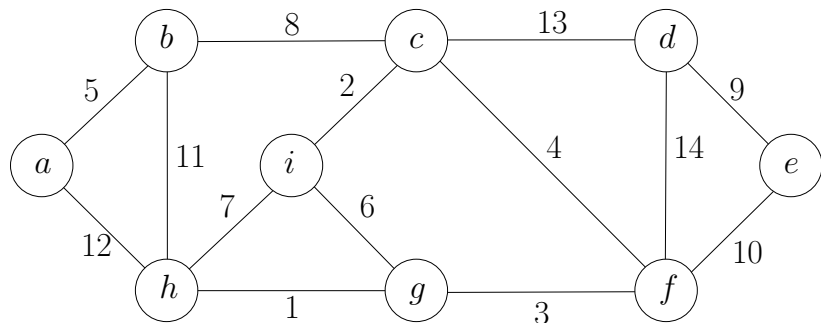
# Greedy Algorithm

## MST-Greedy( $G, w$ )

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- 2: sort edges in  $E$  in non-decreasing order of weights  $w$
- 3: **for** each edge  $(u, v)$  in the order **do**
- 4:     **if**  $u$  and  $v$  are not connected by a path of edges in  $F$  **then**
- 5:          $F \leftarrow F \cup \{(u, v)\}$
- 6: **return**  $(V, F)$

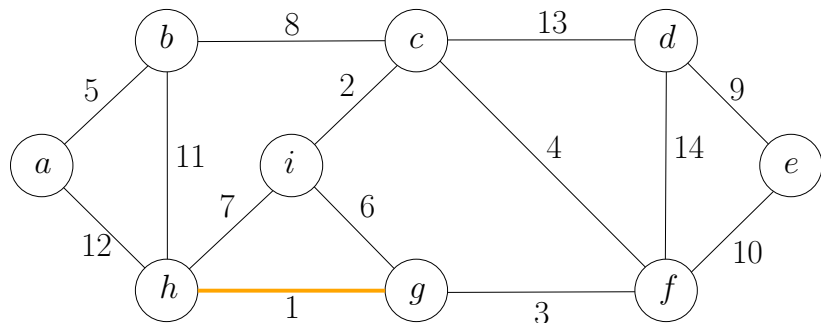


# Kruskal's Algorithm: Example



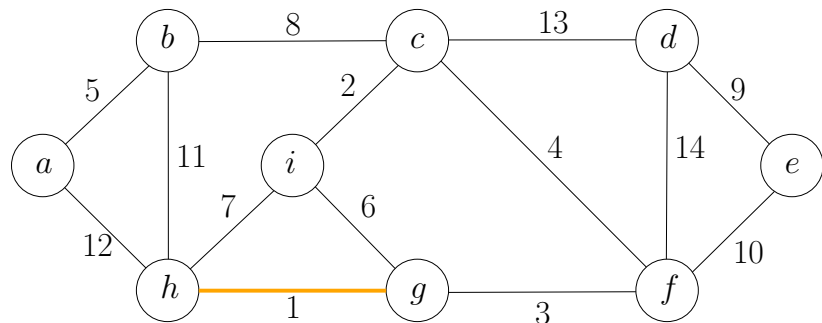
Sets:  $\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\}, \{i\}$

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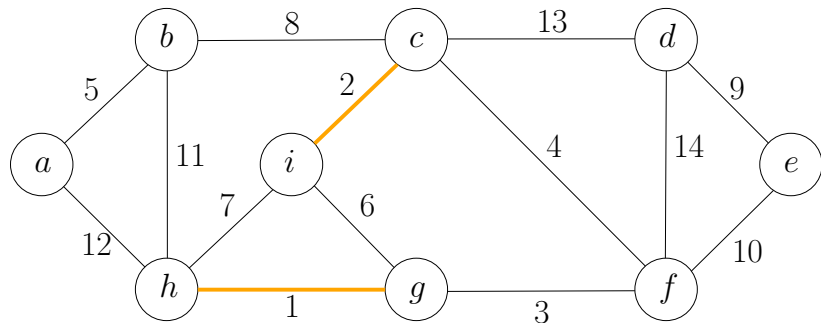
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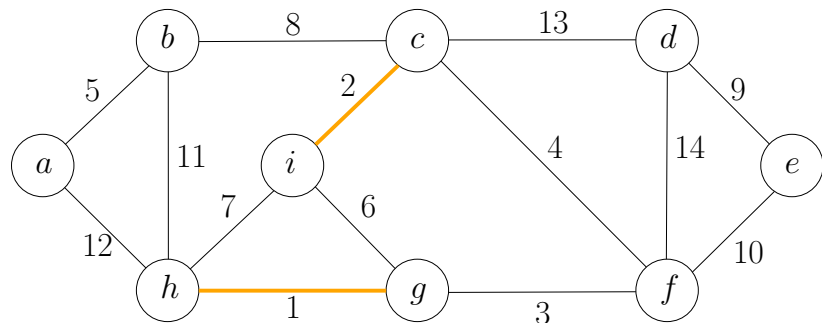
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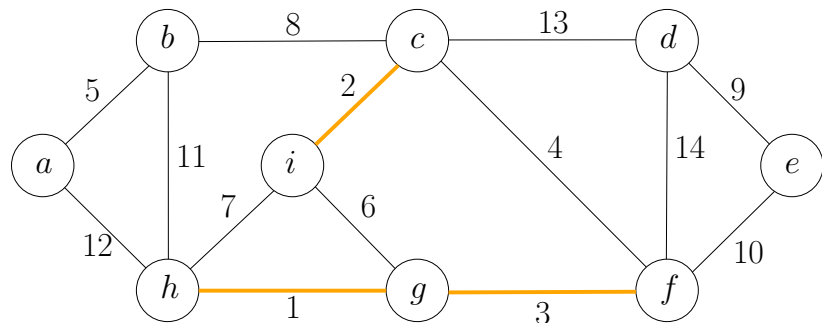
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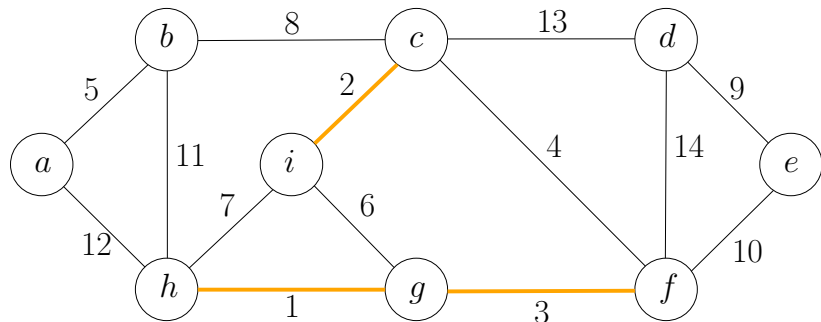
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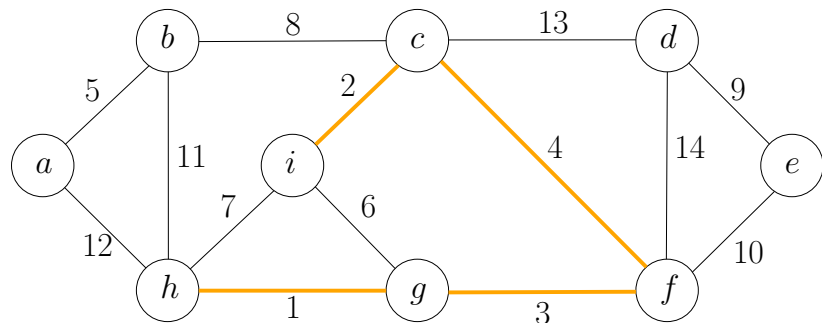
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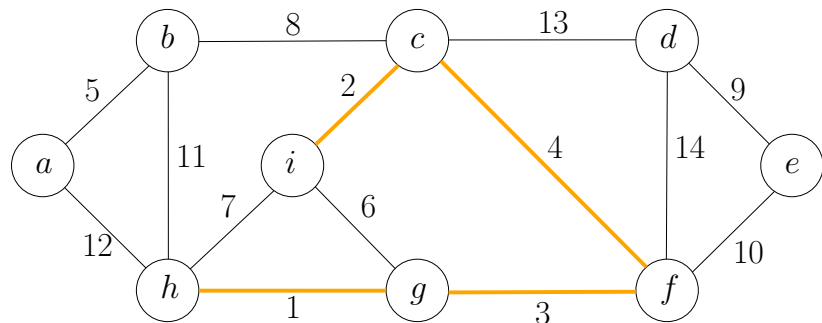
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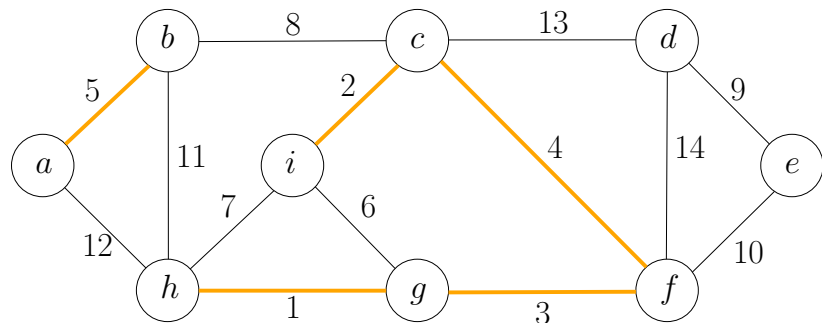


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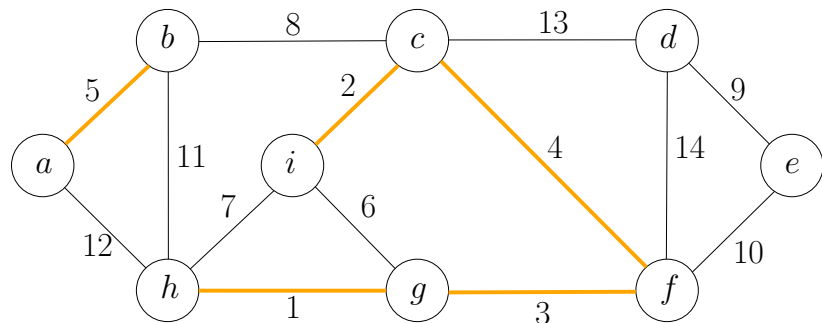
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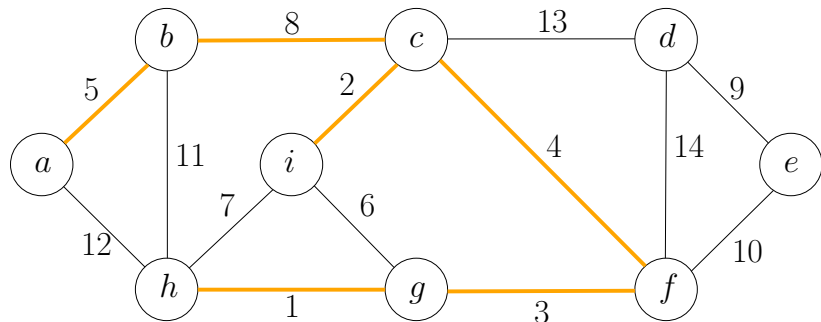
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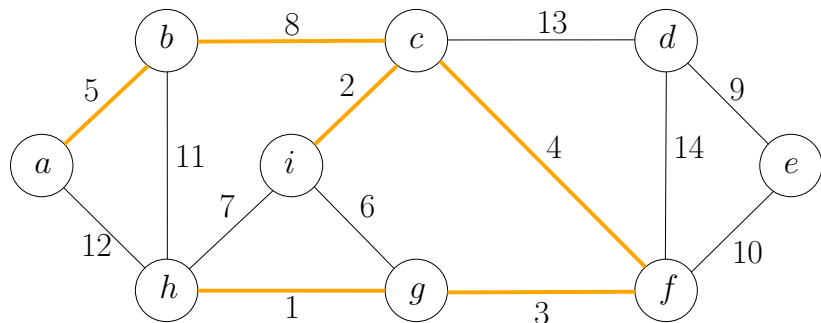
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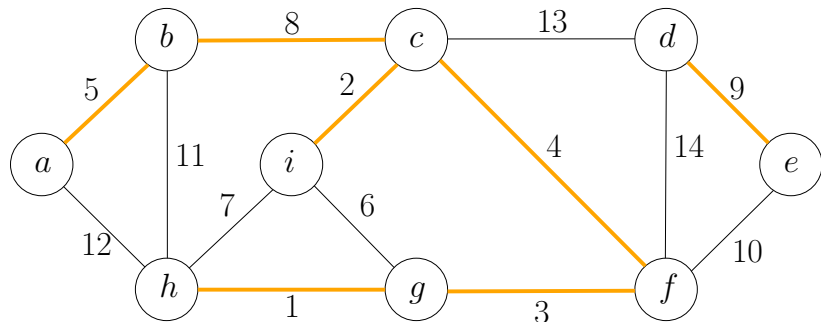
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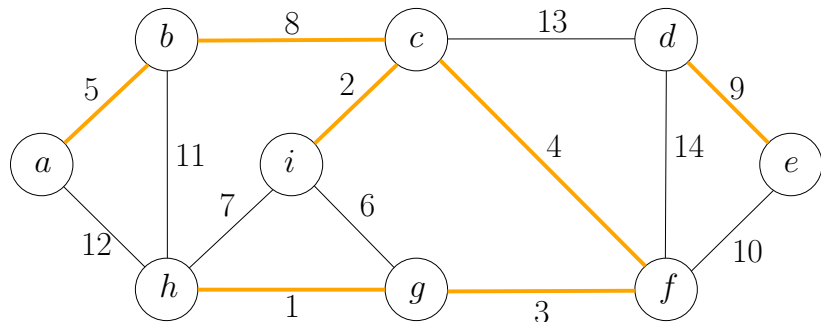
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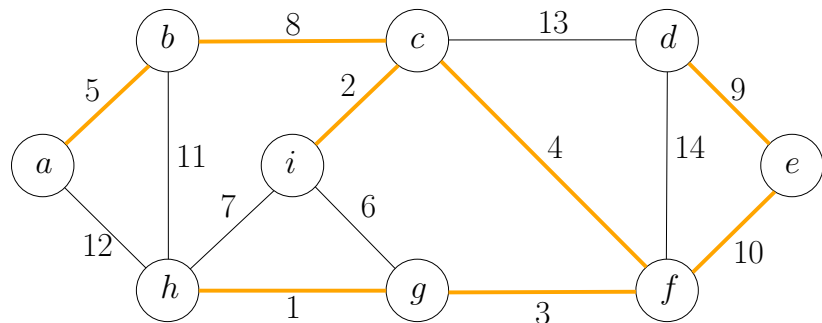
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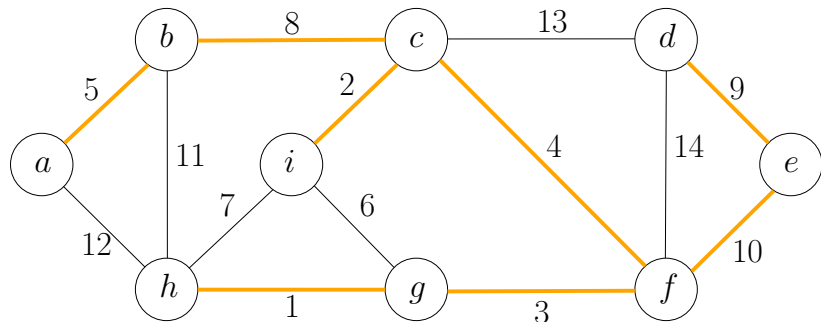
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# Kruskal's Algorithm: Example



Sets:  $\{a, b, c, i, f, g, h, d, e\}$

# Kruskal's Algorithm: Efficient Implementation of Greedy Algorithm

## MST-Kruskal( $G, w$ )

- 1:  $F \leftarrow \emptyset$
- 2:  $\mathcal{S} \leftarrow \{\{v\} : v \in V\}$
- 3: sort the edges of  $E$  in non-decreasing order of weights  $w$
- 4: **for** each edge  $(u, v) \in E$  in the order **do**
- 5:      $S_u \leftarrow$  the set in  $\mathcal{S}$  containing  $u$
- 6:      $S_v \leftarrow$  the set in  $\mathcal{S}$  containing  $v$
- 7:     **if**  $S_u \neq S_v$  **then**
- 8:          $F \leftarrow F \cup \{(u, v)\}$
- 9:          $\mathcal{S} \leftarrow \mathcal{S} \setminus \{S_u\} \setminus \{S_v\} \cup \{S_u \cup S_v\}$
- 10: **return**  $(V, F)$

# Running Time of Kruskal's Algorithm

## MST-Kruskal( $G, w$ )

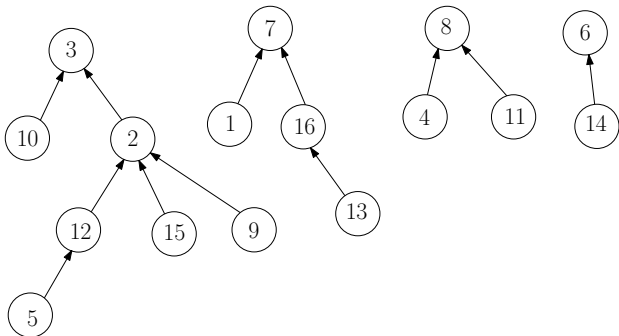
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Use **union-find** data structure to support ②, ⑤, ⑥, ⑦, ⑨.

# Union-Find Data Structure

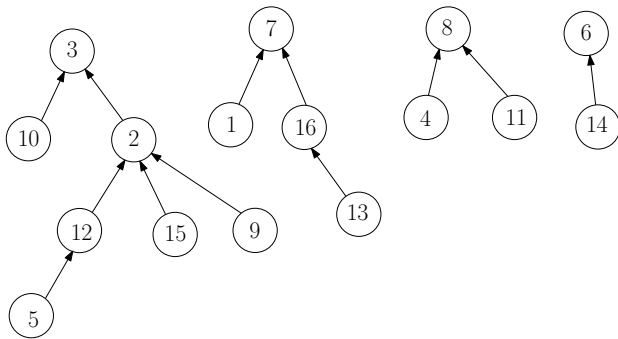
- $V$ : ground set
- We need to maintain a partition of  $V$  and support following operations:
  - Check if  $u$  and  $v$  are in the same set of the partition
  - Merge two sets in partition

- $V = \{1, 2, 3, \dots, 16\}$
- Partition:  $\{2, 3, 5, 9, 10, 12, 15\}$ ,  $\{1, 7, 13, 16\}$ ,  $\{4, 8, 11\}$ ,  $\{6, 14\}$

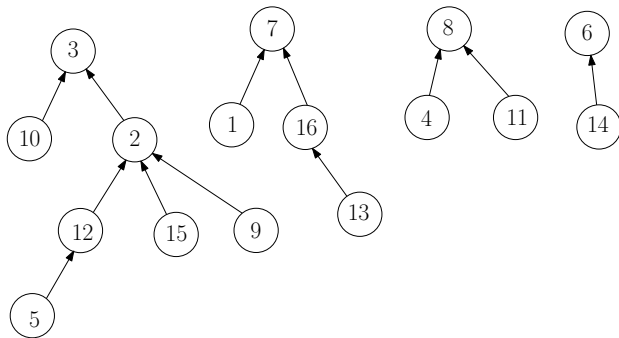


- $par[i]$ : parent of  $i$ , ( $par[i] = \perp$  if  $i$  is a root).

# Union-Find Data Structure

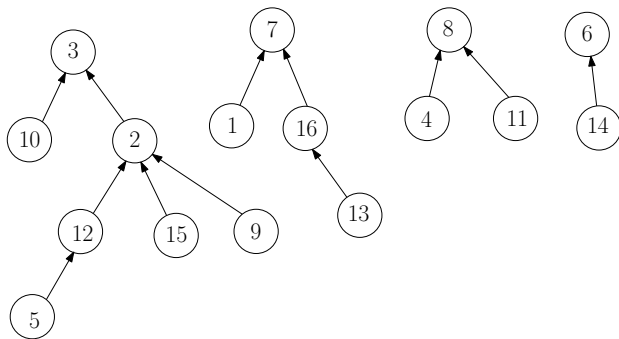


# Union-Find Data Structure



- Q: how can we check if  $u$  and  $v$  are in the same set?

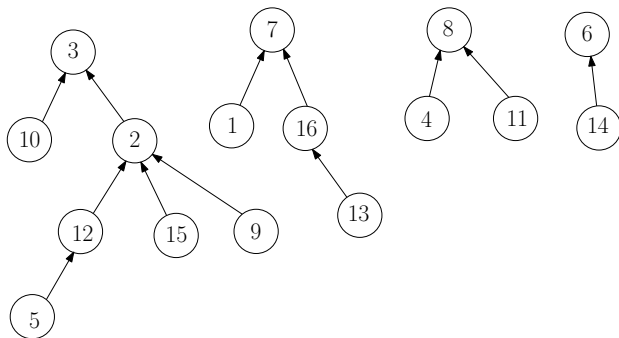
# Union-Find Data Structure



- Q: how can we check if  $u$  and  $v$  are in the same set?
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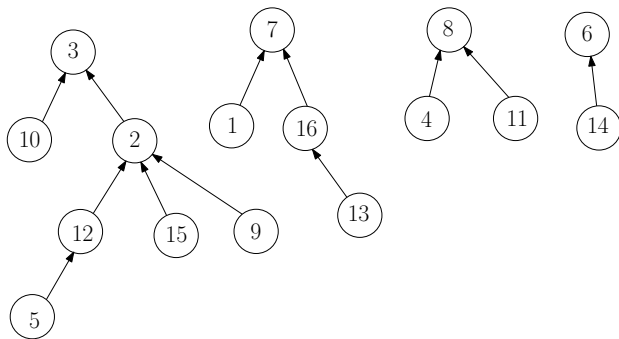


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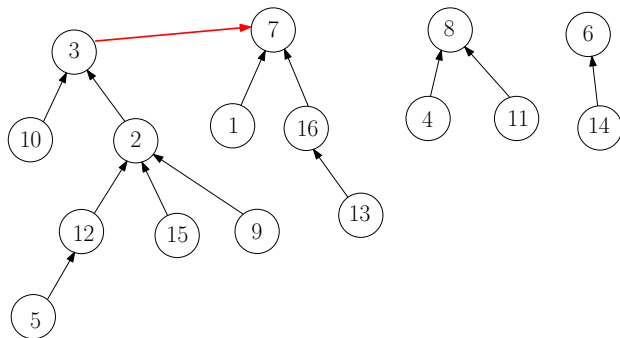
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- Merge the trees with root  $r$  and  $r'$ :  $\text{par}[r] \leftarrow r'$ .

# Union-Find Data Structure



- Q: how can we check if  $u$  and  $v$  are in the same set?
- A: Check if  $\text{root}(u) = \text{root}(v)$ .
- $\text{root}(u)$ : the root of the tree containing  $u$
- Merge the trees with root  $r$  and  $r'$ :  $\text{par}[r] \leftarrow r'$ .

# Union-Find Data Structure

**root(*v*)**

```
1: if  $par[v] = \perp$  then  
2:   return  $v$   
3: else  
4:   return  $root(par[v])$ 
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# Union-Find Data Structure

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# Union-Find Data Structure

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- Improvement: all vertices in the path directly point to the root, saving time in the future.

# Union-Find Data Structure

## $\text{root}(v)$

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## $\text{root}(v)$

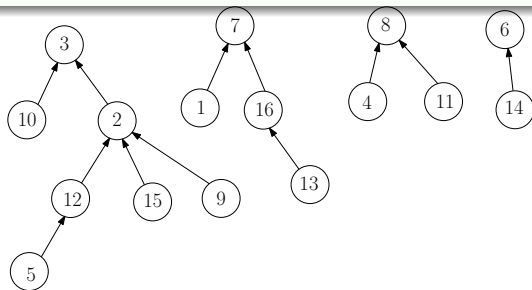
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4:    $\text{par}[v] \leftarrow \text{root}(\text{par}[v])$   
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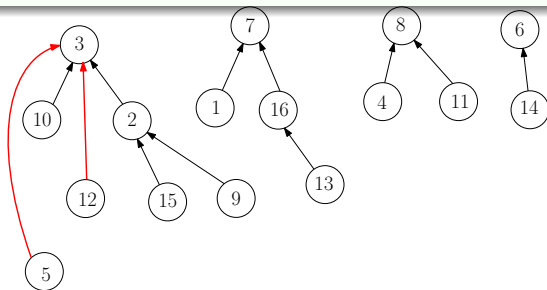




# Union-Find Data Structure

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## MST-Kruskal( $G, w$ )

- 1:  $F \leftarrow \emptyset$
- 2:  $\mathcal{S} \leftarrow \{\{v\} : v \in V\}$
- 3: sort the edges of  $E$  in non-decreasing order of weights  $w$
- 4: **for** each edge  $(u, v) \in E$  in the order **do**
- 5:      $S_u \leftarrow$  the set in  $\mathcal{S}$  containing  $u$
- 6:      $S_v \leftarrow$  the set in  $\mathcal{S}$  containing  $v$
- 7:     **if**  $S_u \neq S_v$  **then**
- 8:          $F \leftarrow F \cup \{(u, v)\}$
- 9:          $\mathcal{S} \leftarrow \mathcal{S} \setminus \{S_u\} \setminus \{S_v\} \cup \{S_u \cup S_v\}$
- 10: **return**  $(V, F)$

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- ②, ⑤, ⑥, ⑦, ⑨ takes time  $O(m\alpha(n))$
- $\alpha(n)$  is very slow-growing:  $\alpha(n) \leq 4$  for  $n \leq 10^{80}$ .

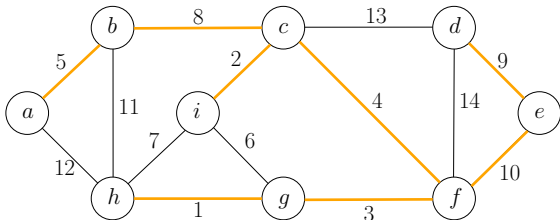
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- Running time = time for ③ =  $O(m \lg n)$ .

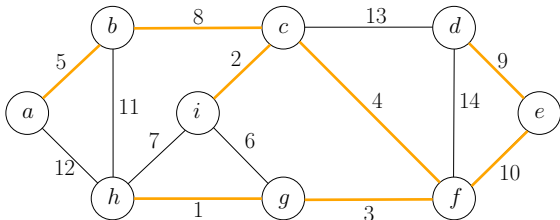
**Assumption** Assume all edge weights are different.

**Lemma** An edge  $e \in E$  is **not** in the MST, if and only if there is cycle  $C$  in  $G$  in which  $e$  is the heaviest edge.



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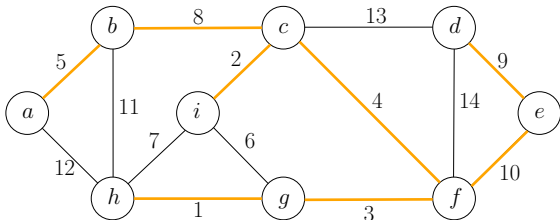
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- $(i, g)$  is not in the MST because of cycle  $(i, c, f, g)$
- $(e, f)$  is in the MST because no such cycle exists



# Outline

## 1 Minimum Spanning Tree

- Kruskal's Algorithm
- Reverse-Kruskal's Algorithm
- Prim's Algorithm

## 2 Single Source Shortest Paths

- Dijkstra's Algorithm

## 3 Shortest Paths in Graphs with Negative Weights

## 4 All-Pair Shortest Paths and Floyd-Warshall

## 5 Minimum Cost Arborescence

## Two Methods to Build a MST

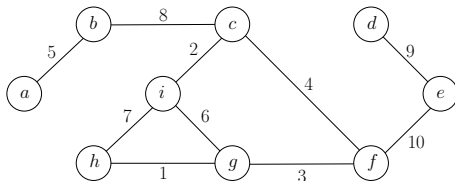
- 1 Start from  $F \leftarrow \emptyset$ , and add edges to  $F$  one by one until we obtain a spanning tree

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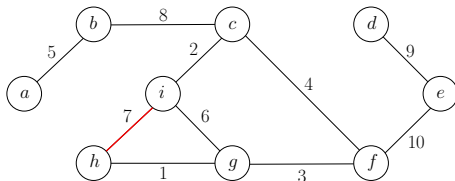
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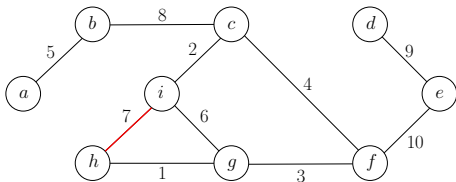


**Q:** Which edge can be safely **excluded** from the MST?

**A:** The heaviest non-**bridge** edge.

## Two Methods to Build a MST

- 1 Start from  $F \leftarrow \emptyset$ , and add edges to  $F$  one by one until we obtain a spanning tree
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**Q:** Which edge can be safely **excluded** from the MST?

**A:** The heaviest non-**bridge** edge.

**Def.** A **bridge** is an edge whose removal disconnects the graph.

**Lemma** It is safe to exclude the heaviest non-bridge edge: there is a MST that does not contain the heaviest non-bridge edge.

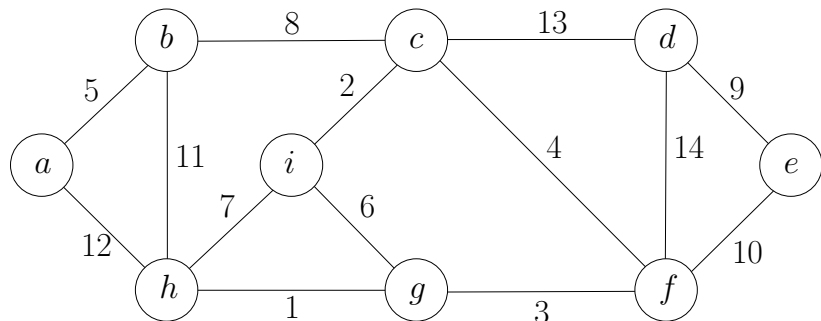
# Reverse Kruskal's Algorithm

## MST-Greedy( $G, w$ )

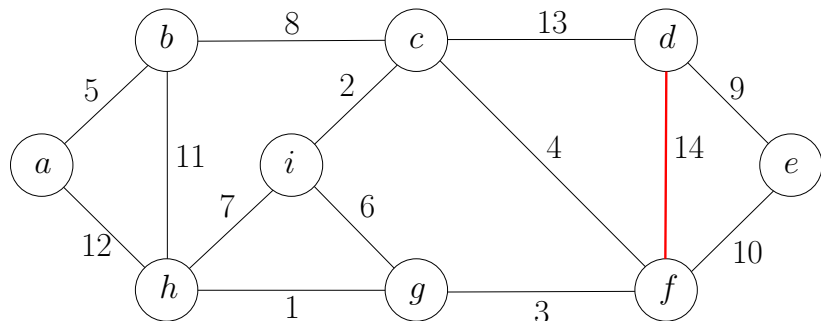
- 1:  $F \leftarrow E$
- 2: sort  $E$  in non-increasing order of weights
- 3: **for** every  $e$  in this order **do**
- 4:     **if**  $(V, F \setminus \{e\})$  is connected **then**
- 5:          $F \leftarrow F \setminus \{e\}$
- 6: **return**  $(V, F)$



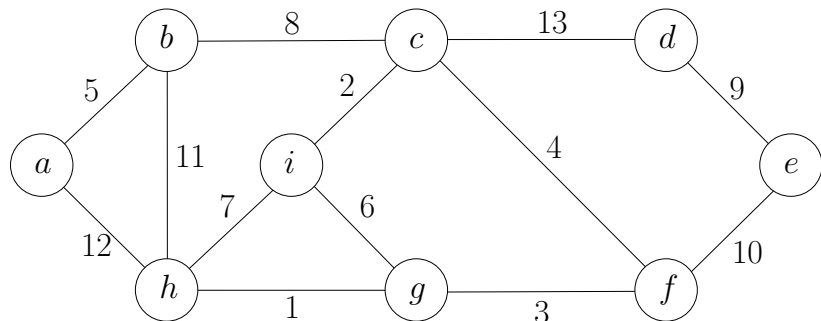
# Reverse Kruskal's Algorithm: Example



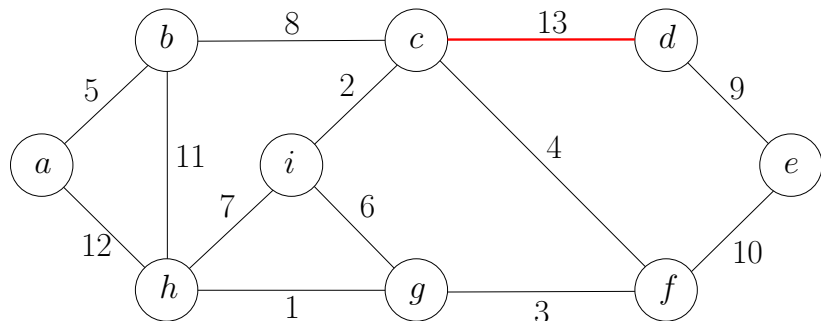
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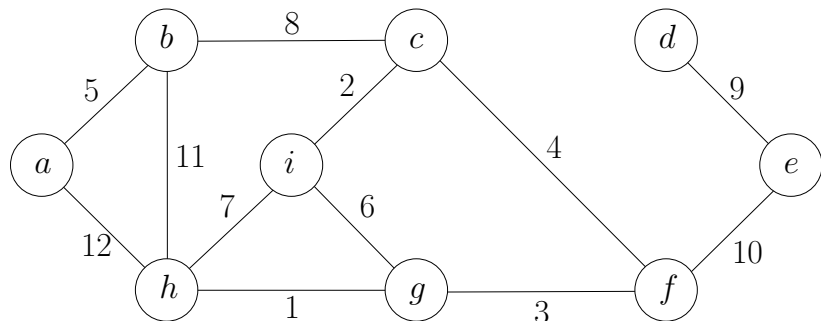
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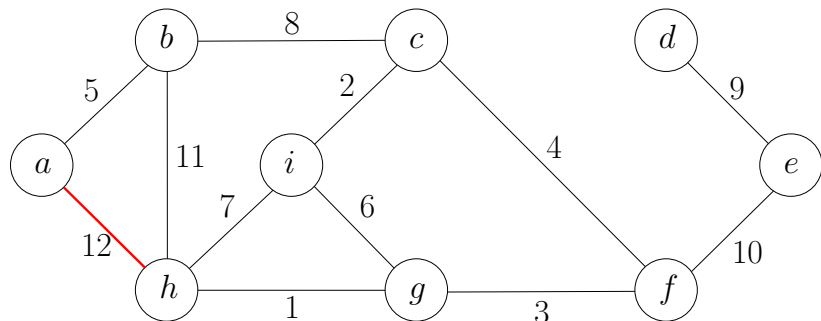
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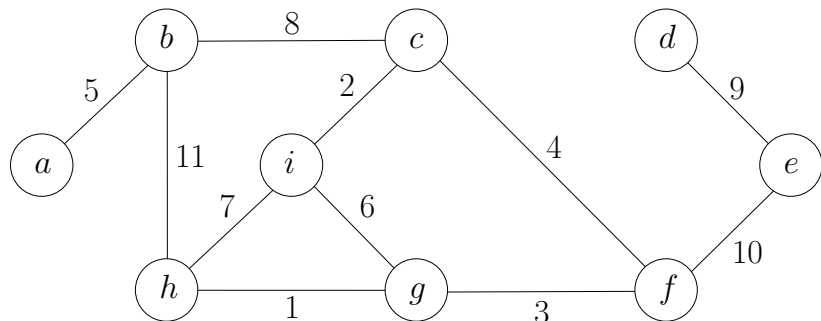
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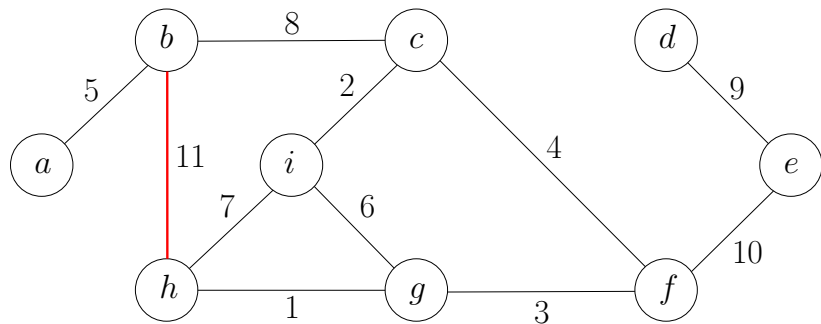
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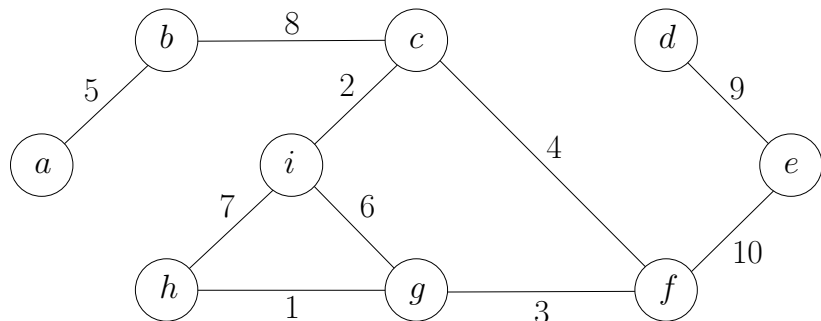


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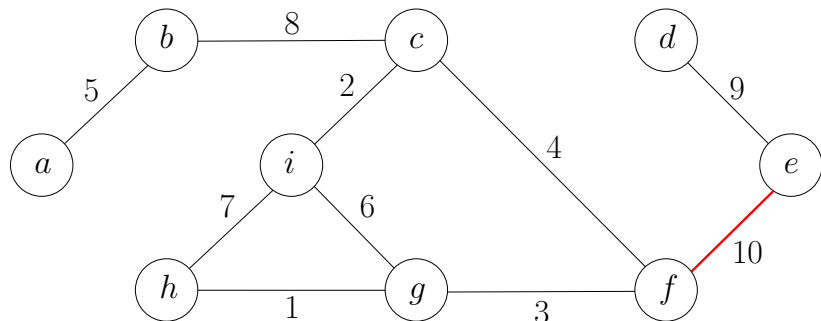




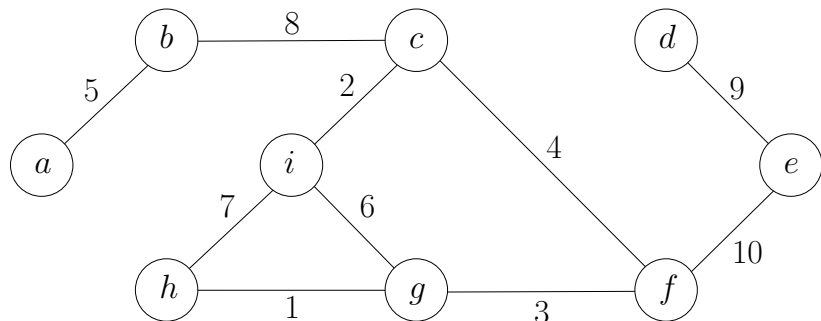
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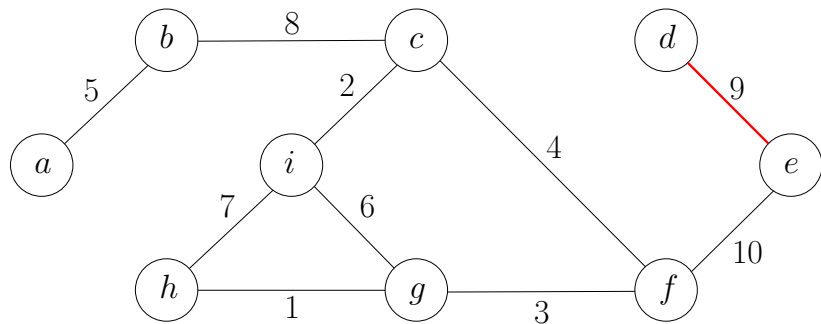
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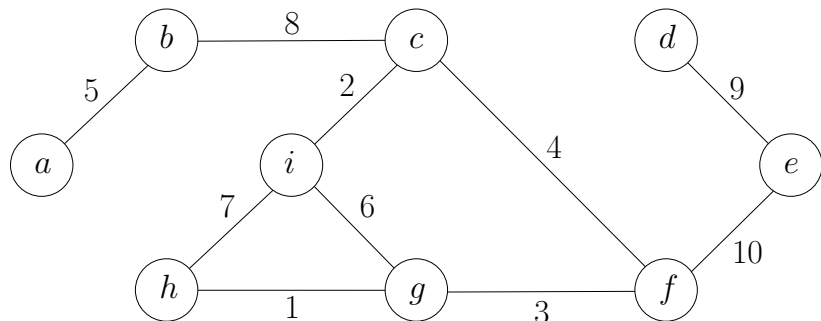
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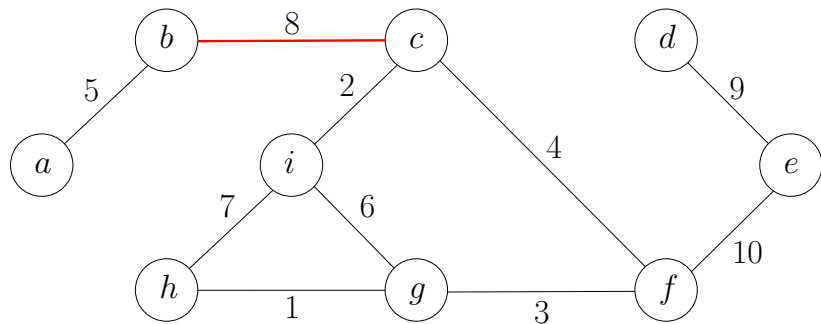
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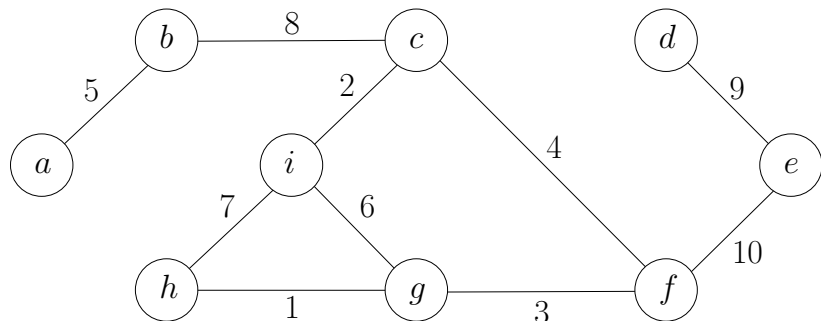
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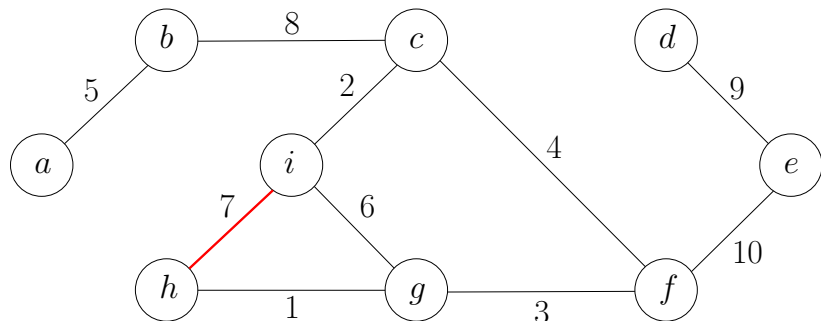
# Reverse Kruskal's Algorithm: Example



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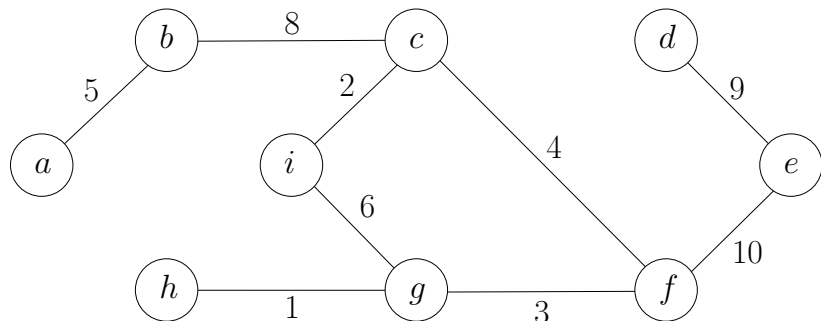


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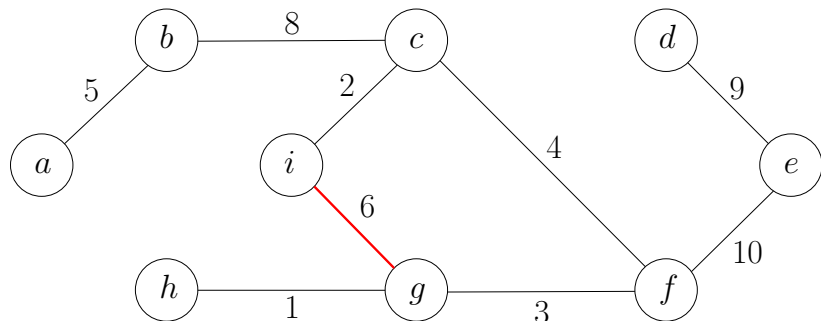




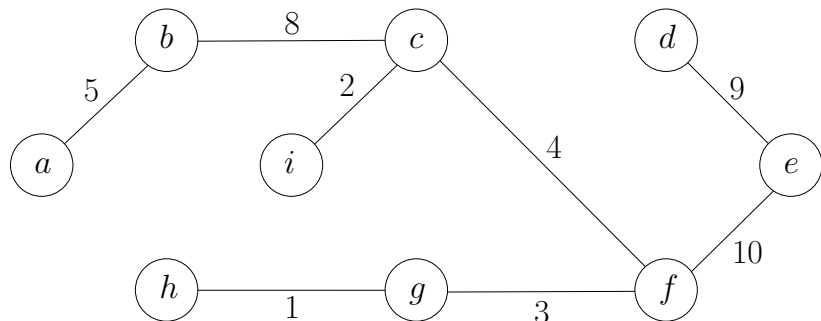
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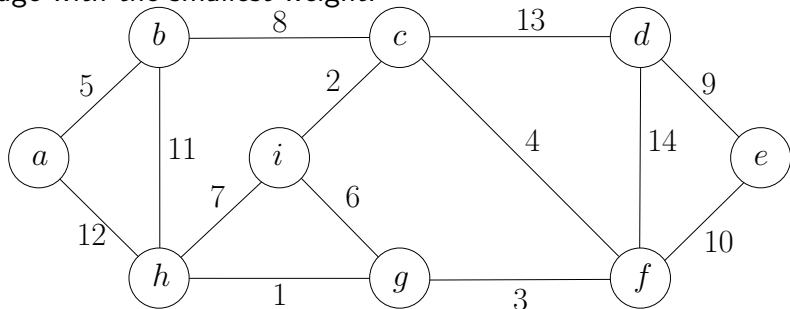
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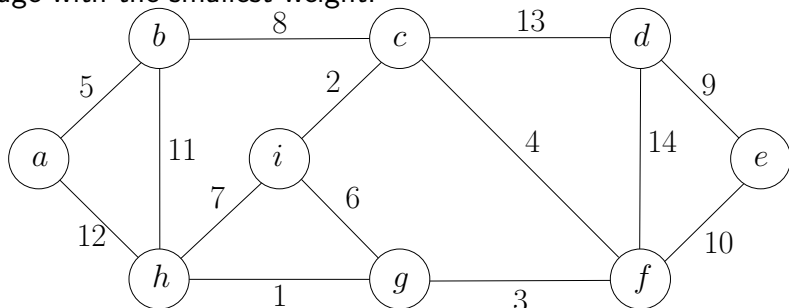
# Design Greedy Strategy for MST

- Recall the greedy strategy for Kruskal's algorithm: choose the edge with the smallest weight.



# Design Greedy Strategy for MST

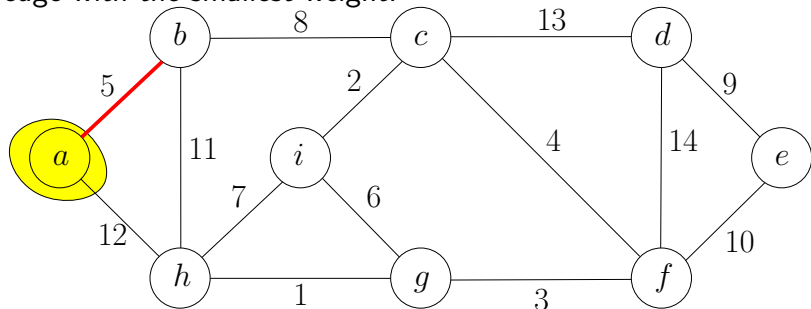
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- Greedy strategy for Prim's algorithm: choose the lightest edge incident to *a*.

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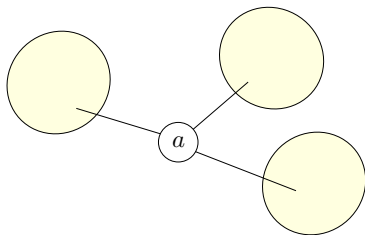


- Greedy strategy for Prim's algorithm: choose the lightest edge incident to *a*.

**Lemma** It is safe to include the lightest edge incident to  $a$ .



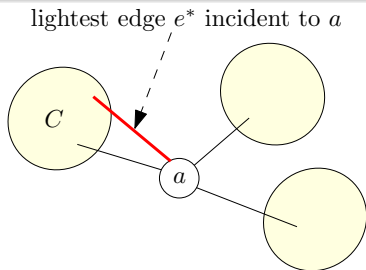
**Lemma** It is safe to include the lightest edge incident to  $a$ .



**Proof.**

- Let  $T$  be a MST
- Consider all components obtained by removing  $a$  from  $T$

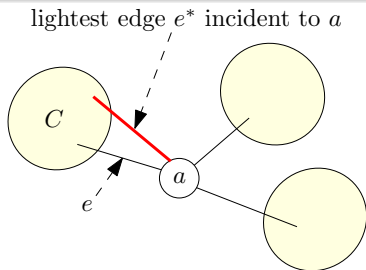
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- Let  $T$  be a MST
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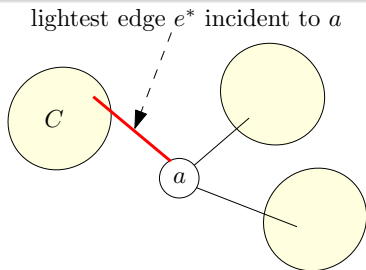
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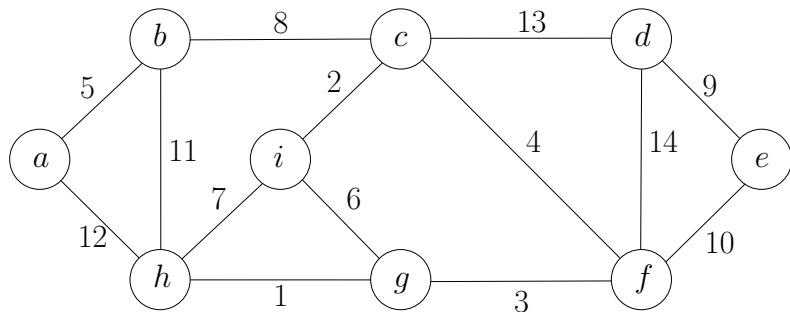
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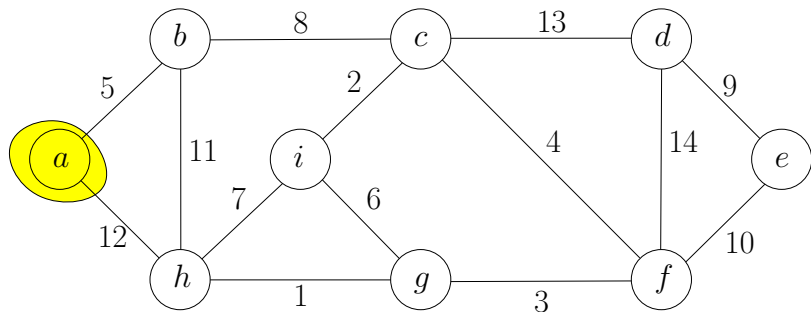
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- Let  $e$  be the edge in  $T$  connecting  $a$  to  $C$
- $T' = T \setminus \{e\} \cup \{e^*\}$  is a spanning tree with  $w(T') \leq w(T)$  □

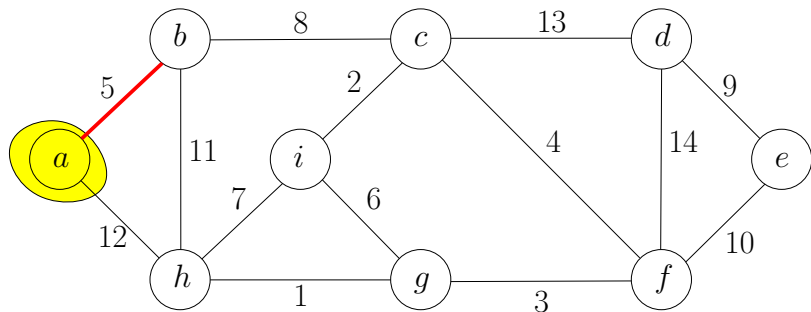
# Prim's Algorithm: Example



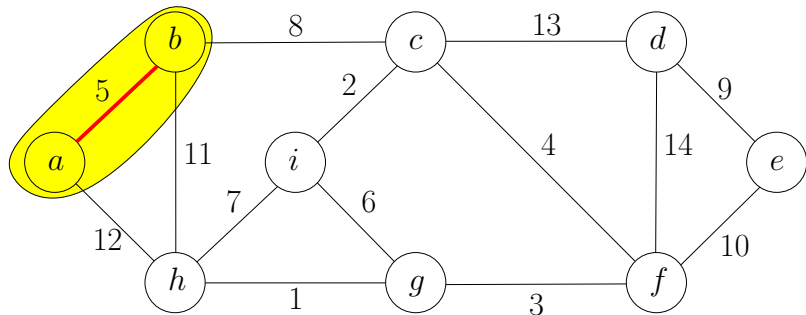
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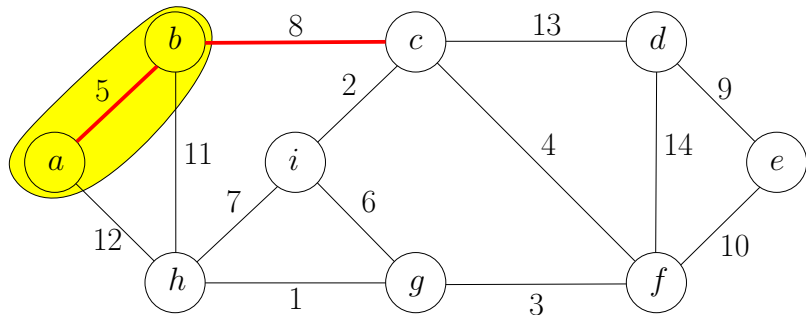


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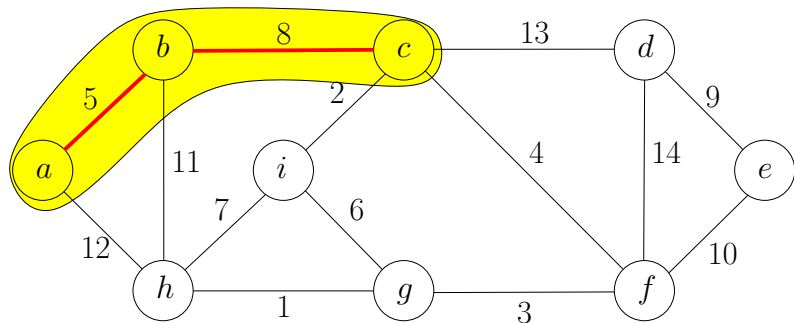




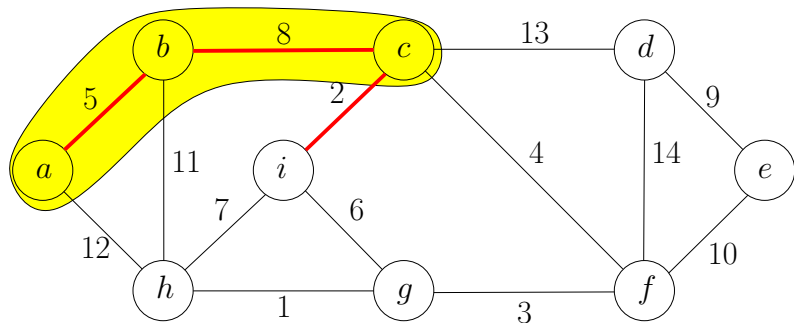
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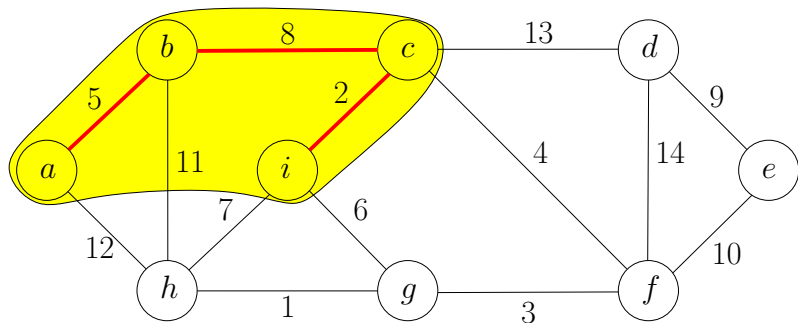
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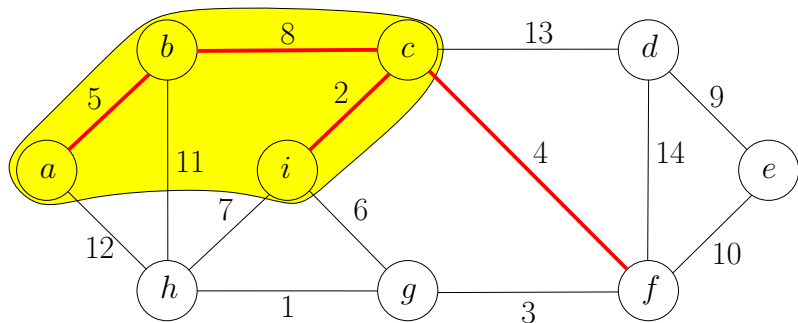
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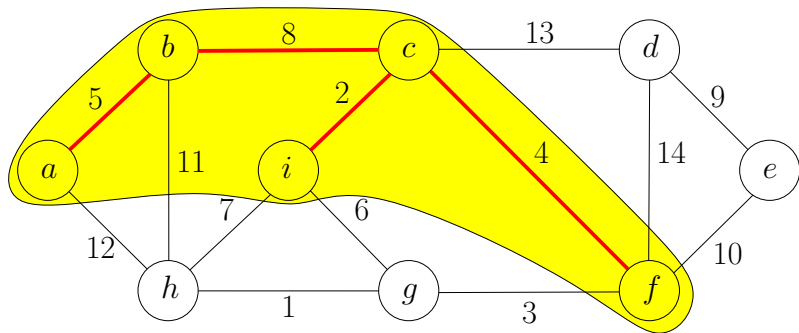
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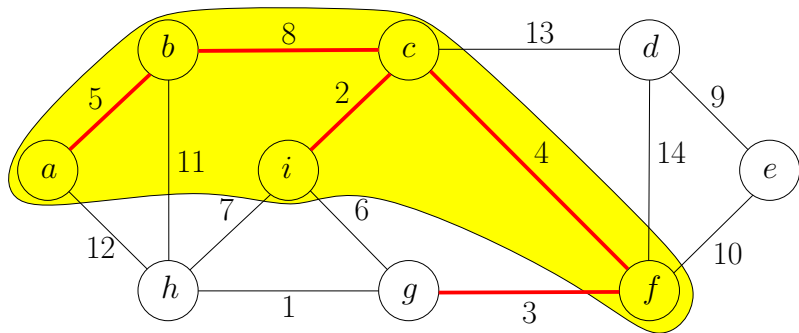
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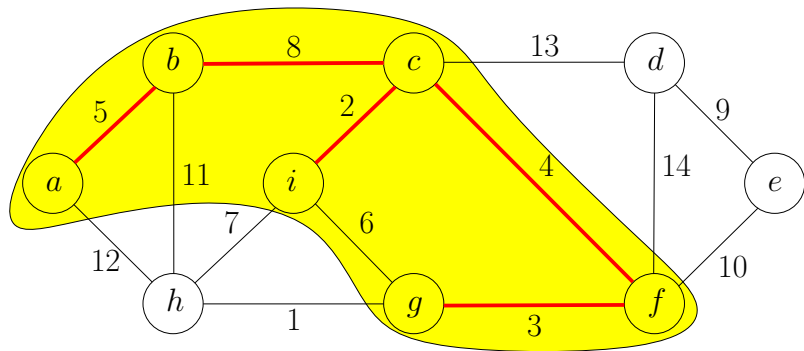
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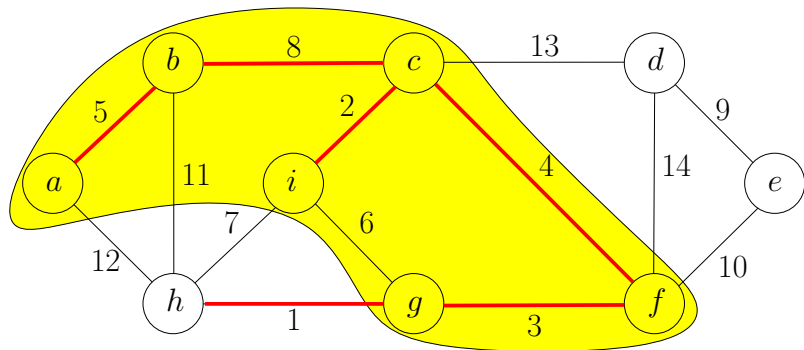


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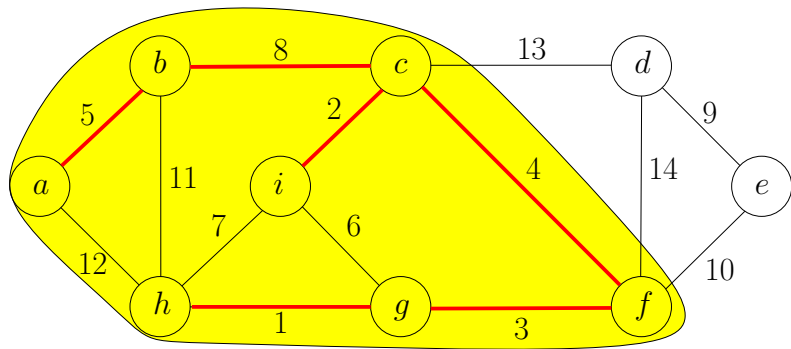




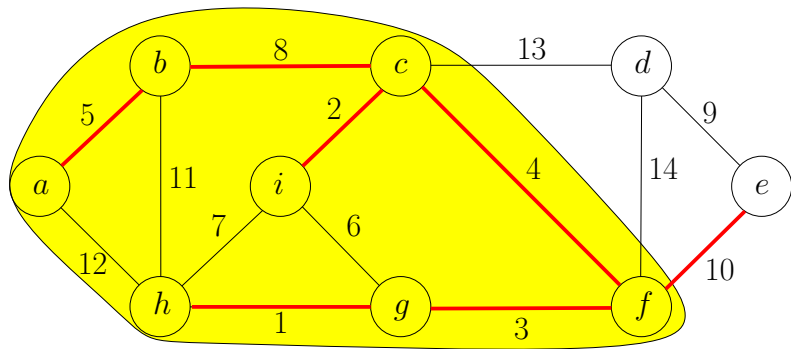
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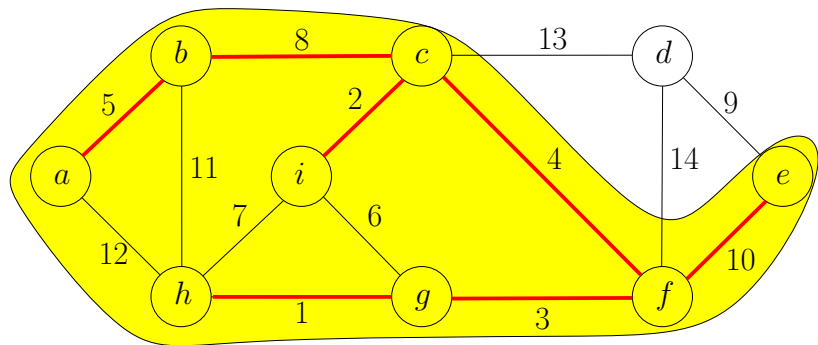
# Prim's Algorithm: Example



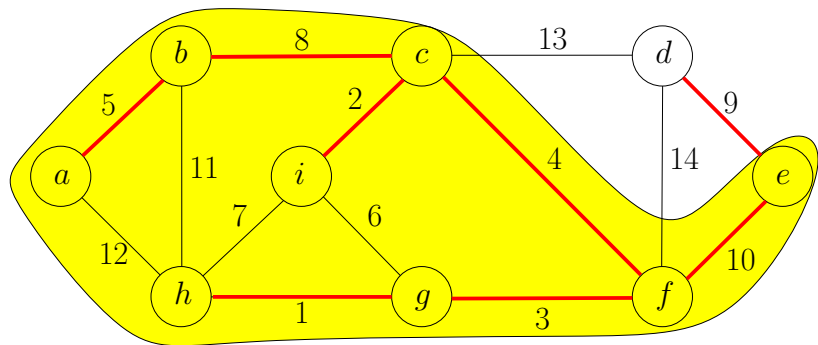
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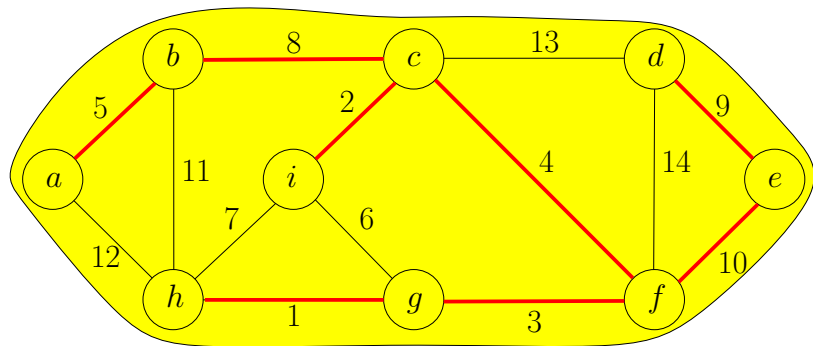
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# Prim's Algorithm: Example





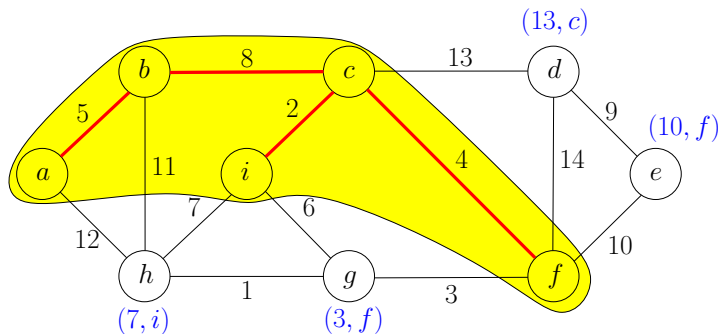




# Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every  $v \in V \setminus S$  maintain

- $d[v] = \min_{u \in S: (u,v) \in E} w(u, v)$ :  
the weight of the lightest edge between  $v$  and  $S$
- $\pi[v] = \arg \min_{u \in S: (u,v) \in E} w(u, v)$ :  
 $(\pi[v], v)$  is the lightest edge between  $v$  and  $S$



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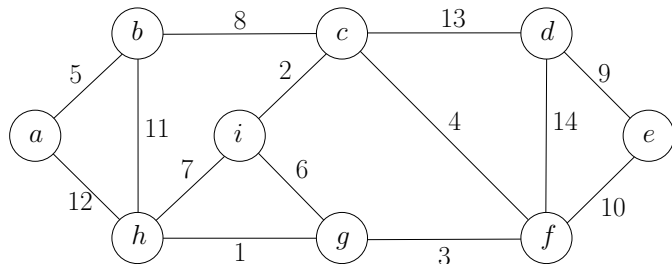
- Pick  $u \in V \setminus S$  with the smallest  $d[u]$  value
- Add  $(\pi[u], u)$  to  $F$
- Add  $u$  to  $S$ , update  $d$  and  $\pi$  values.

# Prim's Algorithm

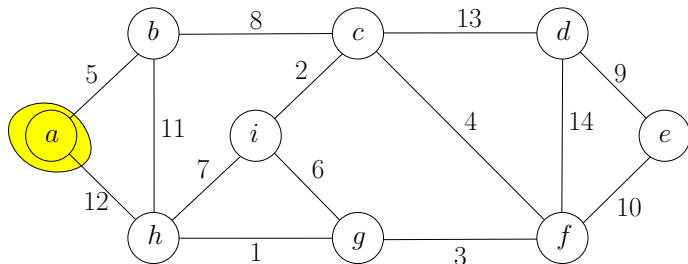
## MST-Prim( $G, w$ )

- 1:  $s \leftarrow$  arbitrary vertex in  $G$
- 2:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 3: **while**  $S \neq V$  **do**
- 4:      $u \leftarrow$  vertex in  $V \setminus S$  with the minimum  $d[u]$
- 5:      $S \leftarrow S \cup \{u\}$
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- 7:         **if**  $w(u, v) < d[v]$  **then**
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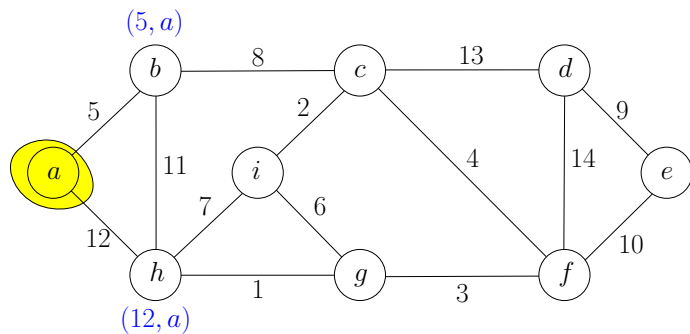
# Example



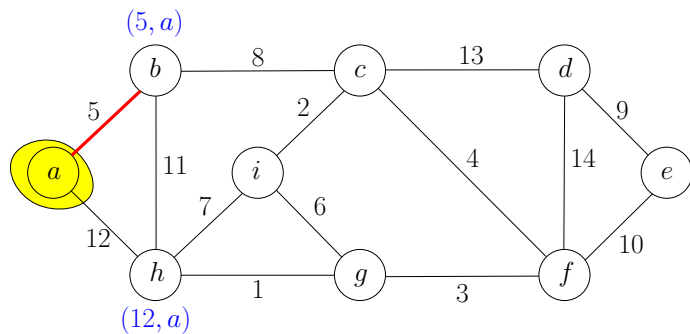
# Example



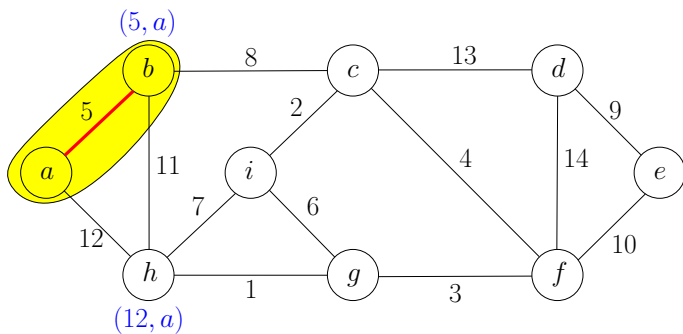
# Example



# Example

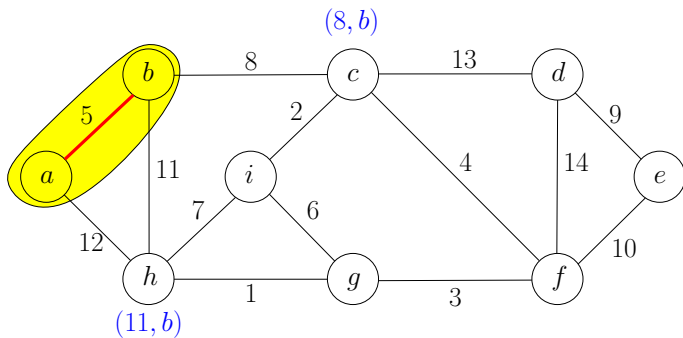


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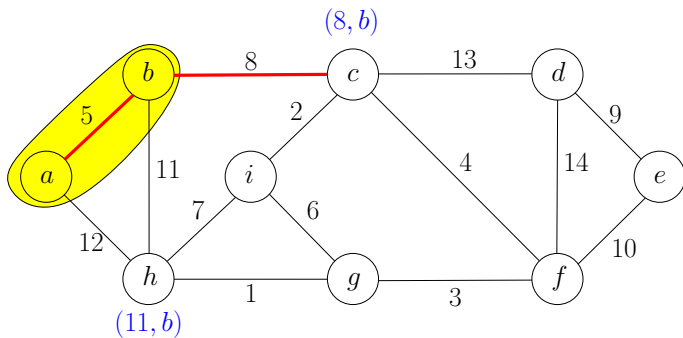




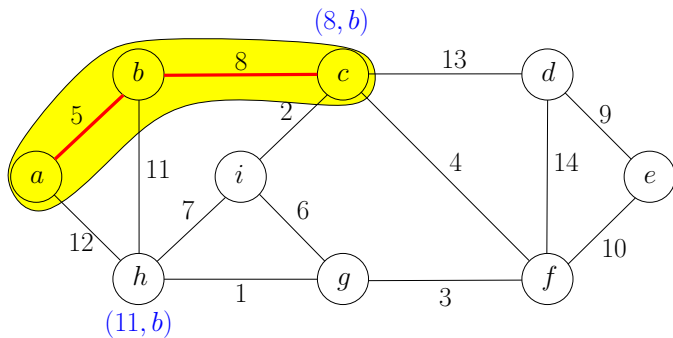
# Example



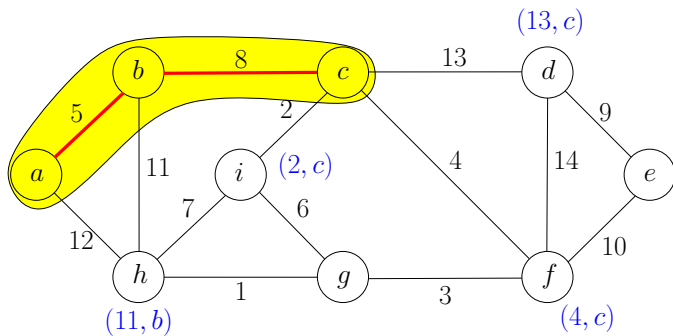
# Example



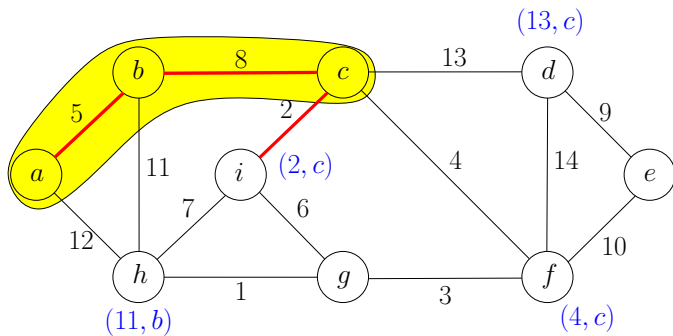
# Example



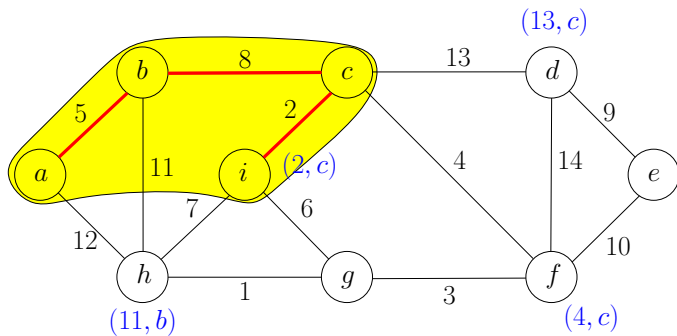
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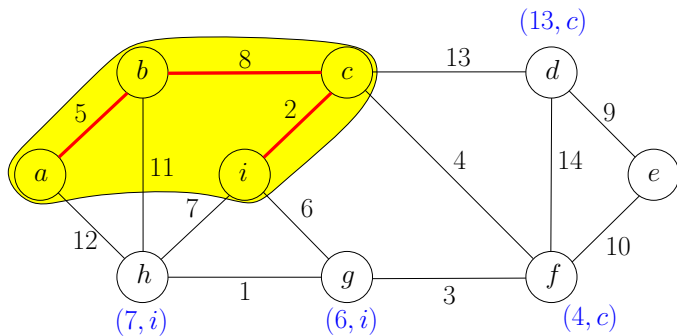
# Example



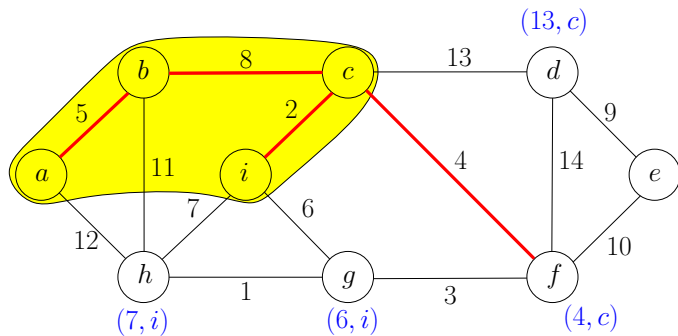
# Example



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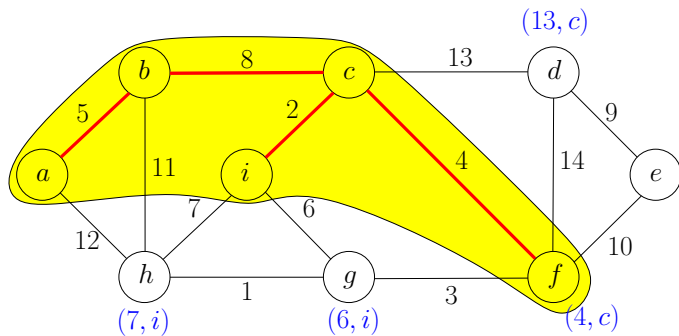


# Example

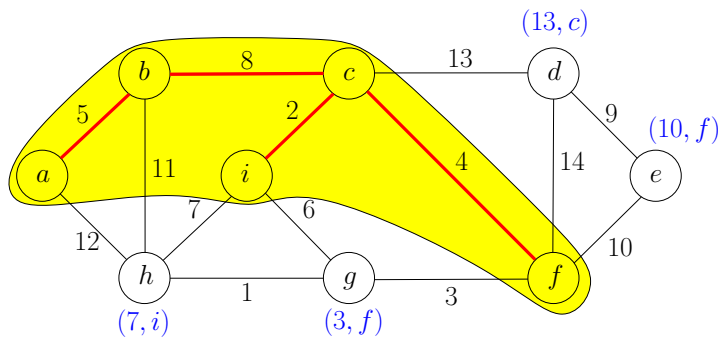




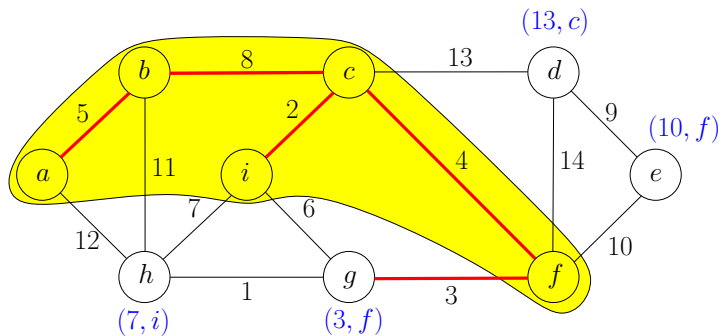
# Example



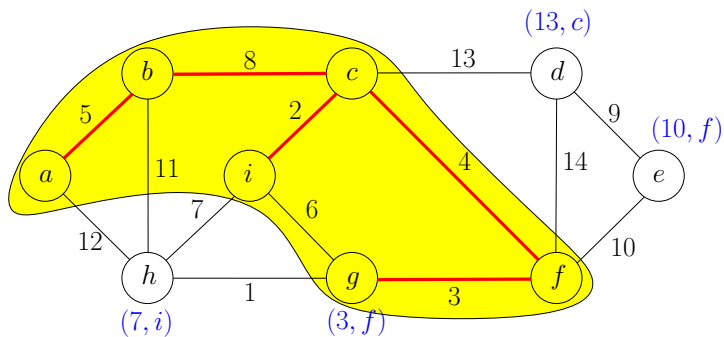
# Example



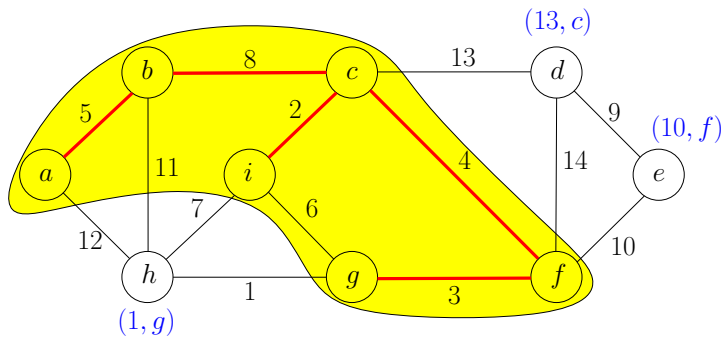
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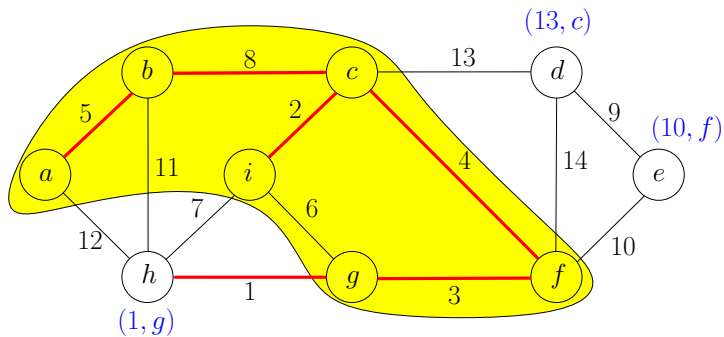
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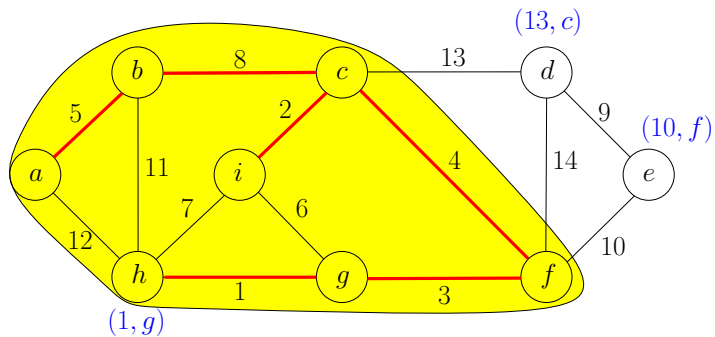
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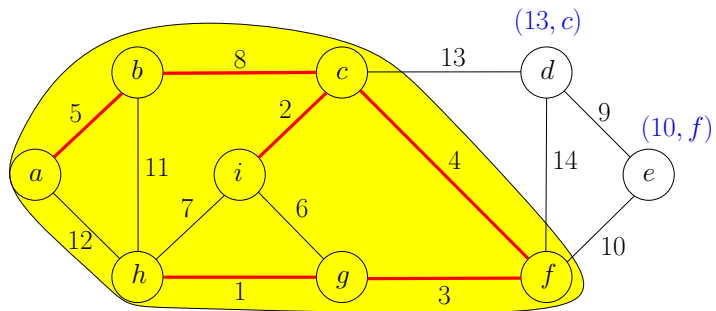
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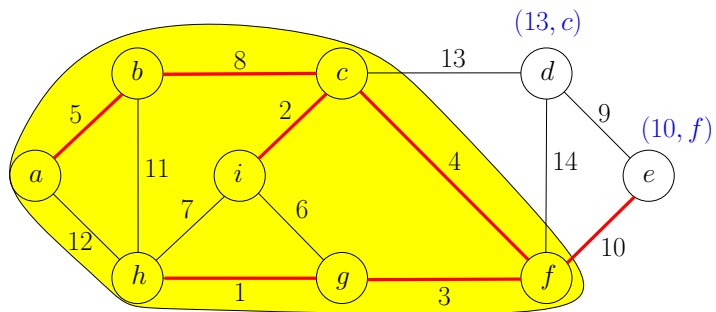


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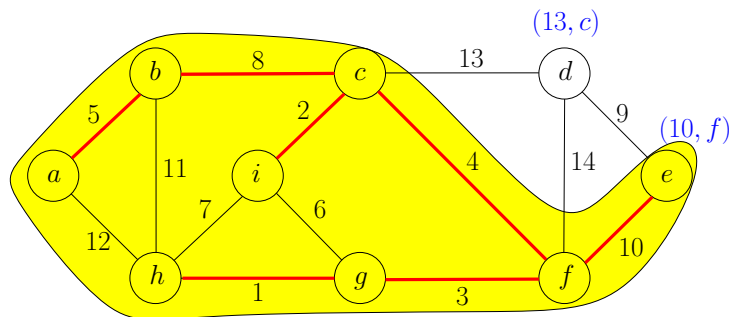




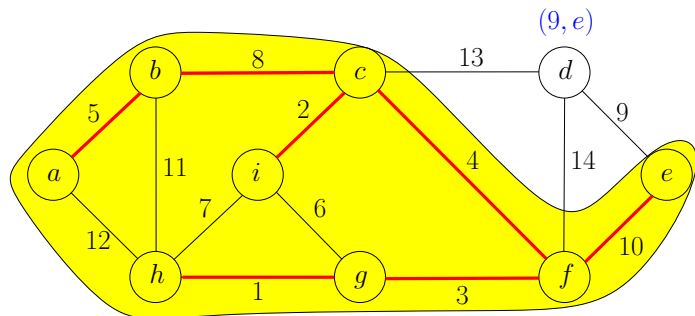
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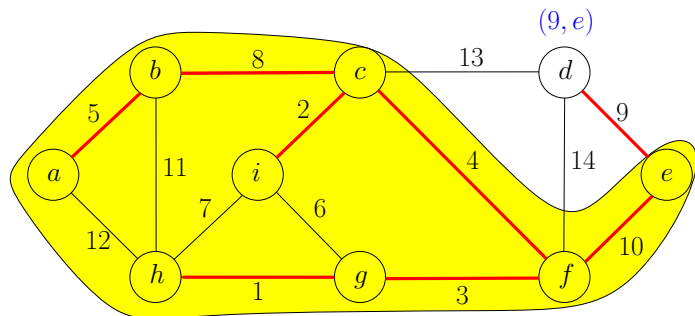
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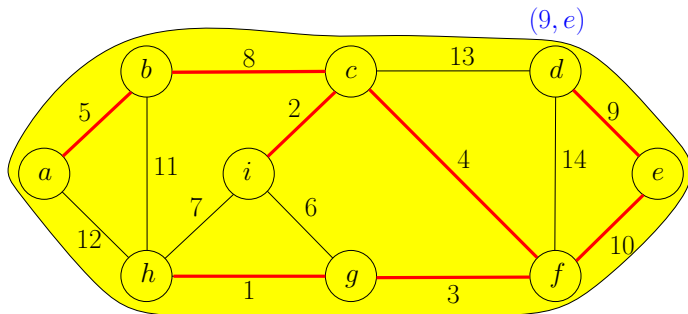
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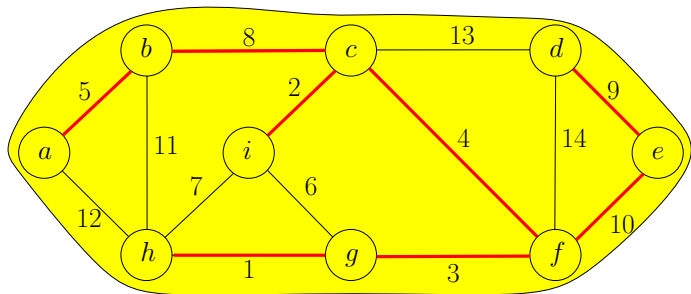
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# Prim's Algorithm

For every  $v \in V \setminus S$  maintain

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In every iteration

- Pick  $u \in V \setminus S$  with the smallest  $d[u]$  value
- Add  $(\pi[u], u)$  to  $F$
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In every iteration

- Pick  $u \in V \setminus S$  with the smallest  $d[u]$  value extract\_min
- Add  $(\pi[u], u)$  to  $F$
- Add  $u$  to  $S$ , update  $d$  and  $\pi$  values. decrease\_key

Use a **priority queue** to support the operations



**Def.** A **priority queue** is an **abstract** data structure that maintains a set  $U$  of elements, each with an associated key value, and supports the following operations:

- $\text{insert}(v, \text{key\_value})$ : insert an element  $v$ , whose associated key value is  $\text{key\_value}$ .
- $\text{decrease\_key}(v, \text{new\_key\_value})$ : decrease the key value of an element  $v$  in queue to  $\text{new\_key\_value}$
- $\text{extract\_min}()$ : return and remove the element in queue with the smallest key value
- ...

# Prim's Algorithm

## MST-Prim( $G, w$ )

- 1:  $s \leftarrow$  arbitrary vertex in  $G$
- 2:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 3:
- 4: **while**  $S \neq V$  **do**
- 5:      $u \leftarrow$  vertex in  $V \setminus S$  with the minimum  $d[u]$
- 6:      $S \leftarrow S \cup \{u\}$
- 7:     **for each**  $v \in V \setminus S$  such that  $(u, v) \in E$  **do**
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# Prim's Algorithm Using Priority Queue

## MST-Prim( $G, w$ )

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- 2:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 3:  $Q \leftarrow$  empty queue, for each  $v \in V: Q.\text{insert}(v, d[v])$
- 4: **while**  $S \neq V$  **do**
- 5:      $u \leftarrow Q.\text{extract\_min}()$
- 6:      $S \leftarrow S \cup \{u\}$
- 7:     **for** each  $v \in V \setminus S$  such that  $(u, v) \in E$  **do**
- 8:         **if**  $w(u, v) < d[v]$  **then**
- 9:              $d[v] \leftarrow w(u, v), Q.\text{decrease\_key}(v, d[v])$
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# Running Time of Prim's Algorithm Using Priority Queue

$$O(n) \times (\text{time for extract\_min}) + O(m) \times (\text{time for decrease\_key})$$

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concrete DS	extract_min	decrease_key	overall time
heap	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci heap	$O(\log n)$	$O(1)$	$O(n \log n + m)$

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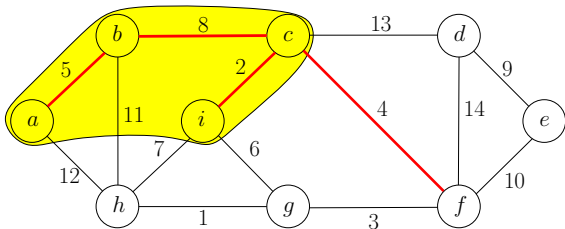
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**Assumption** Assume all edge weights are different.

**Lemma**  $(u, v)$  is in MST, if and only if there exists a **cut**  $(U, V \setminus U)$ , such that  $(u, v)$  is the lightest edge between  $U$  and  $V \setminus U$ .

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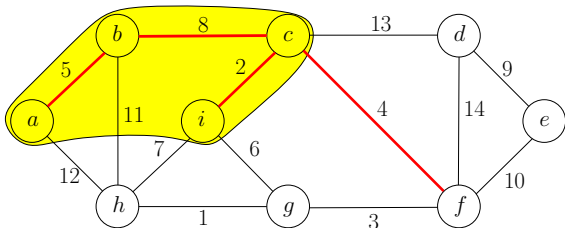


- $(c, f)$  is in MST because of cut  $(\{a, b, c, i\}, V \setminus \{a, b, c, i\})$



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- $(c, f)$  is in MST because of cut  $(\{a, b, c, i\}, V \setminus \{a, b, c, i\})$
- $(i, g)$  is not in MST because no such cut exists

# “Evidence” for $e \in \text{MST}$ or $e \notin \text{MST}$

**Assumption** Assume all edge weights are different.

- $e \in \text{MST} \leftrightarrow$  there is a cut in which  $e$  is the lightest edge
- $e \notin \text{MST} \leftrightarrow$  there is a cycle in which  $e$  is the heaviest edge

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**Exactly one** of the following is true:

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Thus, the minimum spanning tree is unique with assumption.

# Outline

- 1 Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- 2 Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- 5 Minimum Cost Arborescence

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	$O(n + m)$
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n \log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	$O(nm)$
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- DAG = directed acyclic graph    U = undirected    D = directed
- SS = single source    AP = all pairs

## $s$ - $t$ Shortest Paths

**Input:** (directed or undirected) graph  $G = (V, E)$ ,  $s, t \in V$

$$w : E \rightarrow \mathbb{R}_{\geq 0}$$

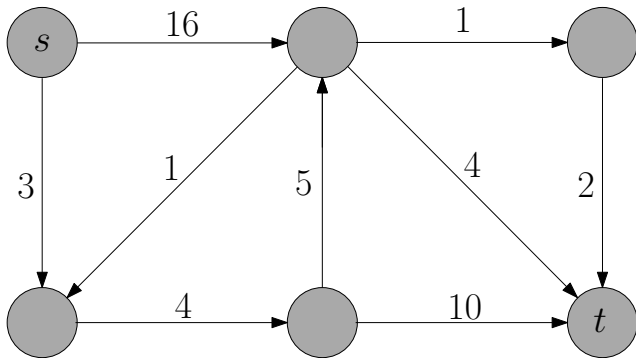
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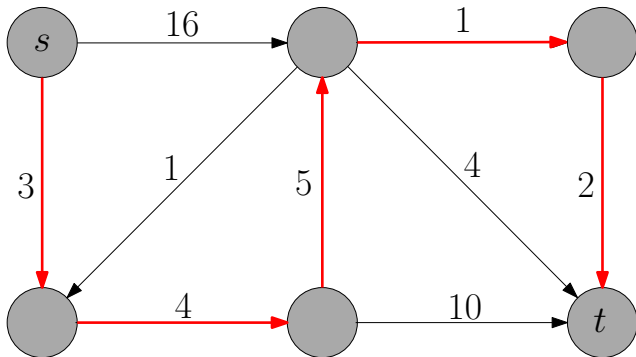


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## Single Source Shortest Paths

**Input:** (directed or undirected) graph  $G = (V, E)$ ,  $s \in V$

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**Output:** shortest paths from  $s$  to **all other vertices**  $v \in V$

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## Reason for Considering Single Source Shortest Paths Problem

- We do not know how to solve  $s$ - $t$  shortest path problem more efficiently than solving single source shortest path problem

## Single Source Shortest Paths

**Input:** (directed or undirected) graph  $G = (V, E)$ ,  $s \in V$   
 $w : E \rightarrow \mathbb{R}_{\geq 0}$

**Output:** shortest paths from  $s$  to **all other vertices**  $v \in V$

## Reason for Considering Single Source Shortest Paths Problem

- We do not know how to solve  $s-t$  shortest path problem more efficiently than solving single source shortest path problem
- Shortest paths in directed graphs is more general than in undirected graphs: we can replace every undirected edge with two anti-parallel edges of the same weight

## Single Source Shortest Paths

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## Single Source Shortest Paths

**Input:** directed graph  $G = (V, E)$ ,  $s \in V$

$$w : E \rightarrow \mathbb{R}_{\geq 0}$$

**Output:**  $\pi[v], v \in V \setminus s$ : the parent of  $v$  in shortest path tree

$d[v], v \in V \setminus s$ : the length of shortest path from  $s$  to  $v$

**Q:** How to compute shortest paths from  $s$  when all edges have weight 1?

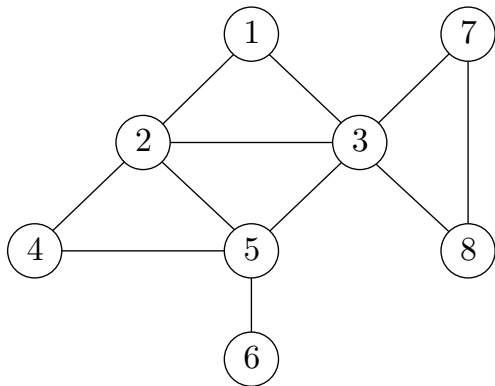


**Q:** How to compute shortest paths from  $s$  when all edges have weight 1?

**A:** Breadth first search (BFS) from source  $s$

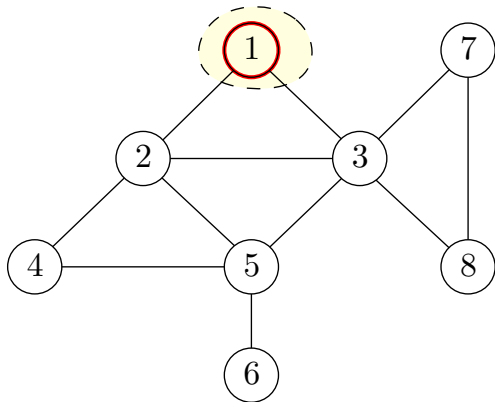
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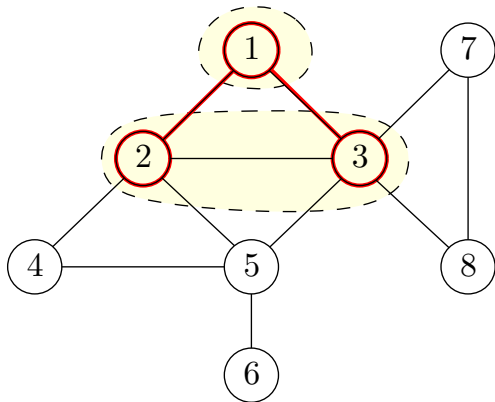
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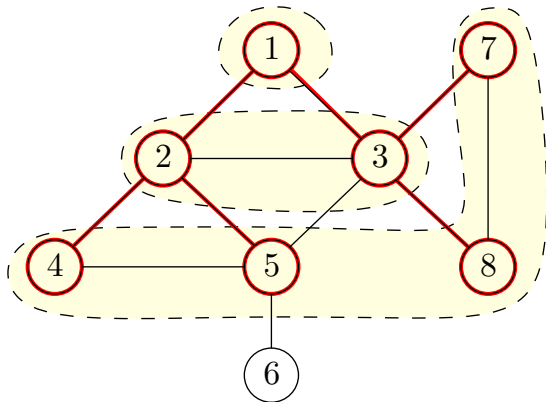
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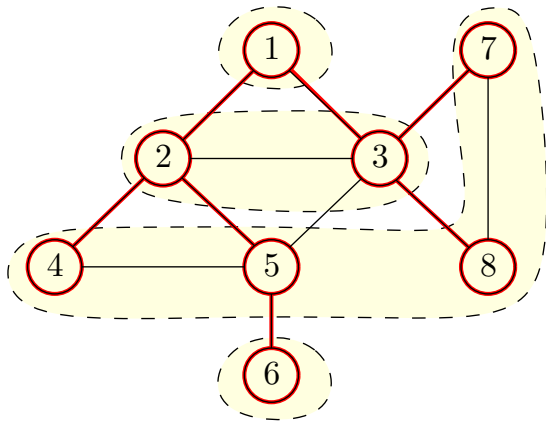
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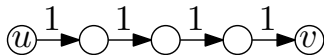
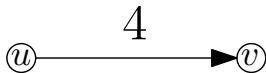
**A:** Breadth first search (BFS) from source  $s$



**Assumption** Weights  $w(u, v)$  are integers (w.l.o.g.).

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## Shortest Path Algorithm by Running BFS

- 1: replace  $(u, v)$  of length  $w(u, v)$  with a path of  $w(u, v)$  unit-weight edges, for every  $(u, v) \in E$
- 2: run BFS
- 3:  $\pi[v] \leftarrow$  vertex from which  $v$  is visited
- 4:  $d[v] \leftarrow$  index of the level containing  $v$

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## Shortest Path Algorithm by Running BFS

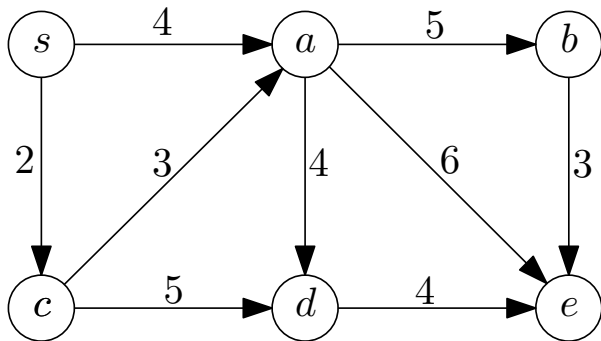
- 1: replace  $(u, v)$  of length  $w(u, v)$  with a path of  $w(u, v)$  unit-weight edges, for every  $(u, v) \in E$
- 2: run BFS **virtually**
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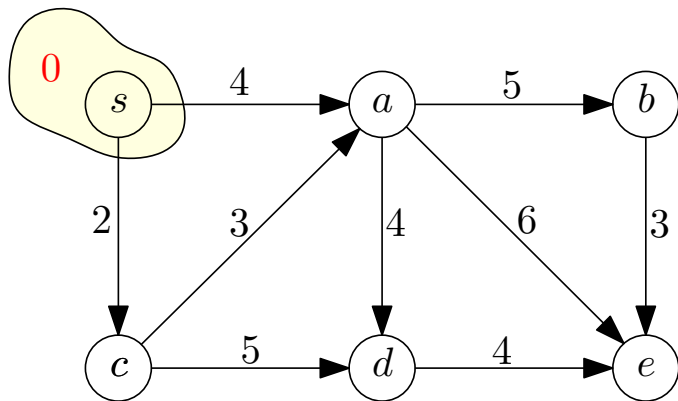
## Shortest Path Algorithm by Running BFS Virtually

- 1:  $S \leftarrow \{s\}, d(s) \leftarrow 0$
- 2: **while**  $|S| \leq n$  **do**
- 3:     find a  $v \notin S$  that minimizes  $\min_{u \in S: (u,v) \in E} \{d[u] + w(u, v)\}$
- 4:      $S \leftarrow S \cup \{v\}$
- 5:      $d[v] \leftarrow \min_{u \in S: (u,v) \in E} \{d[u] + w(u, v)\}$

# Virtual BFS: Example

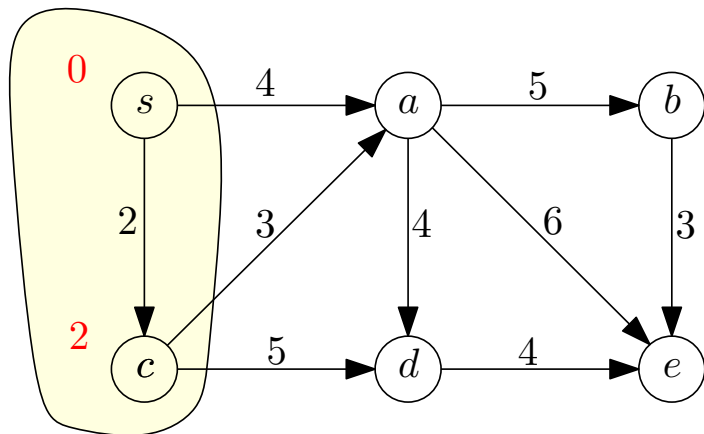


# Virtual BFS: Example



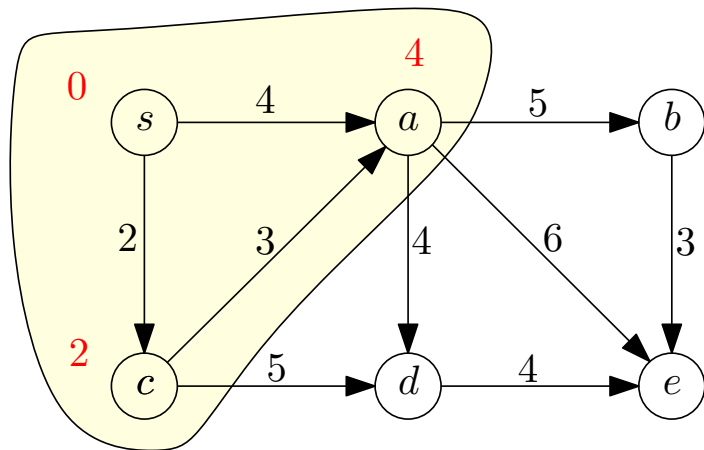
Time 0

# Virtual BFS: Example



Time 2

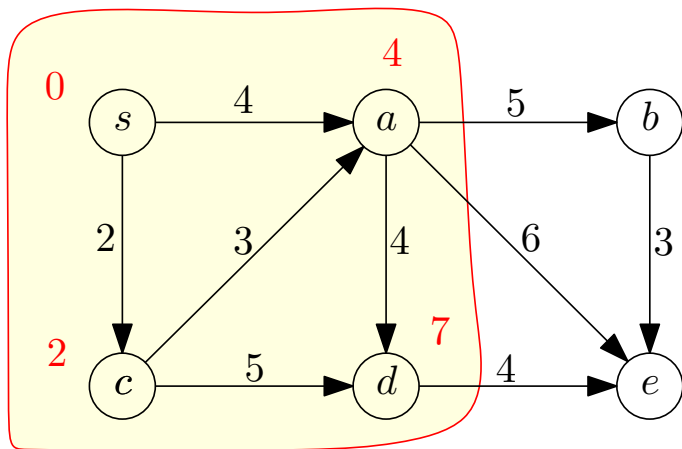
# Virtual BFS: Example



Time 4

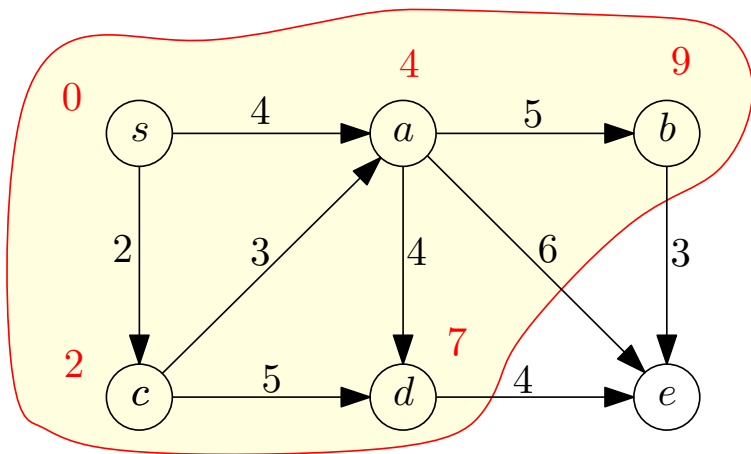


# Virtual BFS: Example



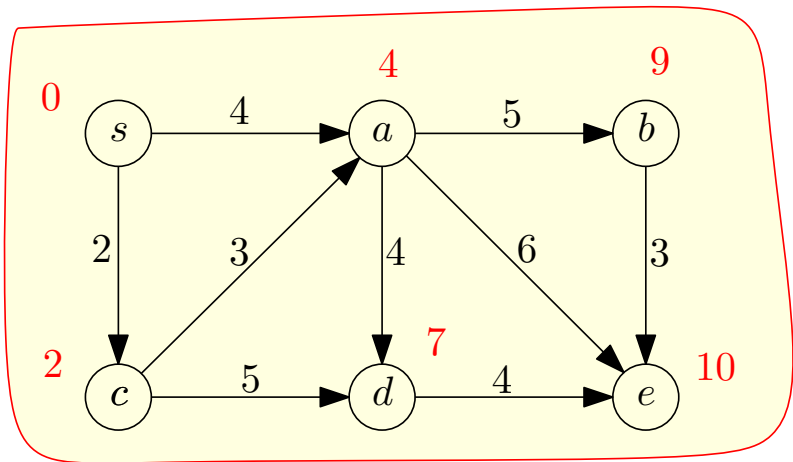
Time 7

# Virtual BFS: Example



Time 9

# Virtual BFS: Example



Time 10

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# Dijkstra's Algorithm

## Dijkstra( $G, w, s$ )

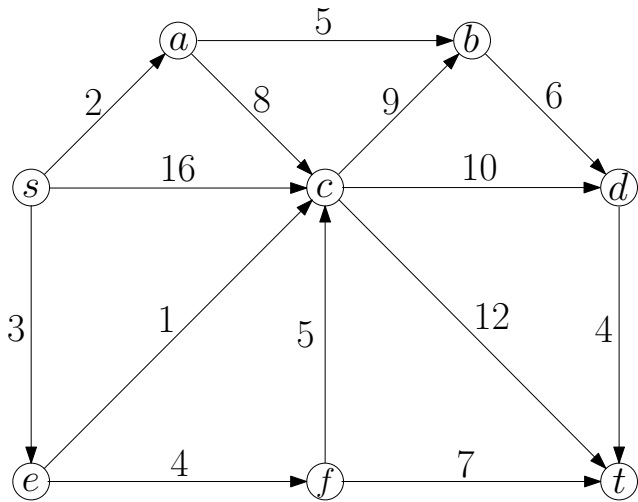
- 1:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 2: **while**  $S \neq V$  **do**
- 3:      $u \leftarrow$  vertex in  $V \setminus S$  with the minimum  $d[u]$
- 4:     add  $u$  to  $S$
- 5:     **for** each  $v \in V \setminus S$  such that  $(u, v) \in E$  **do**
- 6:         **if**  $d[u] + w(u, v) < d[v]$  **then**
- 7:              $d[v] \leftarrow d[u] + w(u, v)$
- 8:              $\pi[v] \leftarrow u$
- 9: **return**  $(d, \pi)$

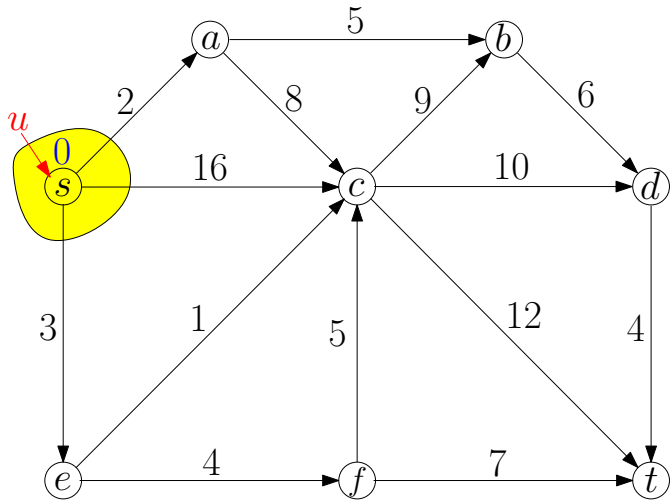
# Dijkstra's Algorithm

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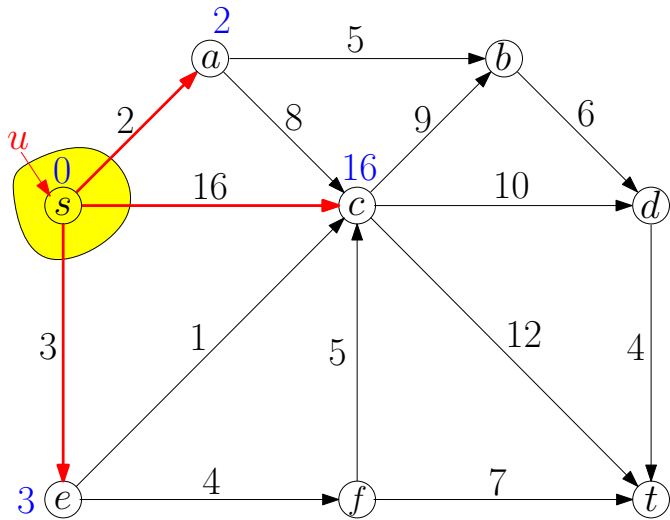
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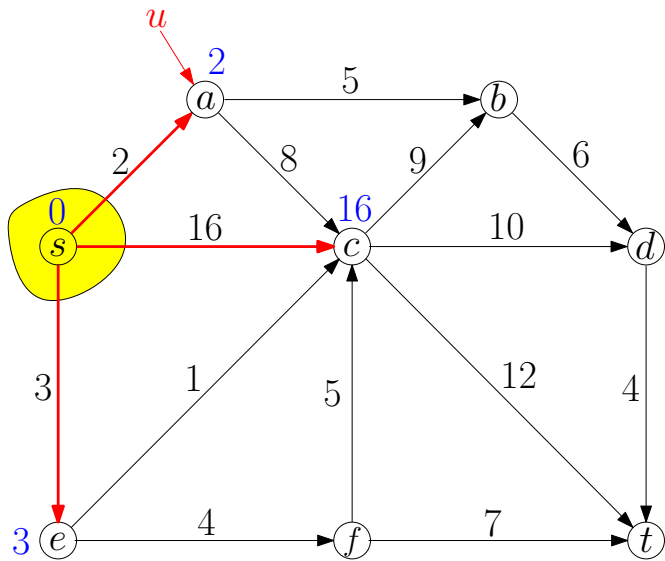
- Running time =  $O(n^2)$

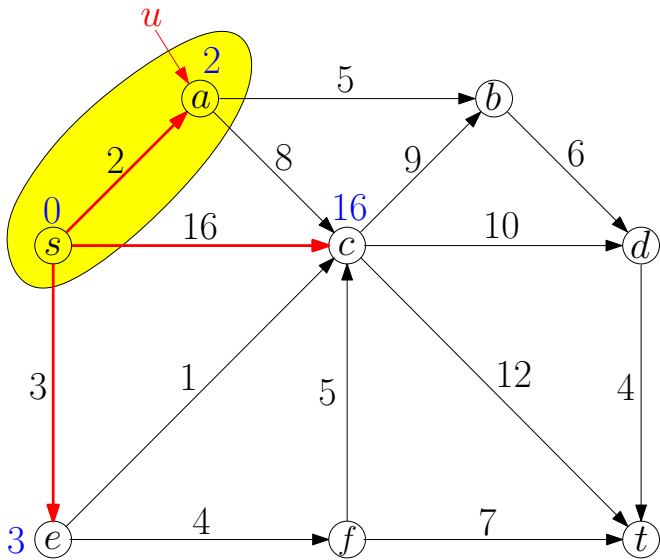


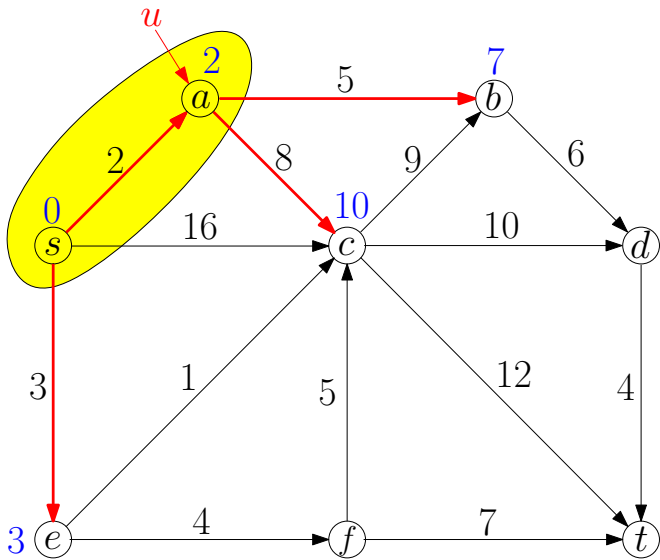


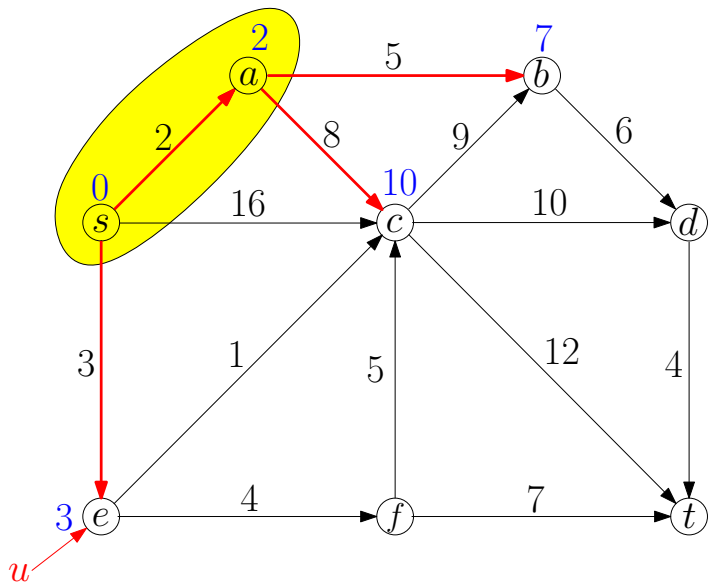


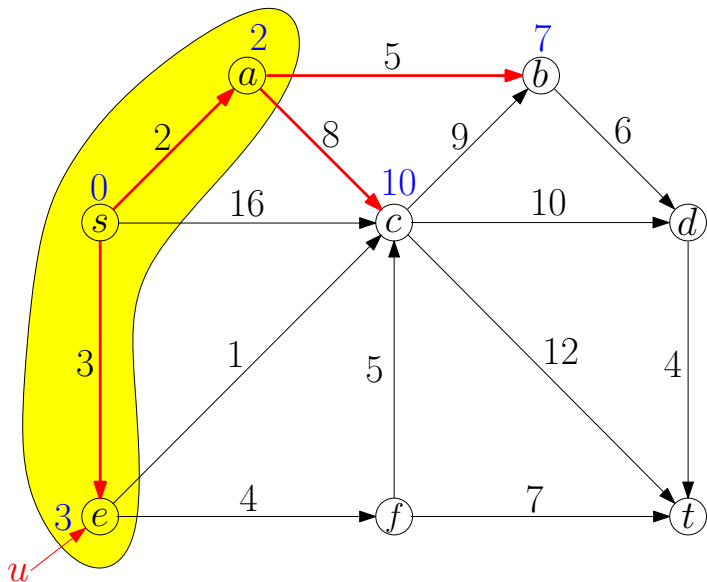


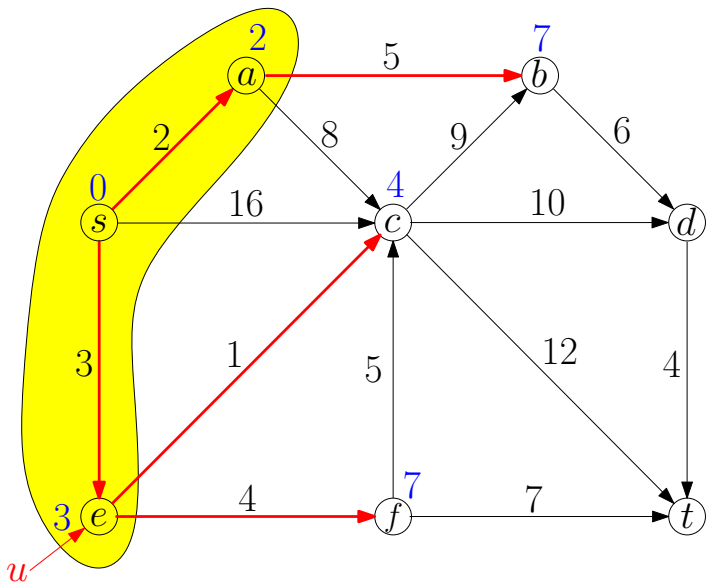


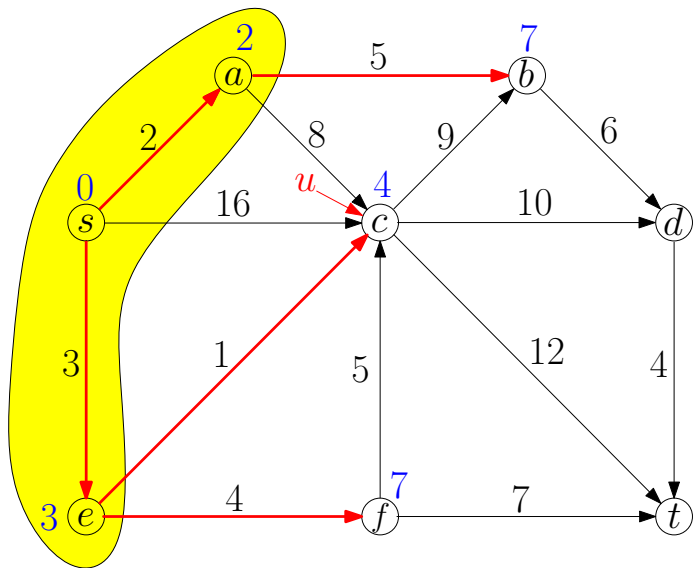




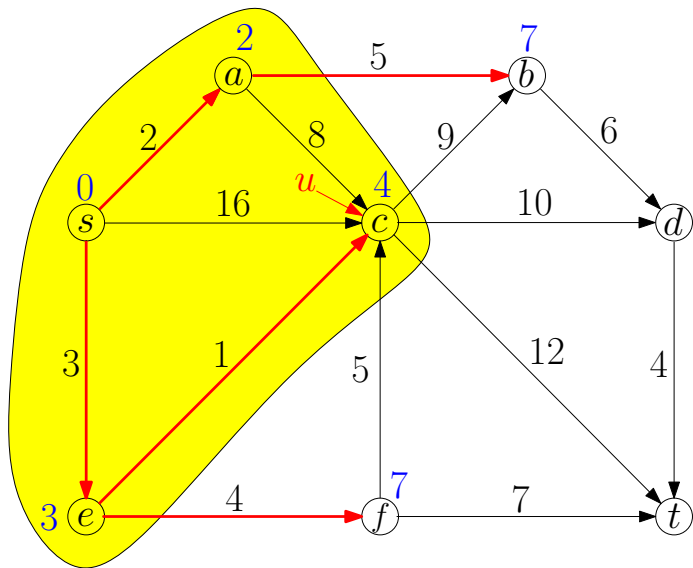


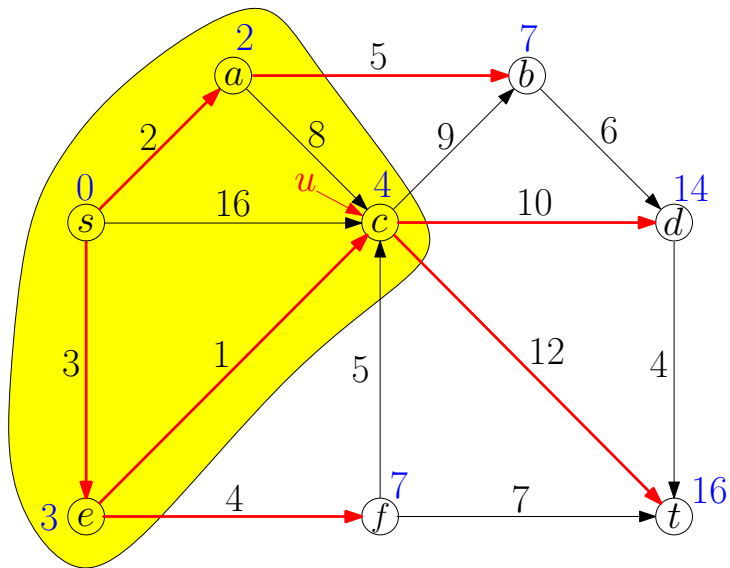


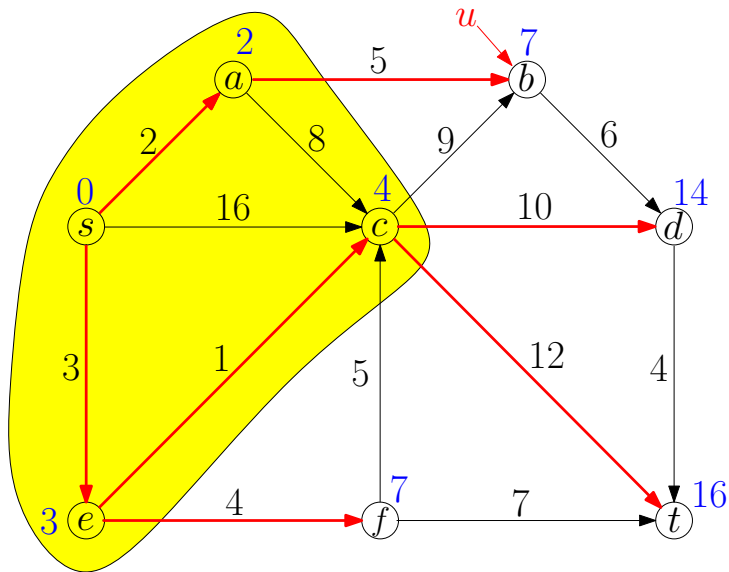


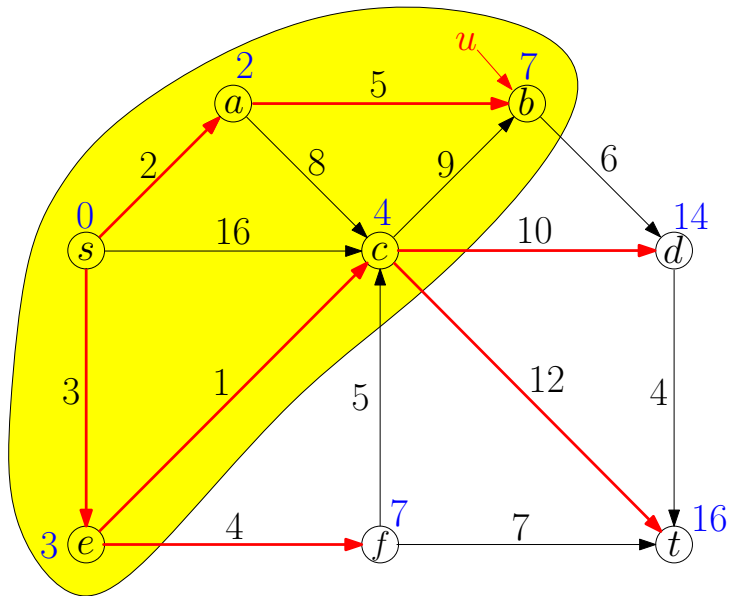


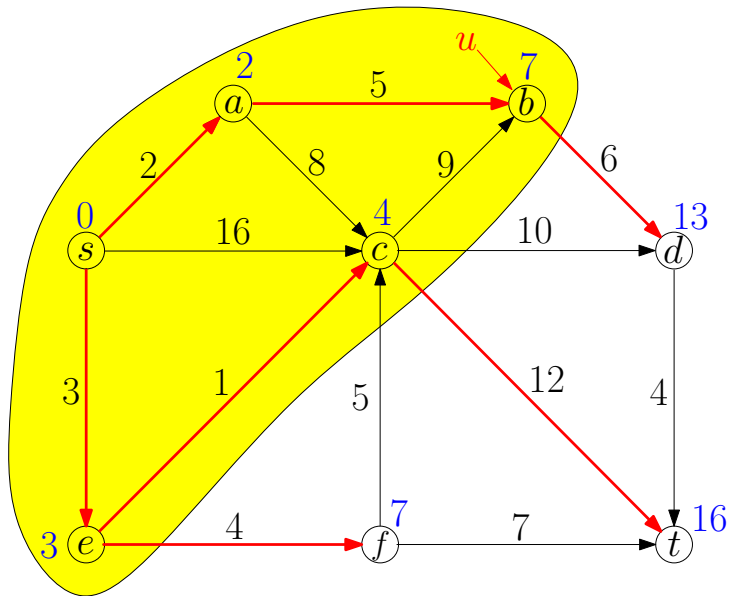


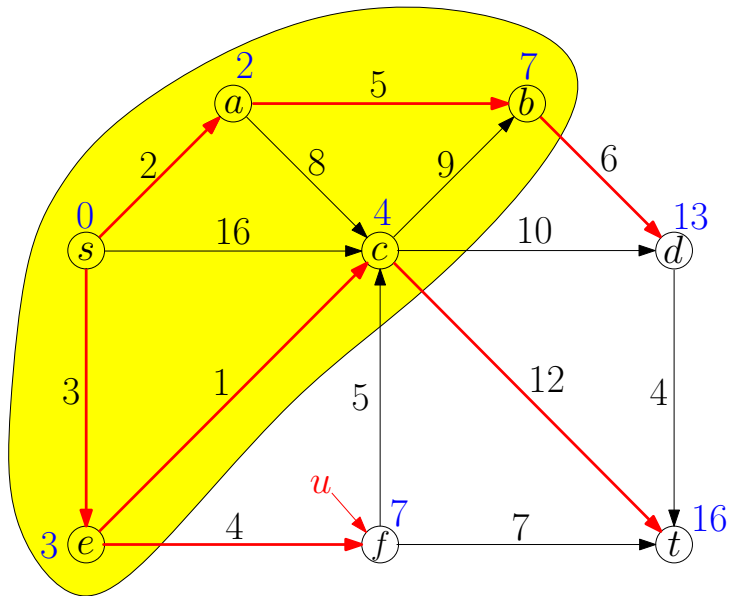


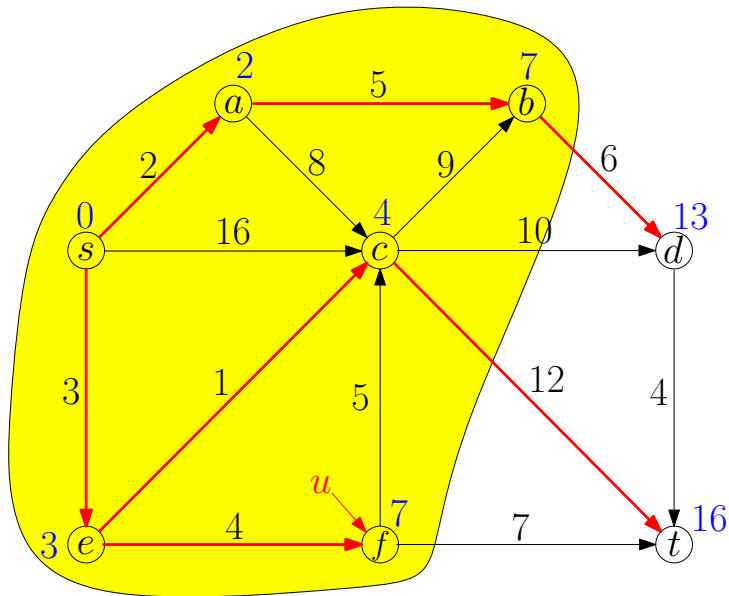


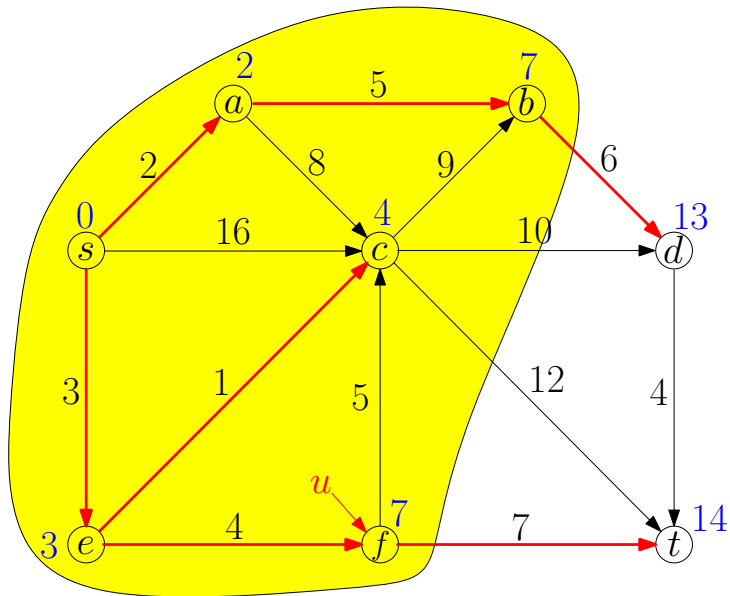




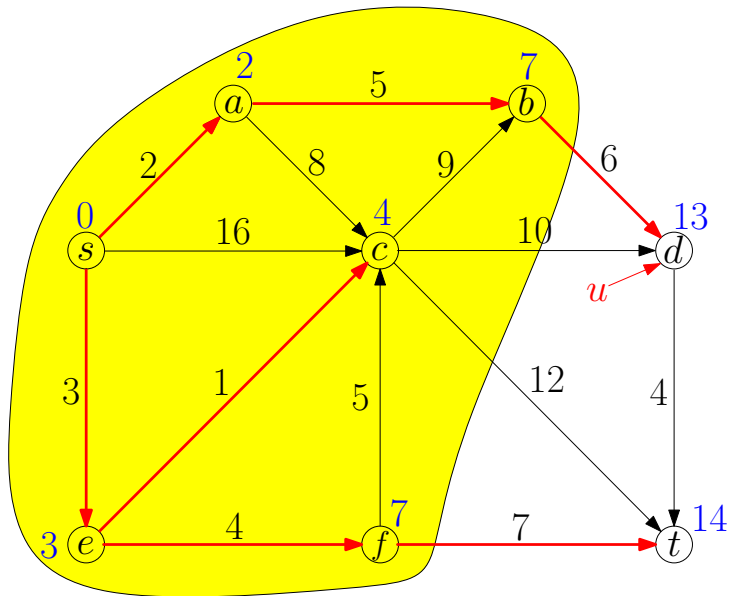


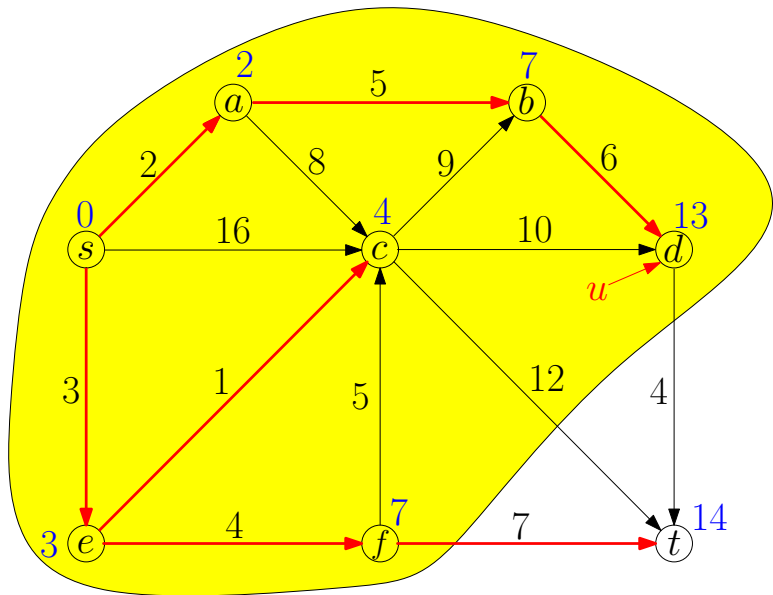


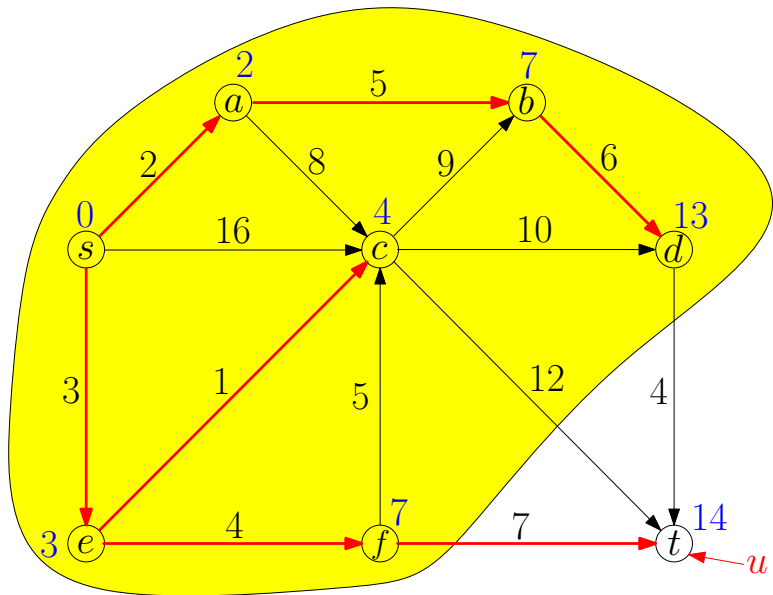


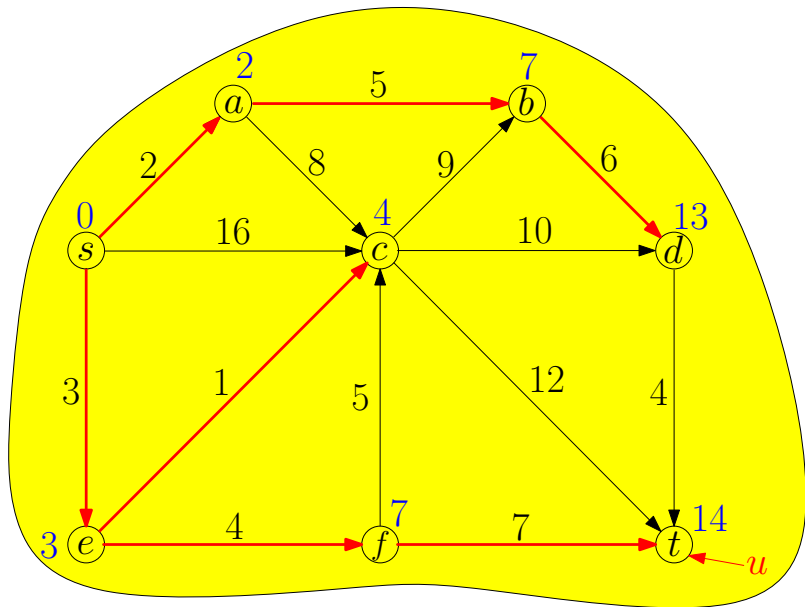












# Improved Running Time using Priority Queue

## Dijkstra( $G, w, s$ )

- 1:  $s \leftarrow$  arbitrary vertex in  $G$
- 2:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 3:  $Q \leftarrow$  empty queue, for each  $v \in V: Q.insert(v, d[v])$
- 4: **while**  $S \neq V$  **do**
- 5:      $u \leftarrow Q.extract\_min()$
- 6:      $S \leftarrow S \cup \{u\}$
- 7:     **for** each  $v \in V \setminus S$  such that  $(u, v) \in E$  **do**
- 8:         **if**  $d[u] + w(u, v) < d[v]$  **then**
- 9:              $d[v] \leftarrow d[u] + w(u, v), Q.decrease\_key(v, d[v])$
- 10:              $\pi[v] \leftarrow u$
- 11: **return**  $(\pi, d)$

# Recall: Prim's Algorithm for MST

## MST-Prim( $G, w$ )

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- 2:  $S \leftarrow \emptyset, d(s) \leftarrow 0$  and  $d[v] \leftarrow \infty$  for every  $v \in V \setminus \{s\}$
- 3:  $Q \leftarrow$  empty queue, for each  $v \in V: Q.\text{insert}(v, d[v])$
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- 9:              $d[v] \leftarrow w(u, v), Q.\text{decrease\_key}(v, d[v])$
- 10:              $\pi[v] \leftarrow u$
- 11: **return**  $\{(u, \pi[u]) \mid u \in V \setminus \{s\}\}$

# Improved Running Time

Running time:

$O(n) \times (\text{time for extract\_min}) + O(m) \times (\text{time for decrease\_key})$

Priority-Queue	extract_min	decrease_key	Time
Heap	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci Heap	$O(\log n)$	$O(1)$	$O(n \log n + m)$

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## Single Source Shortest Paths, Weights May be Negative

**Input:** directed graph  $G = (V, E)$ ,  $s \in V$

assume all vertices are reachable from  $s$

$w : E \rightarrow \mathbb{R}$

**Output:** shortest paths from  $s$  to all other vertices  $v \in V$

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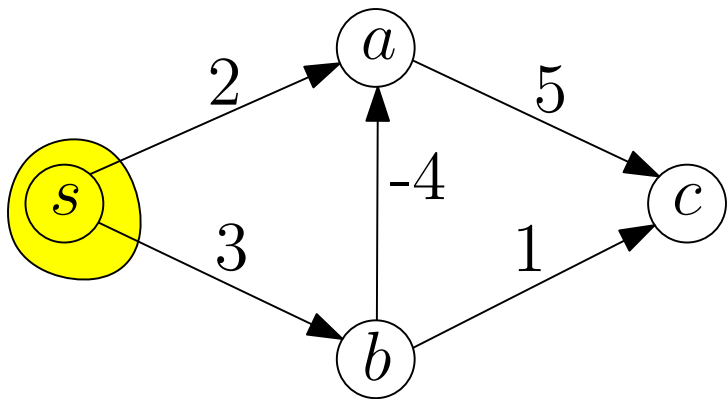
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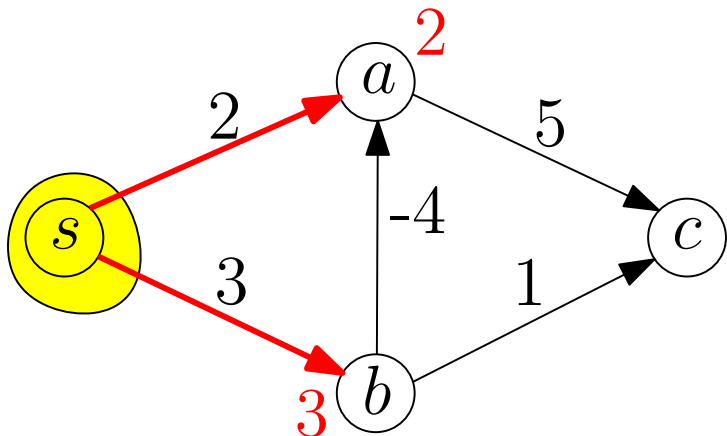
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- In transition graphs, negative weights make sense
- If we sell a item: 'having the item'  $\rightarrow$  'not having the item', weight is negative (we gain money)
- Dijkstra's algorithm does not work any more!

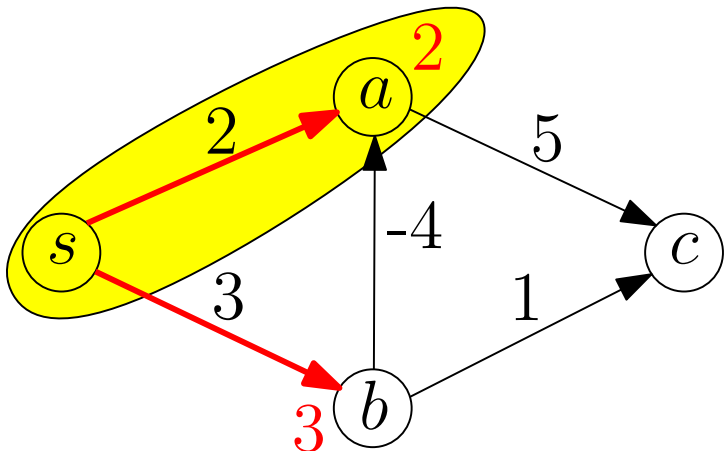
# Dijkstra's Algorithm Fails if We Have Negative Weights



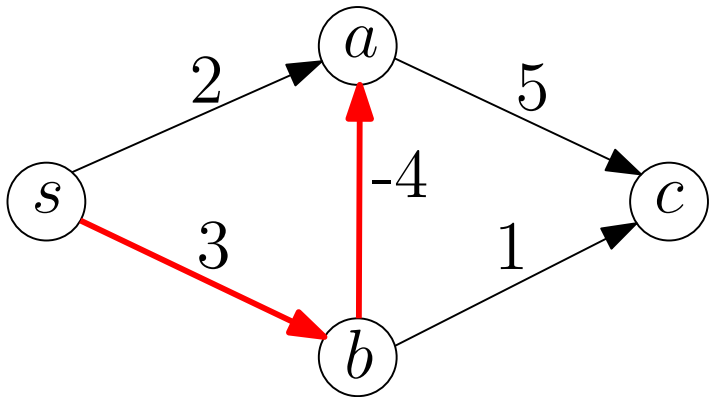
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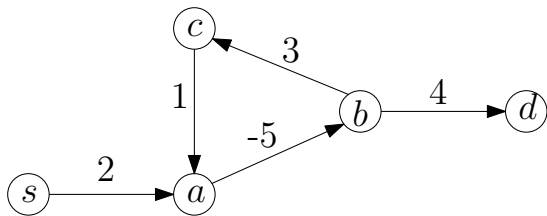
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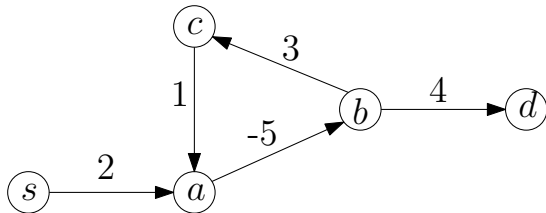


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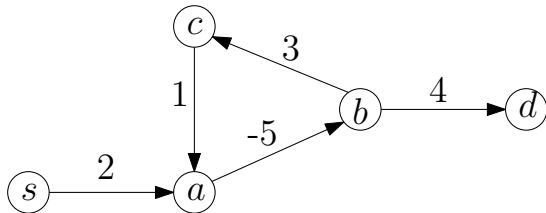






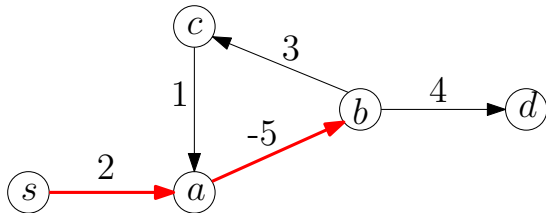


**Q:** What is the length of the shortest path from  $s$  to  $d$ ?



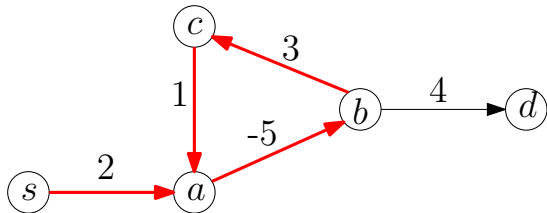
**Q:** What is the length of the shortest path from  $s$  to  $d$ ?

**A:**  $-\infty$



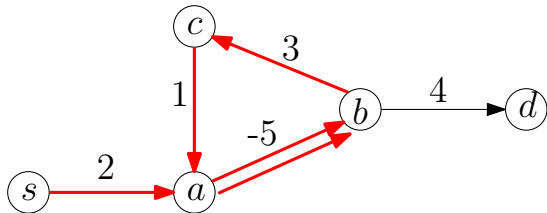
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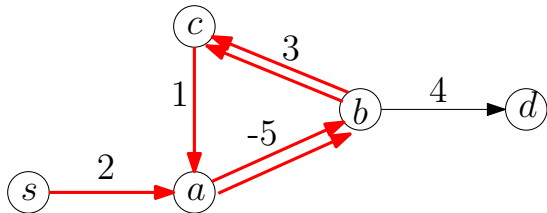
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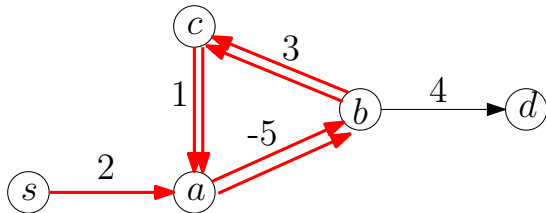
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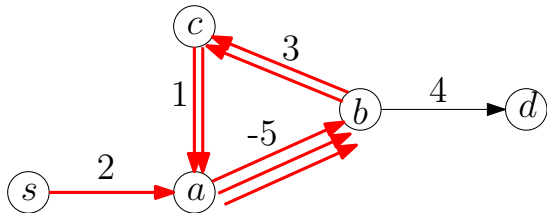
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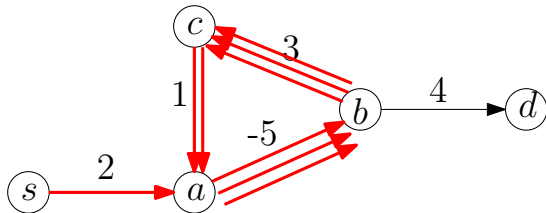
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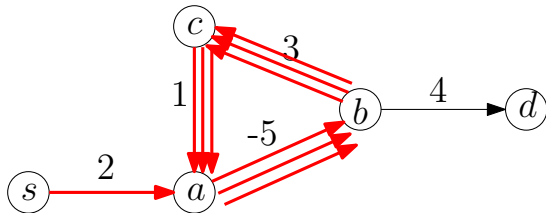
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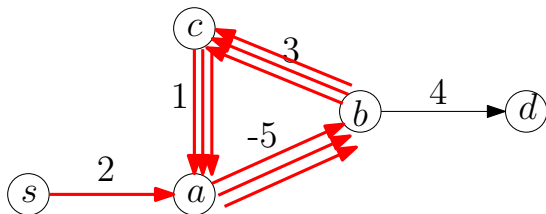
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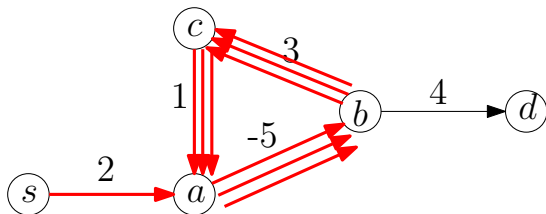
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**Def.** A negative cycle is a cycle in which the total weight of edges is negative.

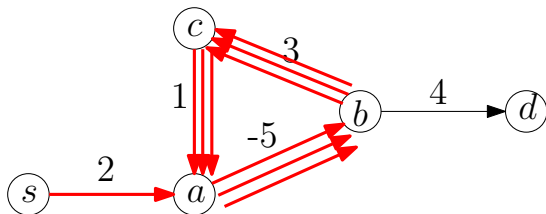


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**Q:** What is the length of the shortest **simple** path from  $s$  to  $d$ ?



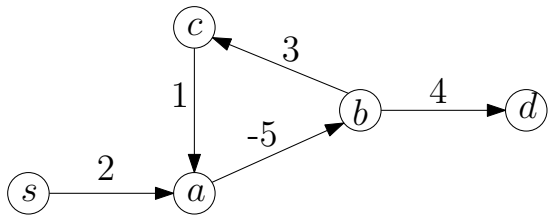
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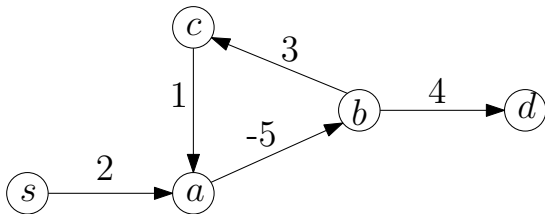
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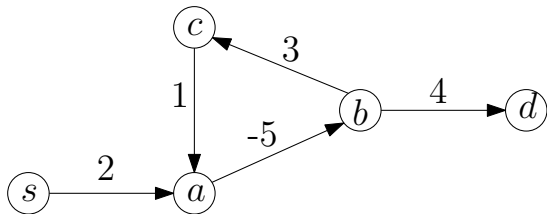
**A:** 1





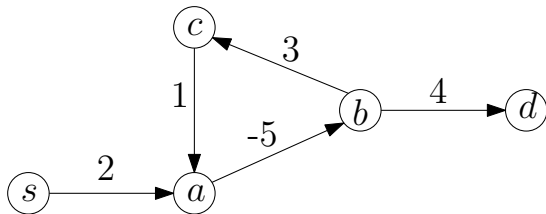
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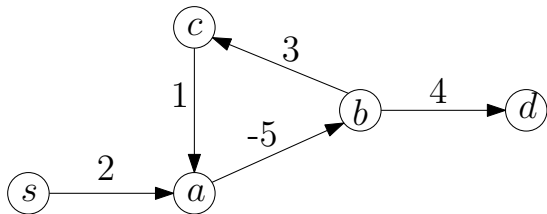
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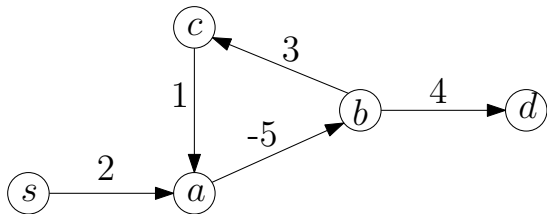
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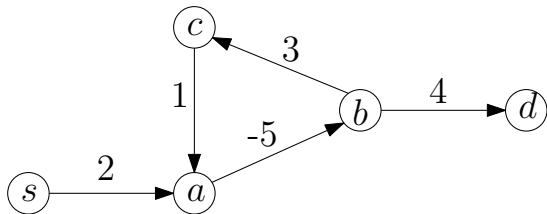
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- Easier: if negative cycle exists, allow algorithm to report “negative cycle exists” without computing distances
- Easiest: assume negative cycles do not exist; all shortest paths are automatically simple paths

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	$O(n + m)$
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n \log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	$O(nm)$
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- DAG = directed acyclic graph    U = undirected    D = directed
- SS = single source    AP = all pairs

# Defining Cells of Table

## Single Source Shortest Paths, Weights May be Negative

**Input:** directed graph  $G = (V, E)$ ,  $s \in V$

assume all vertices are reachable from  $s$

$w : E \rightarrow \mathbb{R}$

**Output:** shortest paths from  $s$  to all other vertices  $v \in V$

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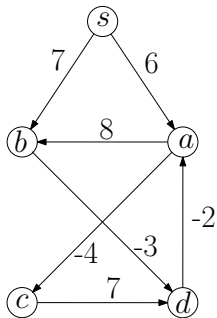
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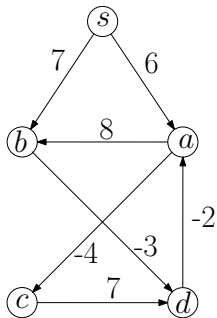
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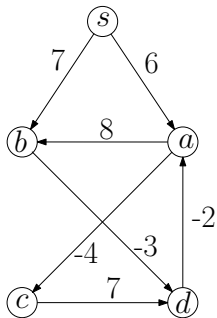
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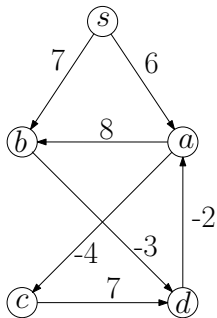
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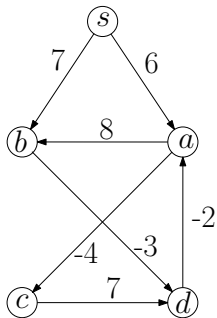
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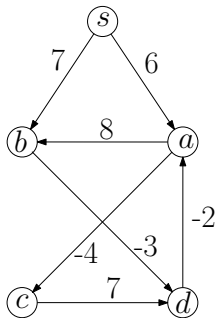
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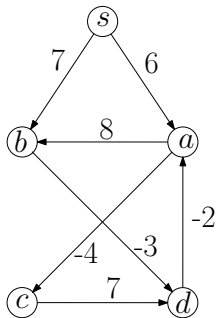
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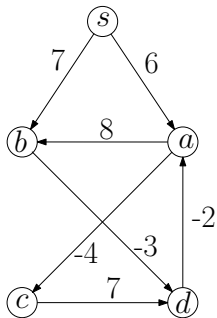
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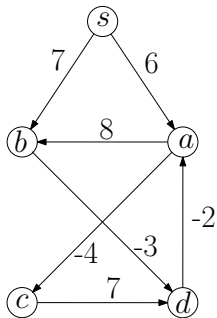
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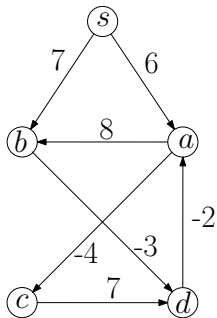
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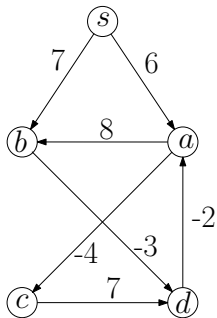
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$$f^{\ell-1}[v]$$

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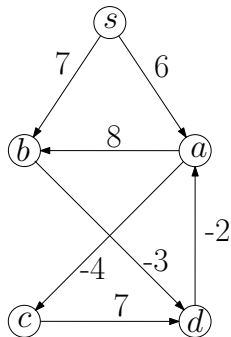


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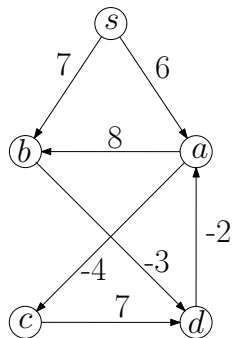
# Dynamic Programming: Example

$f^0$       $s$       $a$       $b$       $c$       $d$   
           $\textcircled{0}$       $\textcircled{\infty}$       $\textcircled{\infty}$       $\textcircled{\infty}$       $\textcircled{\infty}$

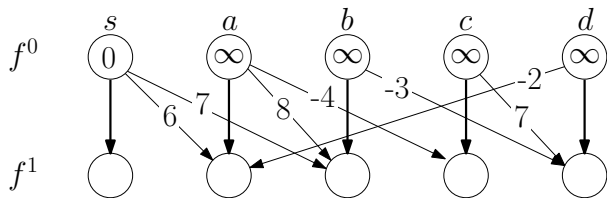


↓ length-0 edge

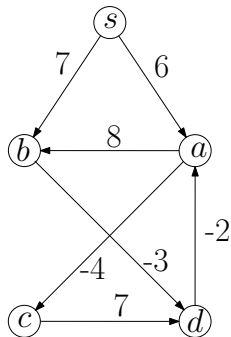
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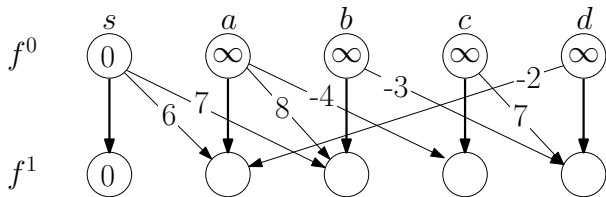
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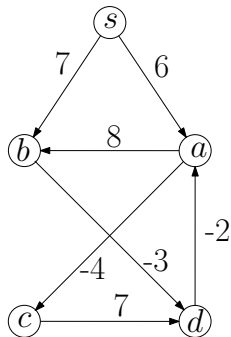


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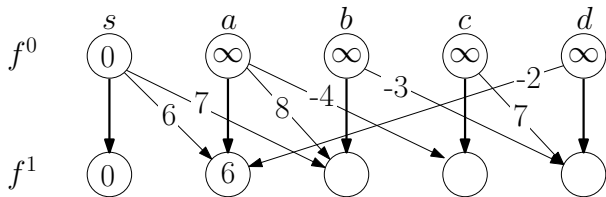




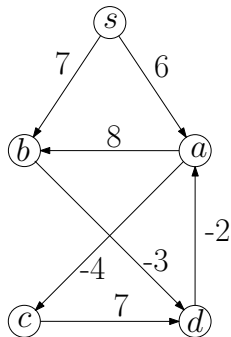
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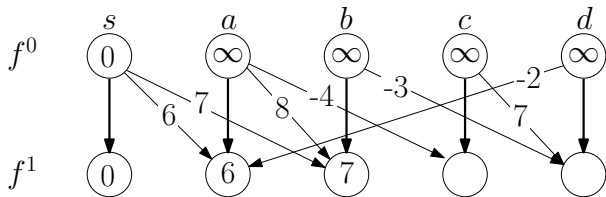
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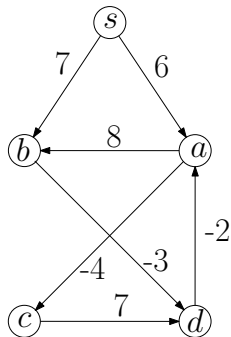
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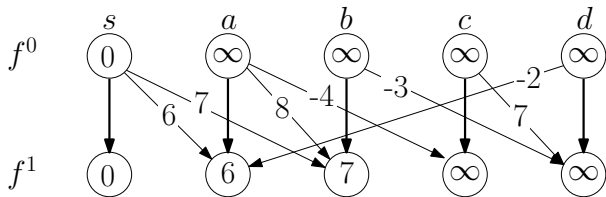
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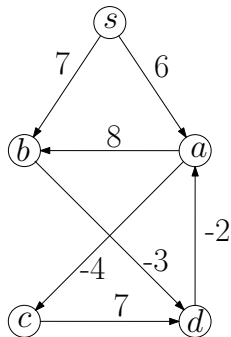
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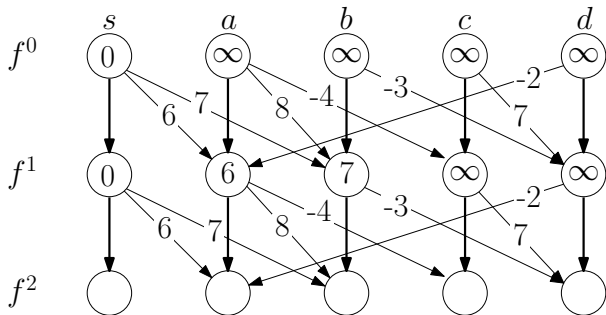
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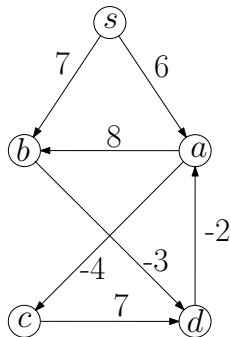
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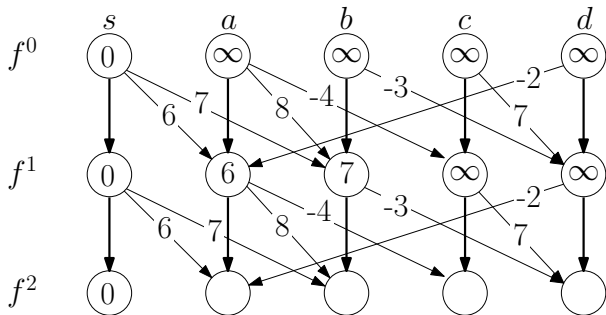
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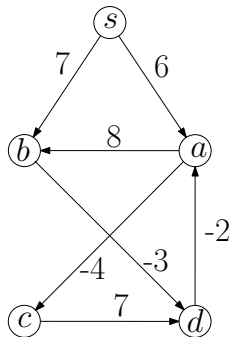
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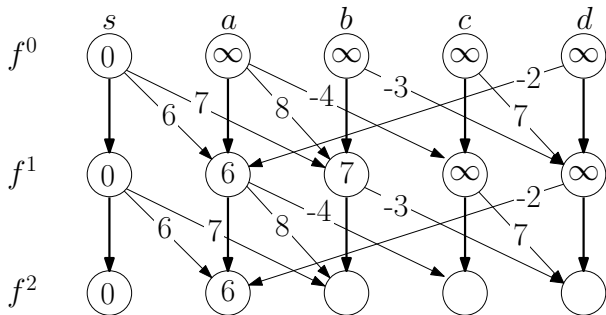
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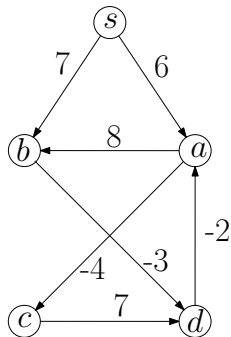
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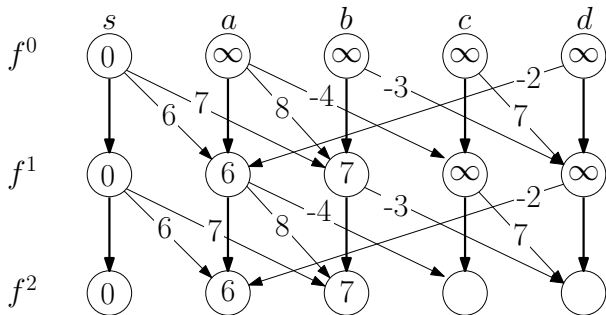
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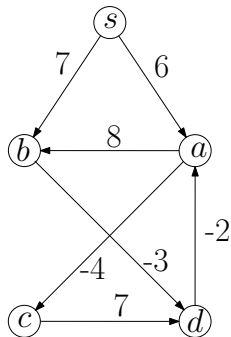
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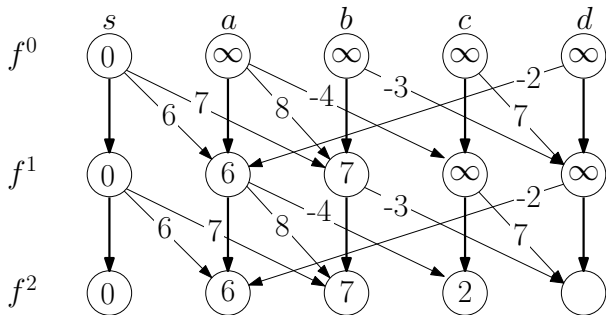
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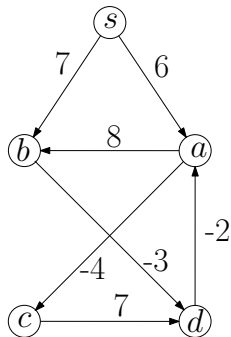


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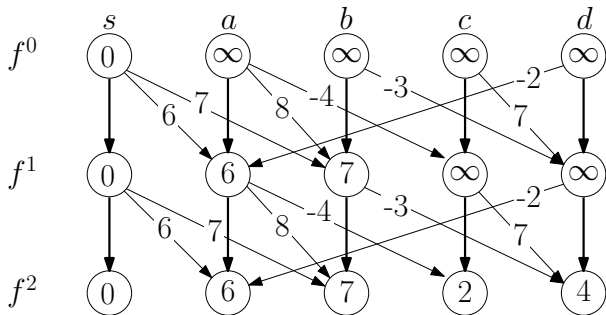




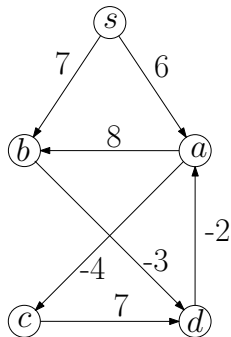
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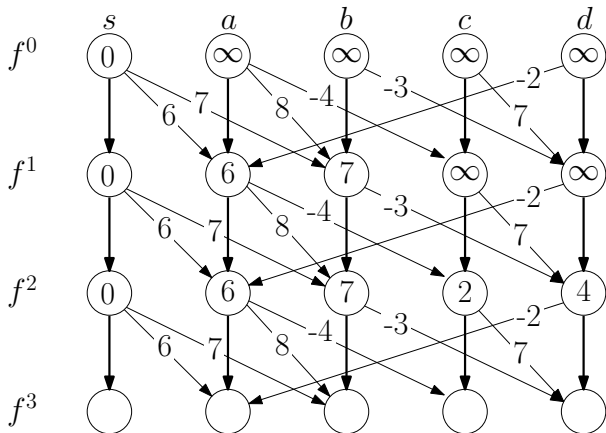
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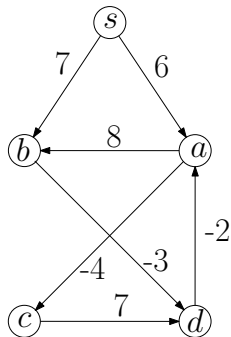
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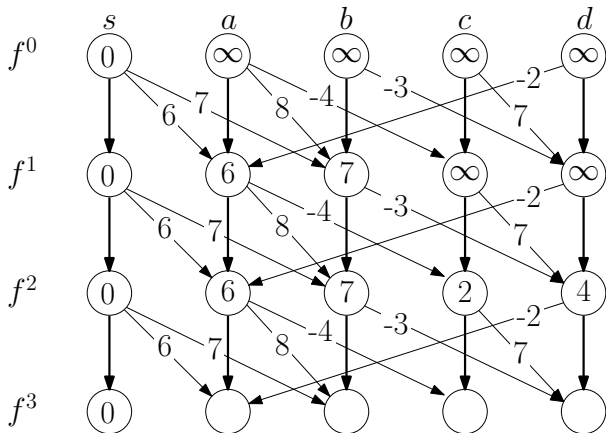
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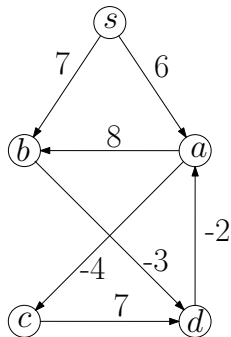
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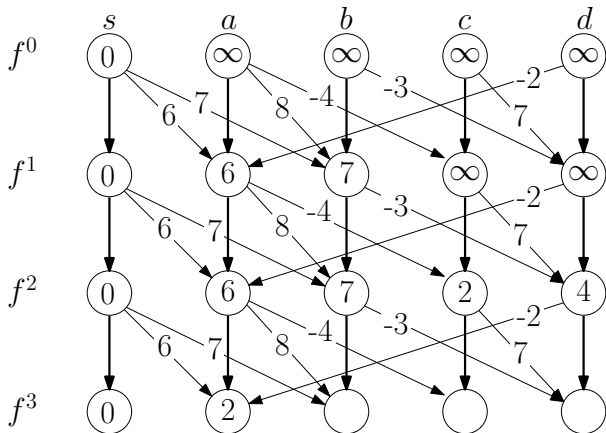
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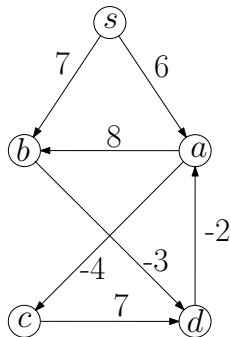
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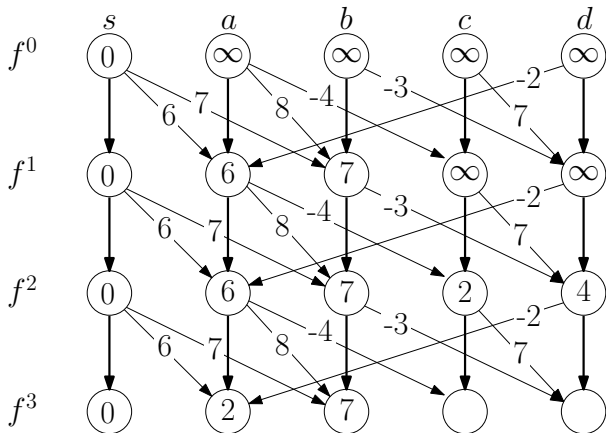
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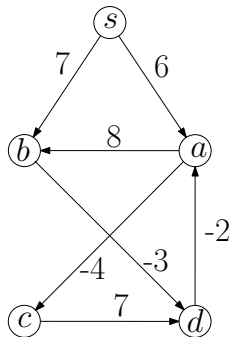
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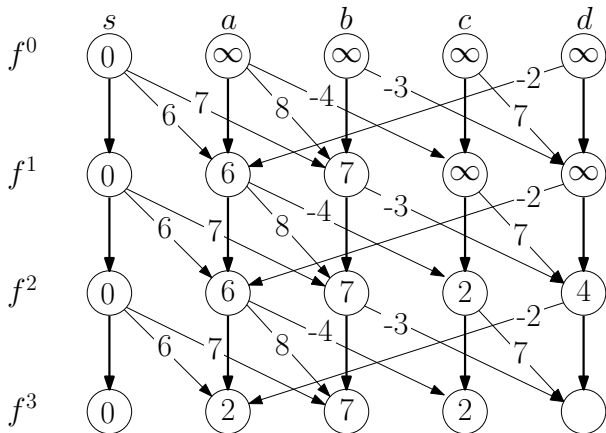
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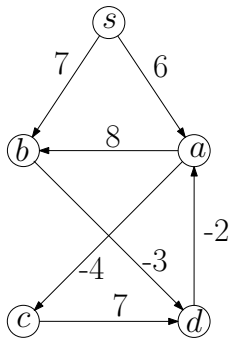
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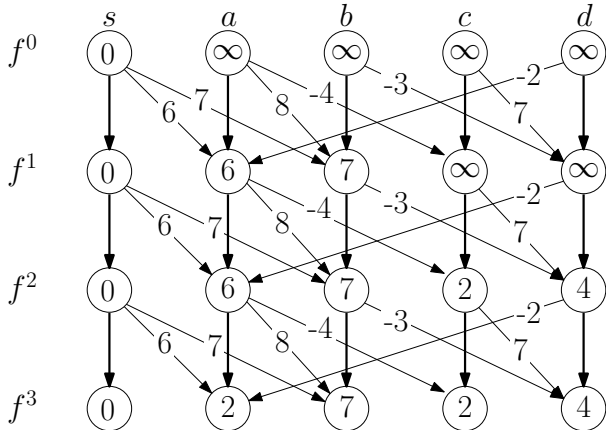
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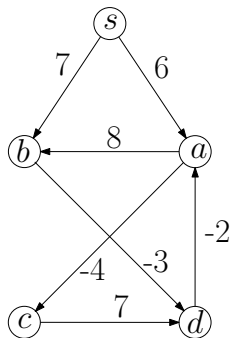
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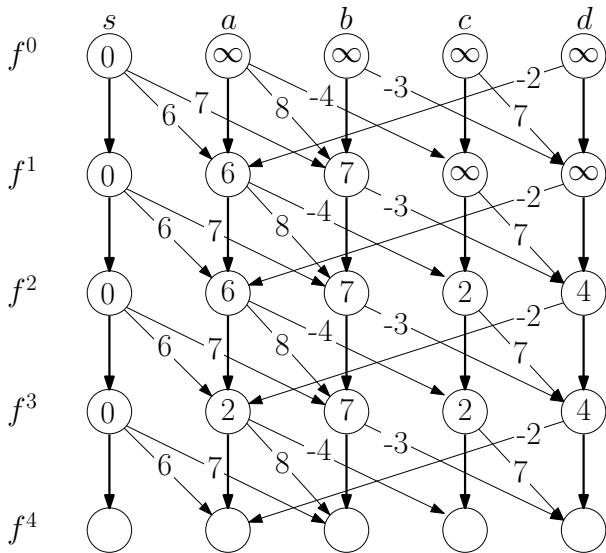
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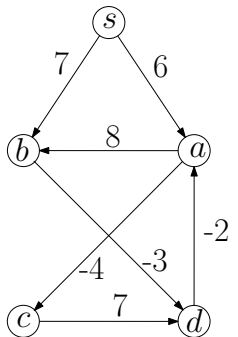


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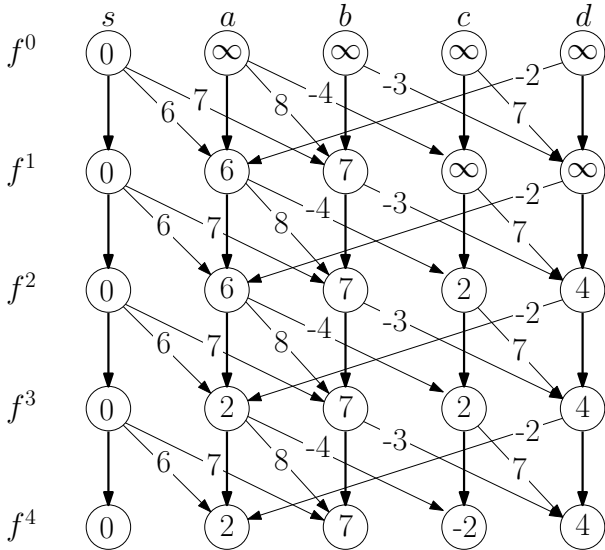




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## dynamic-programming( $G, w, s$ )

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- 2: **for**  $\ell \leftarrow 1$  to  $n - 1$  **do**
- 3:     copy  $f^{\ell-1} \rightarrow f^\ell$
- 4:     **for** each  $(u, v) \in E$  **do**
- 5:         **if**  $f^{\ell-1}[u] + w(u, v) < f^\ell[v]$  **then**
- 6:              $f^\ell[v] \leftarrow f^{\ell-1}[u] + w(u, v)$
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## Proof.

If there is a path containing at least  $n$  edges, then it contains a cycle. Removing the cycle gives a path with the same or smaller length.  $\square$

# Dynamic Programming with Better Space Usage

## dynamic-programming( $G, w, s$ )

- 1:  $f^{\text{old}}[s] \leftarrow 0$  and  $f^{\text{old}}[v] \leftarrow \infty$  for any  $v \in V \setminus \{s\}$
- 2: **for**  $\ell \leftarrow 1$  to  $n - 1$  **do**
- 3:     copy  $f^{\text{old}} \rightarrow f^{\text{new}}$
- 4:     **for each**  $(u, v) \in E$  **do**
- 5:         **if**  $f^{\text{old}}[u] + w(u, v) < f^{\text{new}}[v]$  **then**
- 6:              $f^{\text{new}}[v] \leftarrow f^{\text{old}}[u] + w(u, v)$
- 7:     copy  $f^{\text{new}} \rightarrow f^{\text{old}}$
- 8: **return**  $f^{\text{old}}$

- $f^\ell$  only depends on  $f^{\ell-1}$ : only need 2 vectors

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- Issue: when we compute  $f[u] + w(u, v)$ ,  $f[u]$  may be changed since the end of last iteration
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- After iteration  $\ell$ ,  $f[v]$  is **at most** the length of the shortest path from  $s$  to  $v$  that uses at most  $\ell$  edges
- $f[v]$  is always the length of **some path** from  $s$  to  $v$

# Bellman-Ford Algorithm

- After iteration  $\ell$ :
  - length of shortest  $s$ - $v$  path
  - $\leq f[v]$
  - $\leq$  length of shortest  $s$ - $v$  path using at most  $\ell$  edges

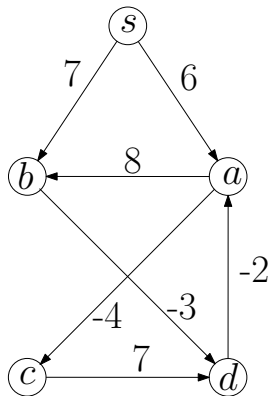
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- Assuming there are no negative cycles:
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# Bellman-Ford Algorithm

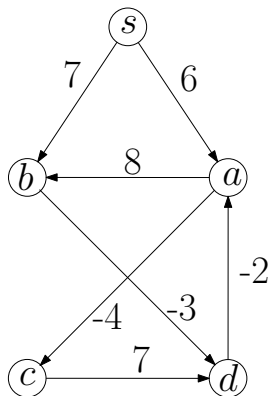
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- Assuming there are no negative cycles:
  - length of shortest  $s$ - $v$  path
  - $=$  length of shortest  $s$ - $v$  path using at most  $n - 1$  edges
- So, assuming there are no negative cycles, after iteration  $n - 1$ :
  - $f[v] =$  length of shortest  $s$ - $v$  path





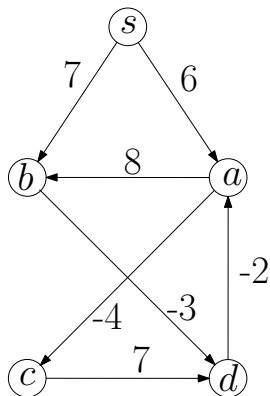
- order in which we consider edges:  
 $(s, a)$ ,  $(s, b)$ ,  $(a, b)$ ,  $(a, c)$ ,  $(b, d)$ ,  
 $(c, d)$ ,  $(d, a)$

vertices	$s$	$a$	$b$	$c$	$d$
$f$	0	$\infty$	$\infty$	$\infty$	$\infty$



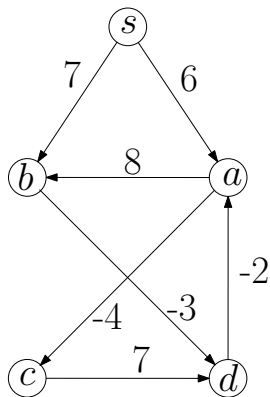
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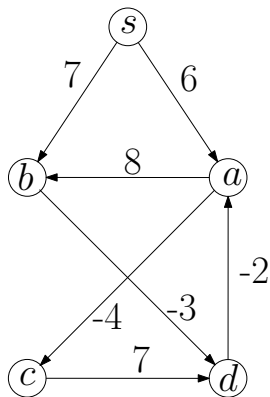
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vertices	$s$	$a$	$b$	$c$	$d$
$f$	0	6	$\infty$	$\infty$	$\infty$



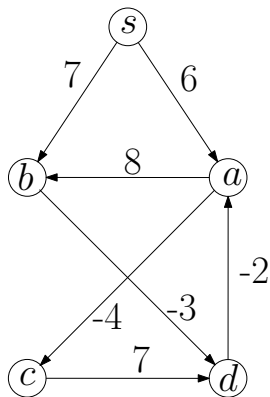
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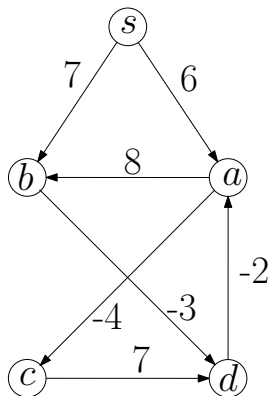
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vertices	$s$	$a$	$b$	$c$	$d$
$f$	0	6	7	$\infty$	$\infty$



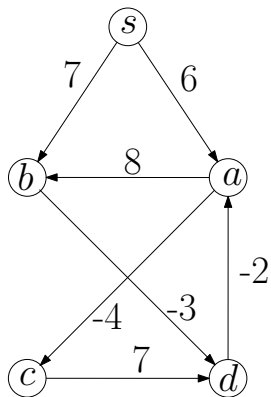
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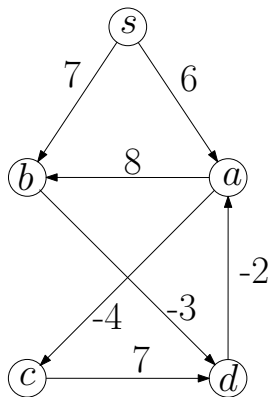
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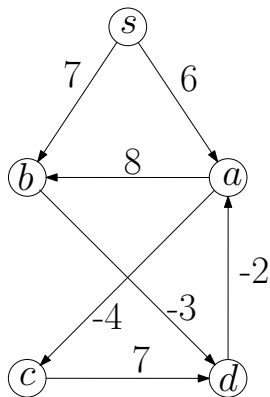
vertices	$s$	$a$	$b$	$c$	$d$
$f$	0	6	7	2	$\infty$





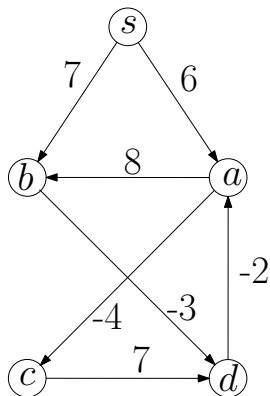
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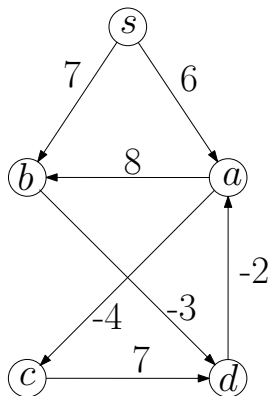
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vertices	<i>s</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>
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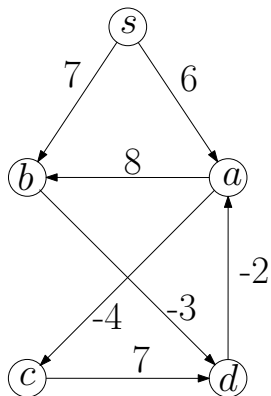
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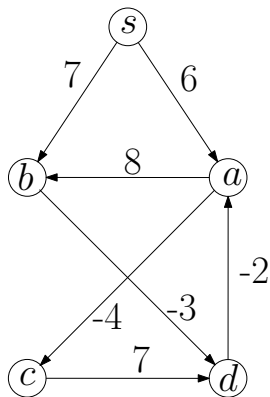
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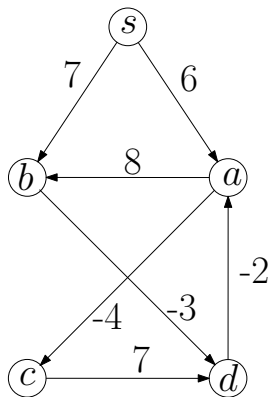
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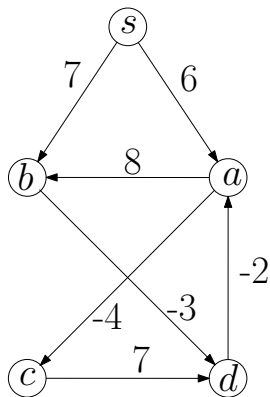
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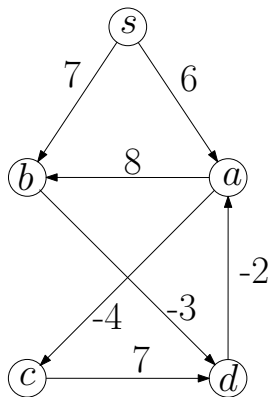


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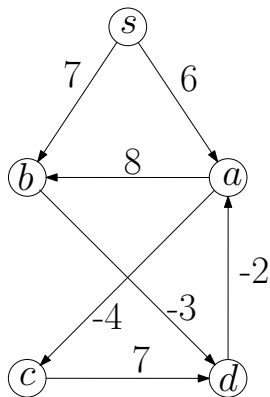




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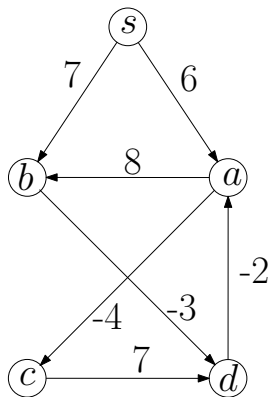
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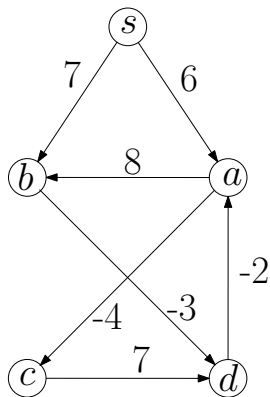
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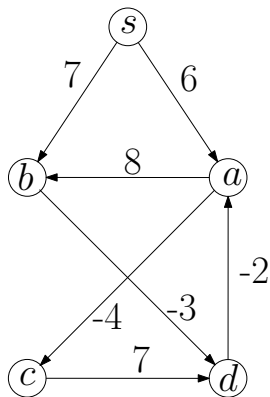
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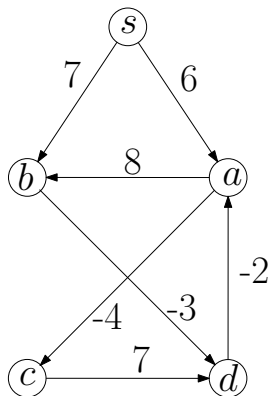
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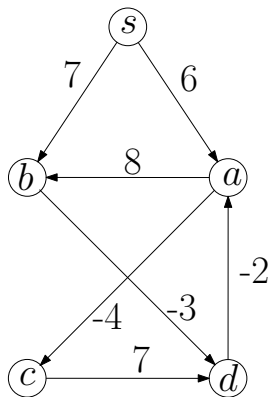
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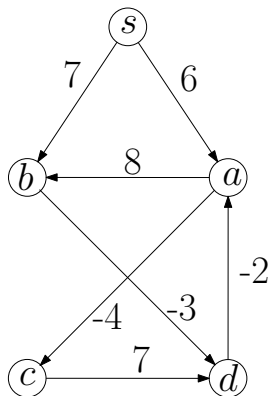
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- end of iteration 2: 0, 2, 7, -2, 4

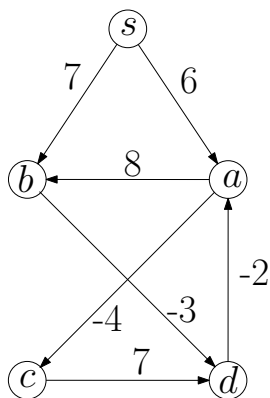


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 $(s, a)$ ,  $(s, b)$ ,  $(a, b)$ ,  $(a, c)$ ,  $(b, d)$ ,  
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vertices	$s$	$a$	$b$	$c$	$d$
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- end of iteration 2: 0, 2, 7, -2, 4
- end of iteration 3: 0, 2, 7, -2, 4





- order in which we consider edges:  
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 $(c, d)$ ,  $(d, a)$

vertices	$s$	$a$	$b$	$c$	$d$
$f$	0	2	7	-2	4

- end of iteration 1: 0, 2, 7, 2, 4
- end of iteration 2: 0, 2, 7, -2, 4
- end of iteration 3: 0, 2, 7, -2, 4
- **Algorithm terminates in 3 iterations, instead of 4.**

# Bellman-Ford Algorithm

## Bellman-Ford( $G, w, s$ )

- 1:  $f[s] \leftarrow 0$  and  $f[v] \leftarrow \infty$  for any  $v \in V \setminus \{s\}$
- 2: **for**  $\ell \leftarrow 1$  to  $n$  **do**
- 3:      $updated \leftarrow \text{false}$
- 4:     **for each**  $(u, v) \in E$  **do**
- 5:         **if**  $f[u] + w(u, v) < f[v]$  **then**
- 6:              $f[v] \leftarrow f[u] + w(u, v)$
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- 5:         **if**  $f[u] + w(u, v) < f[v]$  **then**
- 6:              $f[v] \leftarrow f[u] + w(u, v)$ ,  $\pi[v] \leftarrow u$
- 7:              $updated \leftarrow \text{true}$
- 8:     **if** not  $updated$ , then return  $f$
- 9: output “negative cycle exists”

- $\pi[v]$ : the parent of  $v$  in the shortest path tree

# Bellman-Ford Algorithm

## Bellman-Ford( $G, w, s$ )

- 1:  $f[s] \leftarrow 0$  and  $f[v] \leftarrow \infty$  for any  $v \in V \setminus \{s\}$
- 2: **for**  $\ell \leftarrow 1$  to  $n$  **do**
- 3:      $updated \leftarrow \text{false}$
- 4:     **for** each  $(u, v) \in E$  **do**
- 5:         **if**  $f[u] + w(u, v) < f[v]$  **then**
- 6:              $f[v] \leftarrow f[u] + w(u, v)$ ,  $\pi[v] \leftarrow u$
- 7:              $updated \leftarrow \text{true}$
- 8:     **if** not  $updated$ , then return  $f$
- 9: output “negative cycle exists”

- $\pi[v]$ : the parent of  $v$  in the shortest path tree
- Running time =  $O(nm)$

# Outline

- 1 Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- 2 Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- 5 Minimum Cost Arborescence

# All-Pair Shortest Paths

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**Input:** directed graph  $G = (V, E)$ ,  
 $w : E \rightarrow \mathbb{R}$  (can be negative)

**Output:** shortest path from  $u$  to  $v$  for **every**  $u, v \in V$

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# Summary of Shortest Path Algorithms we learned

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	$O(n + m)$
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n \log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	$O(nm)$
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- DAG = directed acyclic graph    U = undirected    D = directed
- SS = single source    AP = all pairs

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$$w(i, j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i, j) & i \neq j, (i, j) \in E \\ \infty & i \neq j, (i, j) \notin E \end{cases}$$

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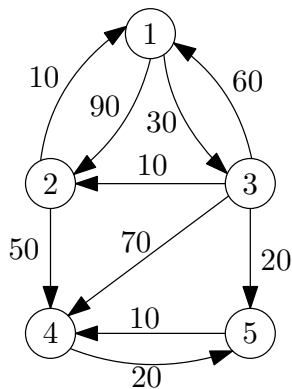
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- $f^k[i, j]$ : length of shortest path from  $i$  to  $j$  that only uses vertices  $\{1, 2, 3, \dots, k\}$  as intermediate vertices



# Example for Definition of $f^k[i, j]$ 's



$$f^0[1, 4] = \infty$$

$$f^1[1, 4] = \infty$$

$$f^2[1, 4] = 140 \quad (1 \rightarrow 2 \rightarrow 4)$$

$$f^3[1, 4] = 90 \quad (1 \rightarrow 3 \rightarrow 2 \rightarrow 4)$$

$$f^4[1, 4] = 90 \quad (1 \rightarrow 3 \rightarrow 2 \rightarrow 4)$$

$$f^5[1, 4] = 60 \quad (1 \rightarrow 3 \rightarrow 5 \rightarrow 4)$$

$$w(i, j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i, j) & i \neq j, (i, j) \in E \\ \infty & i \neq j, (i, j) \notin E \end{cases}$$

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$$f^k[i, j] = \begin{cases} w(i, j) & k = 0 \\ \min \left\{ \begin{array}{l} f^k[i, v] + w(v, j) \\ f^k[v, i] + w(i, v) \end{array} \right\} & k = 1, 2, \dots, n \end{cases}$$

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## Floyd-Warshall( $G, w$ )

```
1:  $f^0 \leftarrow w$ 
2: for  $k \leftarrow 1$  to  $n$  do
3:   copy  $f^{k-1} \rightarrow f^k$ 
4:   for  $i \leftarrow 1$  to  $n$  do
5:     for  $j \leftarrow 1$  to  $n$  do
6:       if  $f^{k-1}[i, k] + f^{k-1}[k, j] < f^k[i, j]$  then
7:          $f^k[i, j] \leftarrow f^{k-1}[i, k] + f^{k-1}[k, j]$ 
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## Floyd-Warshall( $G, w$ )

```
1:  $f^{\text{old}} \leftarrow w$ 
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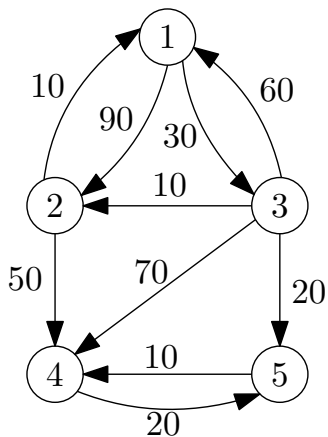
**Lemma** Assume there are no negative cycles in  $G$ . After iteration  $k$ , for  $i, j \in V$ ,  $f[i, j]$  is **exactly** the length of shortest path from  $i$  to  $j$  that only uses vertices in  $\{1, 2, 3, \dots, k\}$  as intermediate vertices.

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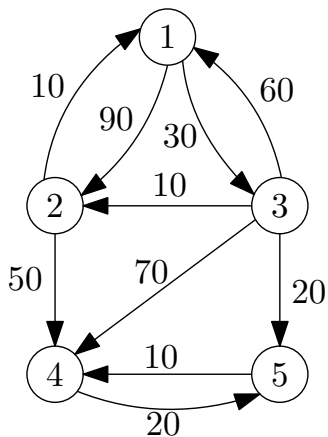
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	1	2	3	4	5
1	0	90	30	$\infty$	$\infty$
2	10	0	$\infty$	50	$\infty$
3	60	10	0	70	20
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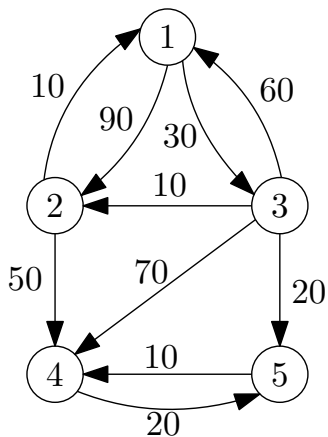




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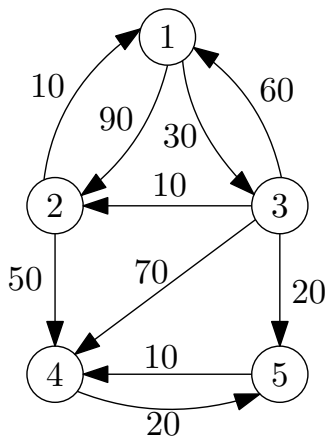
- $i = 2, k = 1, j = 3$





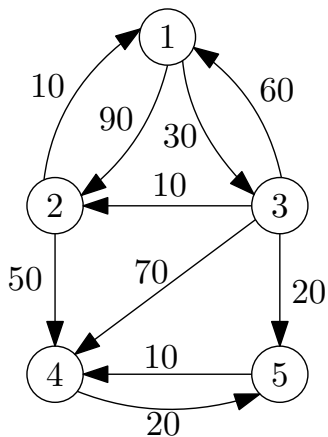
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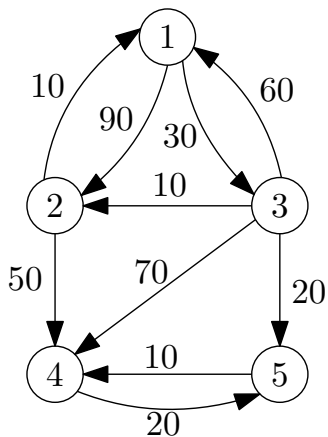
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- $i = 1, k = 2, j = 4$



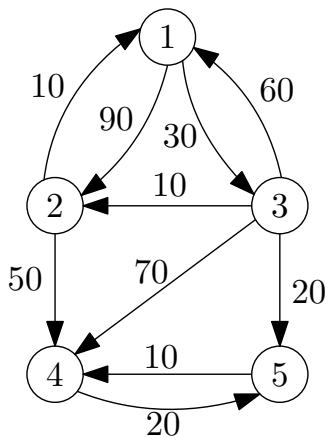
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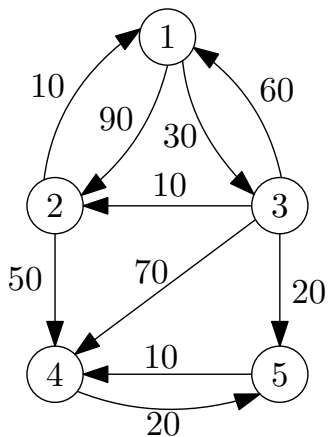
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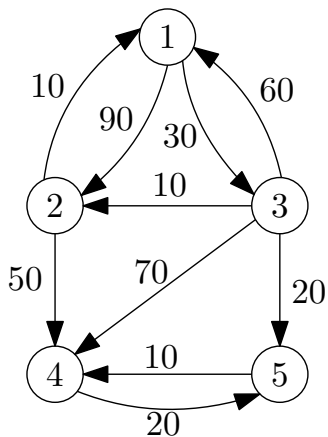
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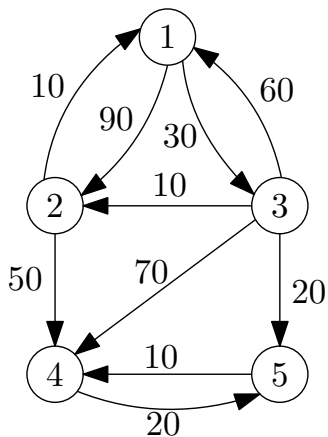
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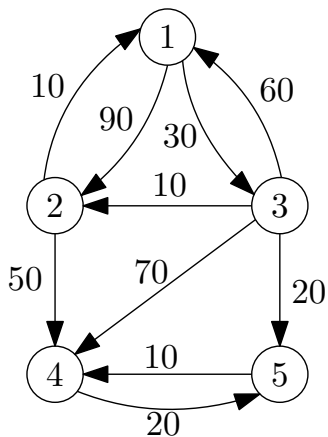
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# Recovering Shortest Paths

## Floyd-Warshall( $G, w$ )

```
1:  $f \leftarrow w, \pi[i, j] \leftarrow \perp$  for every  $i, j \in V$ 
2: for  $k \leftarrow 1$  to  $n$  do
3:   for  $i \leftarrow 1$  to  $n$  do
4:     for  $j \leftarrow 1$  to  $n$  do
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```

## print-path( $i, j$ )

```
1: if  $\pi[i, j] = \perp$  then then
2:   if  $i \neq j$  then print( $i, "$ ")
3: else
4:   print-path( $i, \pi[i, j]$ ), print-path( $\pi[i, j], j$ )
```

# Detecting Negative Cycles

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7: for  $k \leftarrow 1$  to  $n$  do
8:   for  $i \leftarrow 1$  to  $n$  do
9:     for  $j \leftarrow 1$  to  $n$  do
10:      if  $f[i, k] + f[k, j] < f[i, j]$  then
11:        report "negative cycle exists" and exit
```

# Summary of Shortest Path Algorithms

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	$O(n + m)$
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n \log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	$O(nm)$
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- DAG = directed acyclic graph    U = undirected    D = directed
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- 5 Minimum Cost Arborescence

**Def.** An arborescence is directed rooted tree, where all edges are directed away from the root.



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## Minimum Cost Arborescence Problem

**Input:** a directed graph  $G = (V, E)$ ,  
edge weights  $w : E \rightarrow \mathbb{R}_{\geq 0}$   
root  $r \in V$

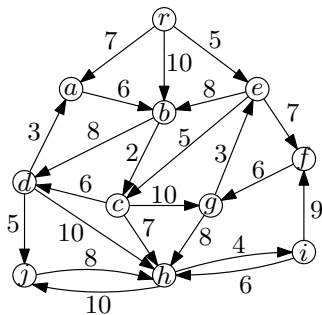
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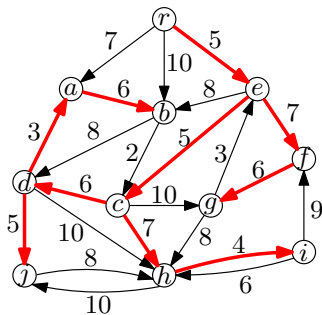


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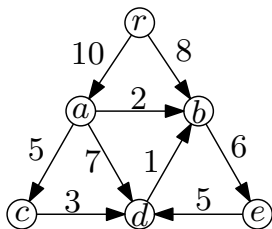
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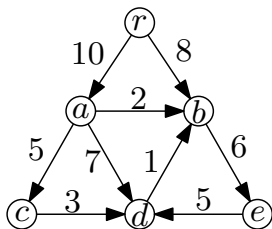
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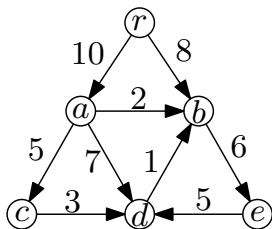
$$l_c = 5$$

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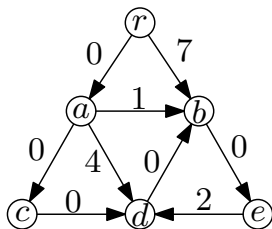
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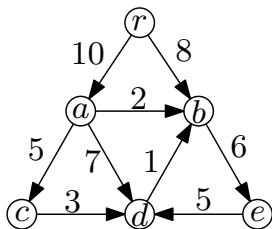
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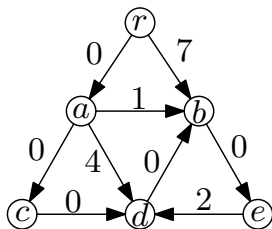
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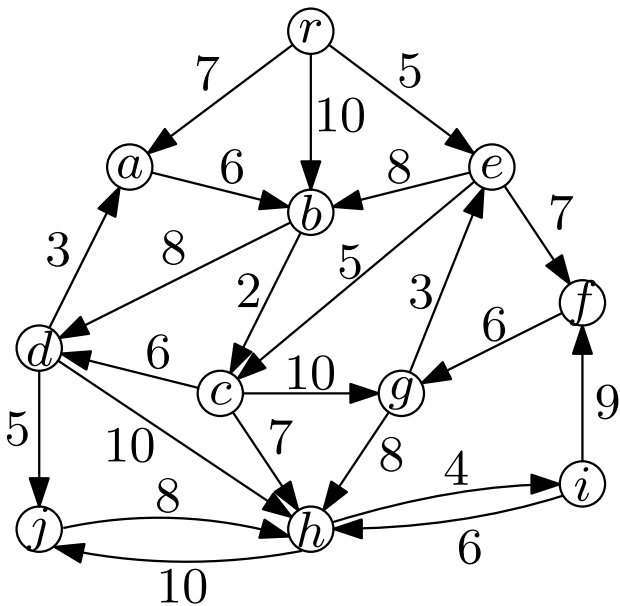
Given any tree solution  $T$ ,  $w(T) - w'(T)$  is always  $\sum_{v \in V \setminus \{r\}} l_v$ .  $\square$

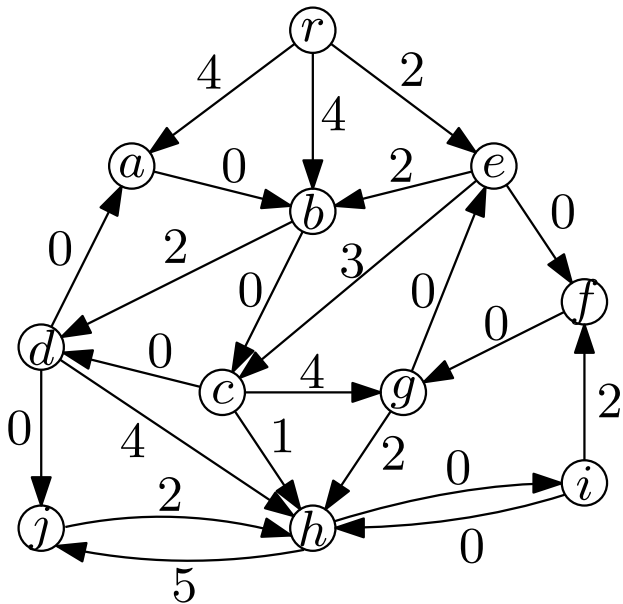
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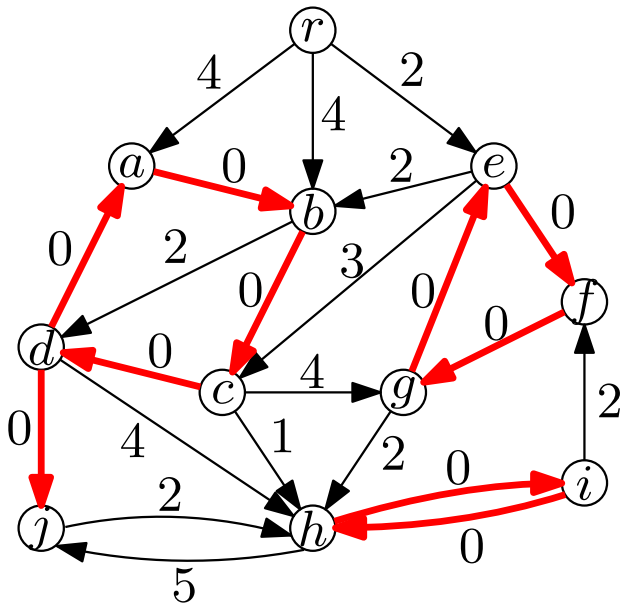
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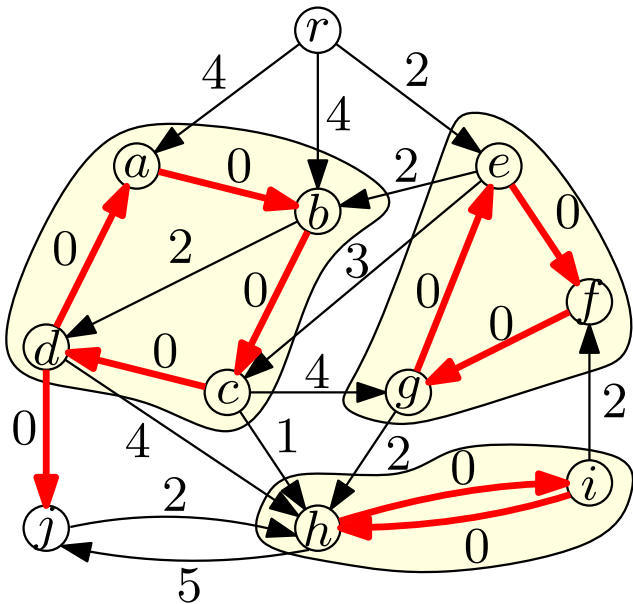
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**Lemma** Let  $(v_0, v_1, v_2, \dots, v_p = v_0)$  be a cycle  $C$  of 0-cost edges in  $G$ . Then there is an optimum solution  $T$ , that contains all but one edges in  $C$ .

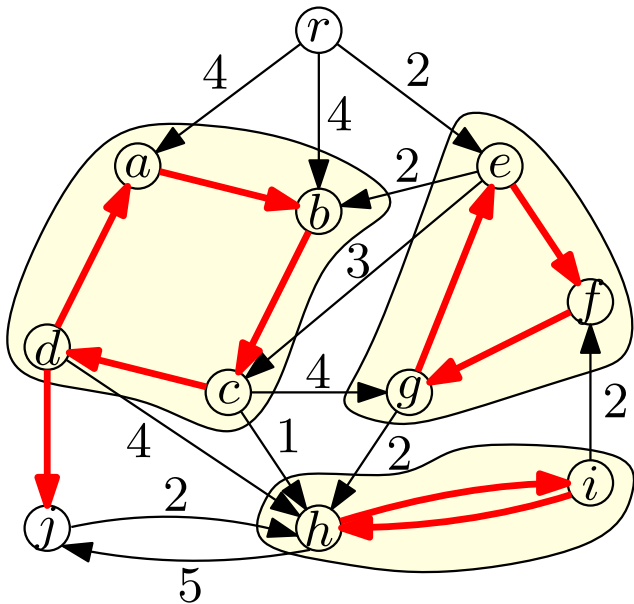


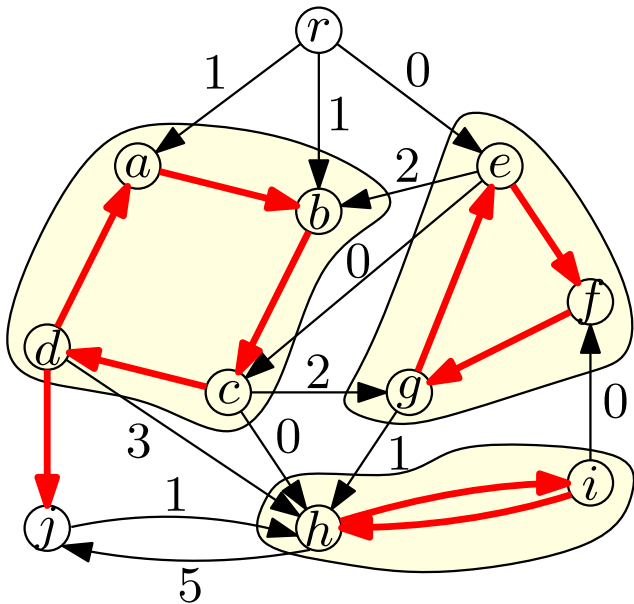


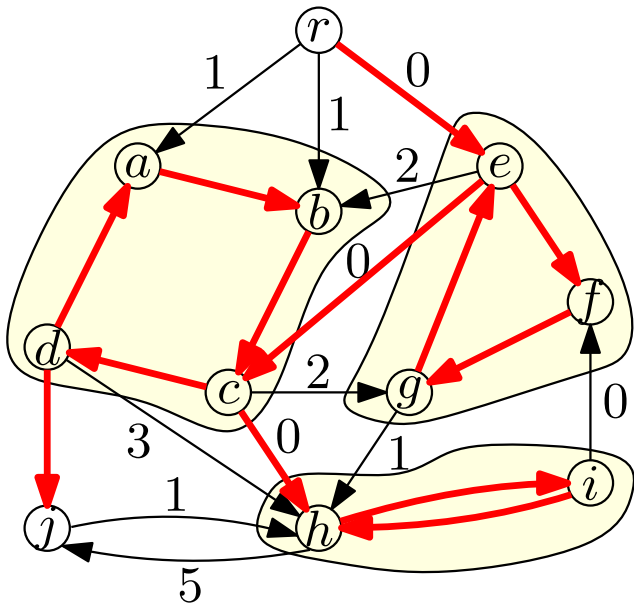


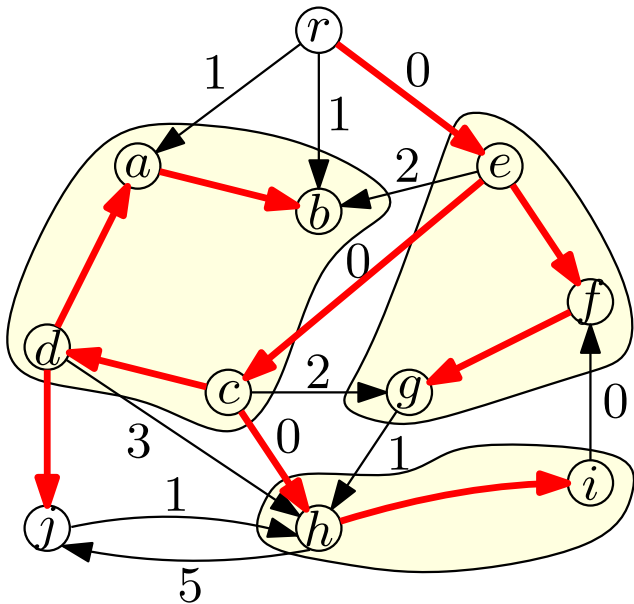


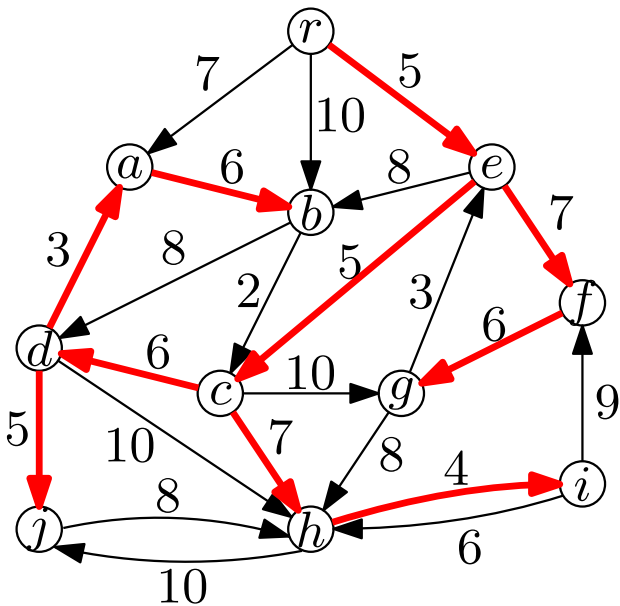












## MCA( $G, r, w$ )

- 1:  $F^* \leftarrow \emptyset$
- 2: **for** every  $v \in V \setminus \{r\}$  **do**
- 3:      $l_v \leftarrow \min_{e \in \delta_v^{\text{in}}} w(e)$
- 4:     **for** every edge  $e$  entering  $v$  **do**:  $w'(e) \leftarrow w(e) - l_v$
- 5:     choose a 0-cost edge entering  $v$ , add it to  $(V, F^*)$
- 6: **if**  $F^*$  form an arborescence **then return**  $F^*$
- 7: **else**
- 8:     **for** every cycle  $C$  in  $F^*$  **do**: contract  $C$  into a single node
- 9:     let  $G' = (V', E')$  be the obtained graph.
- 10:      $T' \leftarrow \text{MCA}(G', r, w')$
- 11:     extend  $T'$  to an aborescence  $T$  in  $G$ , by keeping all but one edges in every cycle  $C$  in  $F^*$ , and **return**  $T$

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- [Tarjan (1971)]:  $O(\min(m \log n, n^2))$
- [Gabow, Galil, Spencer, Tarjan (1986)]:  $O(n \log n + m)$
- [Mendelson, Tarjan, Thorup, Zwick (2006)]:  $O(m \log \log n)$