# 算法设计与分析(2024年春季学期) Graph Algorithms

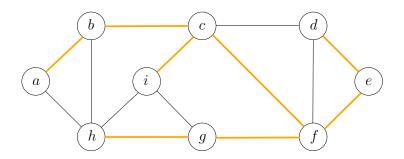
授课老师: 栗师 南京大学计算机科学与技术系

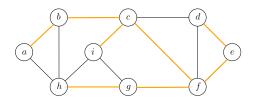
#### Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- Shortest Paths in Graphs with Negative Weights
- All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

## Spanning Tree

**Def.** Given a connected graph G=(V,E), a spanning tree T=(V,F) of G is a sub-graph of G that is a tree including all vertices V.





**Lemma** Let T=(V,F) be a subgraph of G=(V,E). The following statements are equivalent:

- T is a spanning tree of G;
- T is acyclic and connected;
- T is connected and has n-1 edges;
- T is acyclic and has n-1 edges;
- T is minimally connected: removal of any edge disconnects it;
- T is maximally acyclic: addition of any edge creates a cycle;
- ullet T has a unique simple path between every pair of nodes.

## Minimum Spanning Tree (MST) Problem

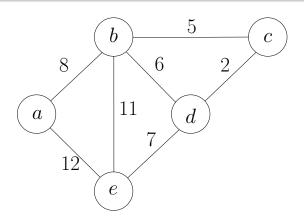
**Input:** Graph G = (V, E) and edge weights  $w : E \to \mathbb{R}$ 

Output: the spanning tree T of G with the minimum total weight

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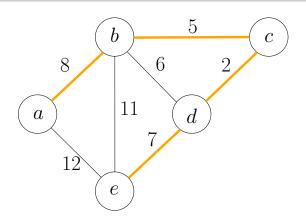
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#### Recall: Steps of Designing A Greedy Algorithm

- Design a "reasonable" strategy
- Prove that the reasonable strategy is "safe" (key, usually done by "exchanging argument")
- Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem (usually trivial)

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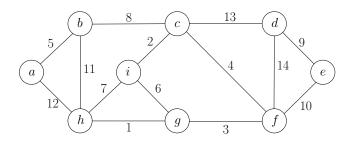
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## Two Classic Greedy Algorithms for MST

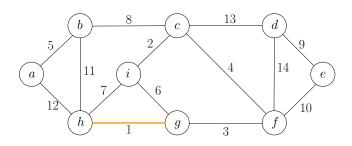
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**Q:** Which edge can be safely included in the MST?

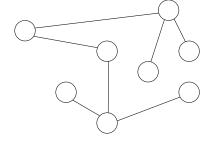


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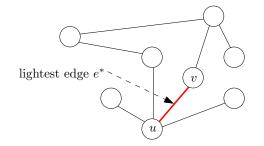
A: The edge with the smallest weight (lightest edge).

#### Proof.

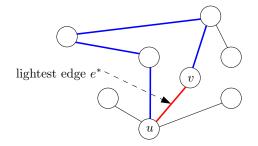
ullet Take a minimum spanning tree T



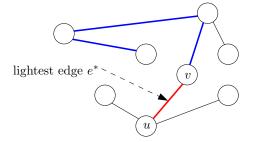
- ullet Take a minimum spanning tree T
- ullet Assume the lightest edge  $e^*$  is not in T



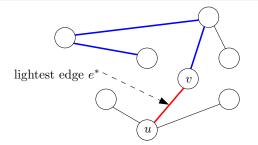
- ullet Take a minimum spanning tree T
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- ullet There is a unique path in T connecting u and v

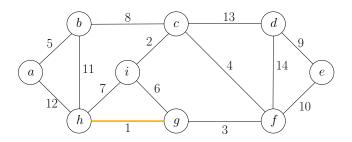


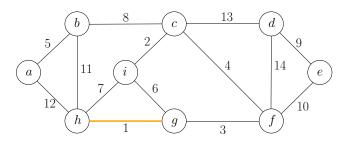
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- ullet Remove any edge e in the path to obtain tree T'



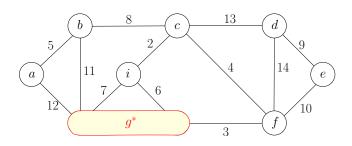
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- $\bullet$  There is a unique path in T connecting u and v
- ullet Remove any edge e in the path to obtain tree  $T^\prime$
- $w(e^*) \le w(e) \implies w(T') \le w(T)$ : T' is also a MST



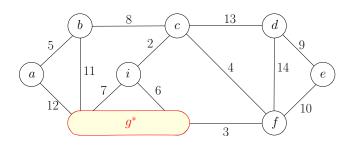




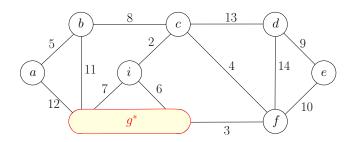
 $\bullet$  Residual problem: find the minimum spanning tree that contains edge (g,h)

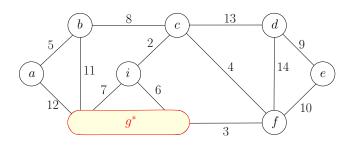


- $\bullet$  Residual problem: find the minimum spanning tree that contains edge (g,h)
- Contract the edge (g,h)

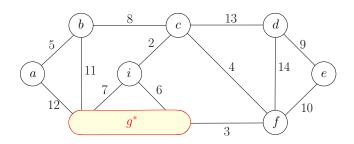


- $\bullet$  Residual problem: find the minimum spanning tree that contains edge (g,h)
- Contract the edge (g, h)
- Residual problem: find the minimum spanning tree in the contracted graph

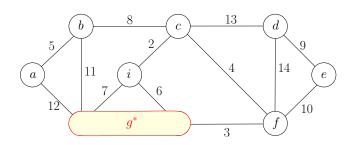




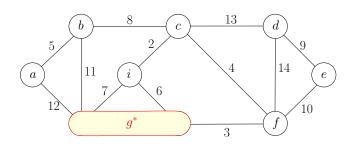
ullet Remove u and v from the graph, and add a new vertex  $u^*$ 



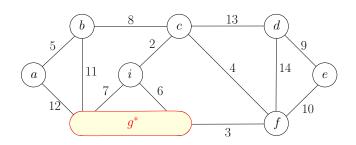
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- May create parallel edges! E.g. : two edges  $(i, g^*)$

Repeat the following step until G contains only one vertex:

- lacktriangledown Choose the lightest edge  $e^*$ , add  $e^*$  to the spanning tree
- ② Contract  $e^*$  and update G be the contracted graph

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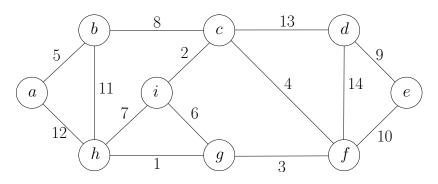
**Q:** What edges are removed due to contractions?

 $\mbox{\bf A:} \;\; \mbox{Edge}\;(u,v)$  is removed if and only if there is a path connecting u and v formed by edges we selected

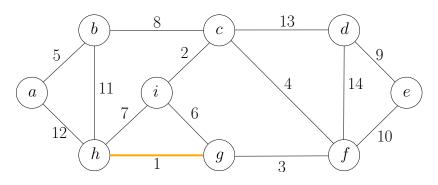
## $\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

```
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```

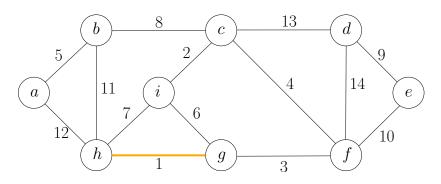
- 2: sort edges in  ${\cal E}$  in non-decreasing order of weights w
- 3: **for** each edge (u,v) in the order **do**
- 4: **if** u and v are not connected by a path of edges in F **then**
- 5:  $F \leftarrow F \cup \{(u, v)\}$
- 6: **return** (V, F)



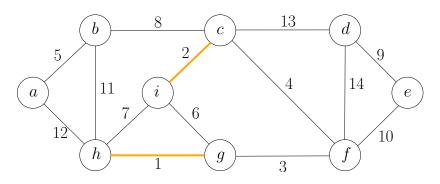
Sets:  $\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\}, \{i\}\}$ 



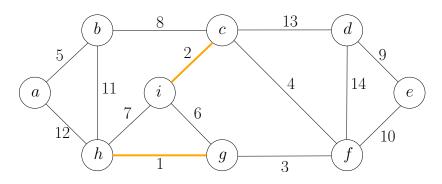
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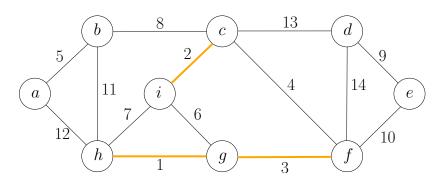
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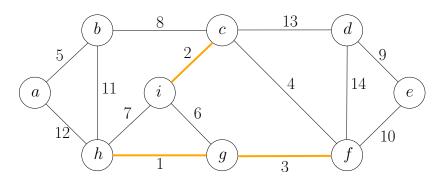
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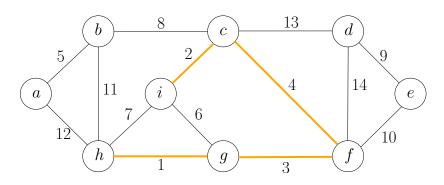
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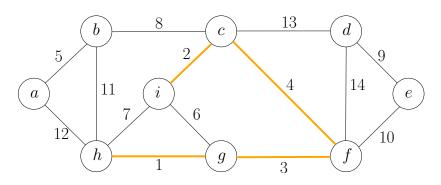
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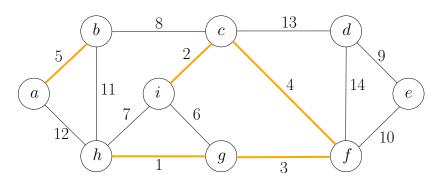
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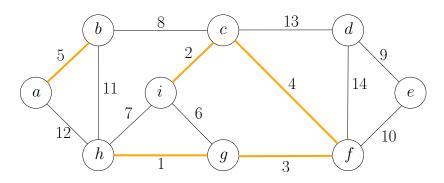
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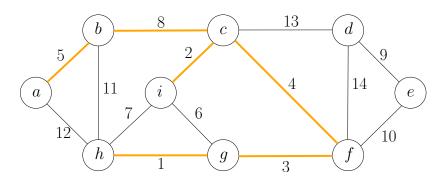
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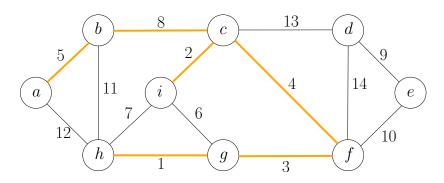
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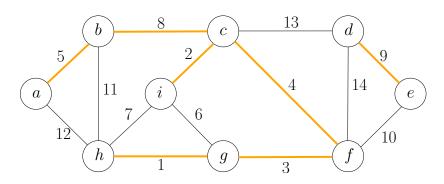
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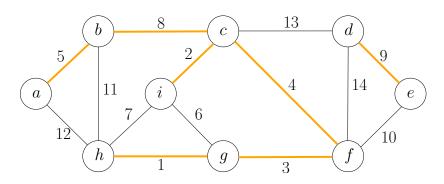
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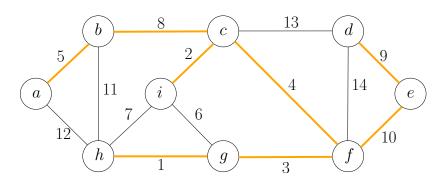
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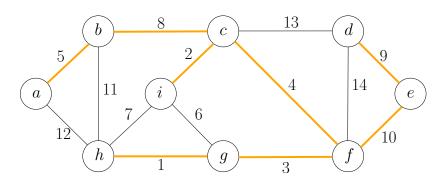
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# Kruskal's Algorithm: Efficient Implementation of Greedy Algorithm

```
1. F \leftarrow \emptyset
 2: S \leftarrow \{\{v\} : v \in V\}
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
          S_u \leftarrow the set in S containing u
 5:
       S_v \leftarrow the set in S containing v
 6:
 7:
    if S_u \neq S_v then
               F \leftarrow F \cup \{(u,v)\}
 8:
               \mathcal{S} \leftarrow \mathcal{S} \setminus \{S_u\} \setminus \{S_v\} \cup \{S_u \cup S_v\}
 9:
10: return (V, F)
```

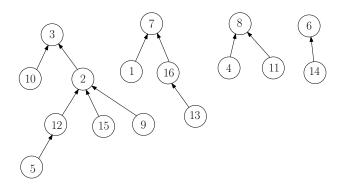
# Running Time of Kruskal's Algorithm

```
MST-Kruskal(G, w)
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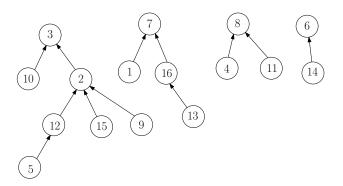
Use union-find data structure to support 2, 5, 6, 7, 9.

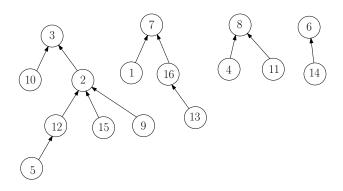
- ullet V: ground set
- ullet We need to maintain a partition of V and support following operations:
  - ullet Check if u and v are in the same set of the partition
  - Merge two sets in partition

- $V = \{1, 2, 3, \cdots, 16\}$
- Partition:  $\{2, 3, 5, 9, 10, 12, 15\}, \{1, 7, 13, 16\}, \{4, 8, 11\}, \{6, 14\}$

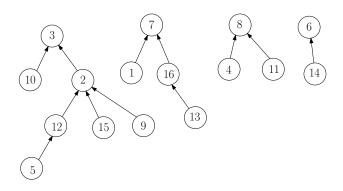


• par[i]: parent of i,  $(par[i] = \bot \text{ if } i \text{ is a root})$ .

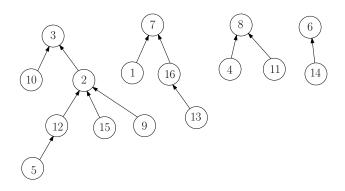




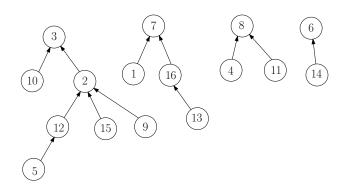
ullet Q: how can we check if u and v are in the same set?



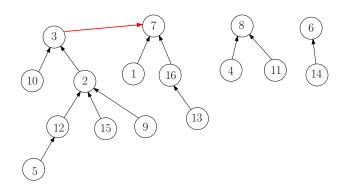
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## root(v)

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1: if par[v] = \bot then
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2: return v

3: **else** 

4: **return** root(par[v])

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- Improvement: all vertices in the path directly point to the root, saving time in the future.

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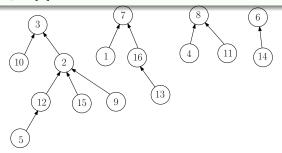
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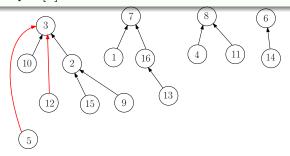
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 2: for every v \in V do: par[v] \leftarrow \bot
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 4: for each edge (u, v) \in E in the order do
      u' \leftarrow \mathsf{root}(u)
 5:
    v' \leftarrow \mathsf{root}(v)
 6:
 7: if u' \neq v' then
              F \leftarrow F \cup \{(u,v)\}
 8:
             par[u'] \leftarrow v'
 9:
10: return (V, F)
```

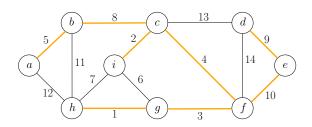
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1: F \leftarrow \emptyset
 2: for every v \in V do: par[v] \leftarrow \bot
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
     u' \leftarrow \mathsf{root}(u)
 5:
 6: v' \leftarrow \text{root}(v)
 7: if u' \neq v' then
             F \leftarrow F \cup \{(u,v)\}
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             par[u'] \leftarrow v'
 9:
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- 2,5,6,7,9 takes time  $O(m\alpha(n))$
- $\alpha(n)$  is very slow-growing:  $\alpha(n) \le 4$  for  $n \le 10^{80}$ .

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- Running time = time for  $3 = O(m \lg n)$ .

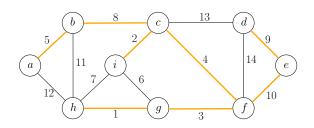
#### **Assumption** Assume all edge weights are different.

**Lemma** An edge  $e \in E$  is **not** in the MST, if and only if there is cycle C in G in which e is the heaviest edge.



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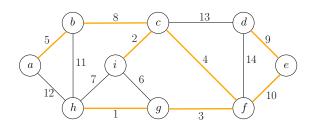
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• (i,g) is not in the MST because of cycle (i,c,f,g)

#### **Assumption** Assume all edge weights are different.

**Lemma** An edge  $e \in E$  is **not** in the MST, if and only if there is cycle C in G in which e is the heaviest edge.



- (i,g) is not in the MST because of cycle (i,c,f,g)
- $\bullet$  (e, f) is in the MST because no such cycle exists

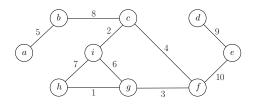
#### Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

 $\ \, \bullet \ \,$  Start from  $F \leftarrow \emptyset$  , and add edges to F one by one until we obtain a spanning tree

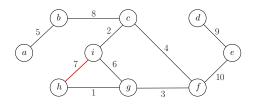
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**Q:** Which edge can be safely excluded from the MST?

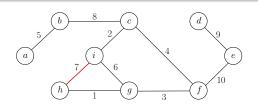
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A: The heaviest non-bridge edge.

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Q: Which edge can be safely excluded from the MST?

**A:** The heaviest non-bridge edge.

**Def.** A bridge is an edge whose removal disconnects the graph.

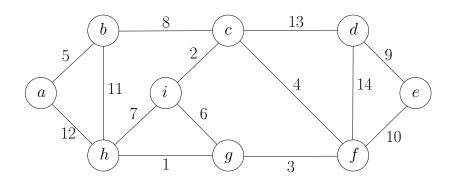
**Lemma** It is safe to exclude the heaviest non-bridge edge: there is a MST that does not contain the heaviest non-bridge edge.

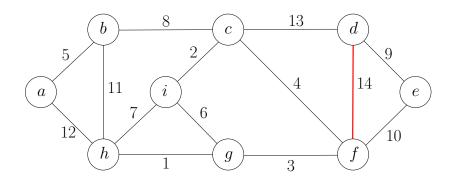
#### Reverse Kruskal's Algorithm

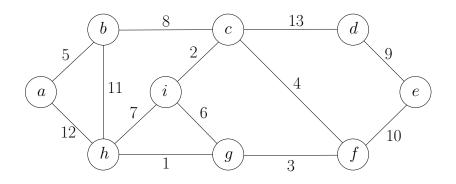
#### $\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

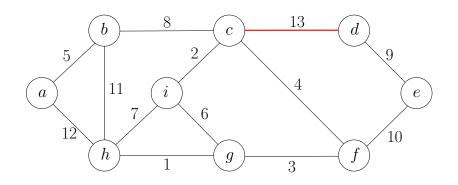
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1: F \leftarrow E
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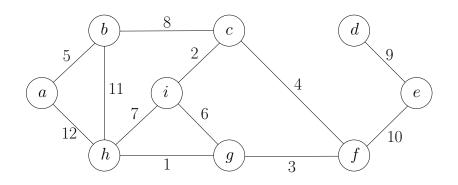
- 2: sort E in non-increasing order of weights
- 3: **for** every e in this order **do**
- 4: **if**  $(V, F \setminus \{e\})$  is connected **then**
- 5:  $F \leftarrow F \setminus \{e\}$
- 6: **return** (V, F)

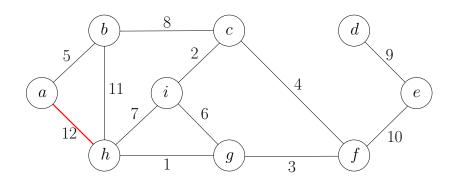


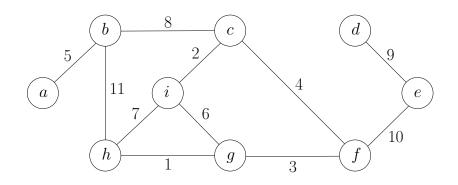


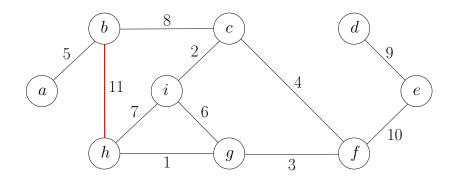


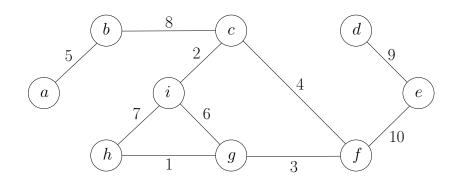


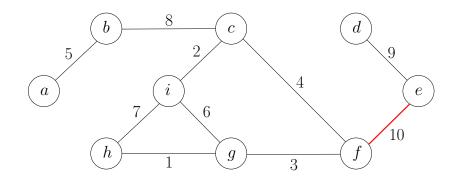


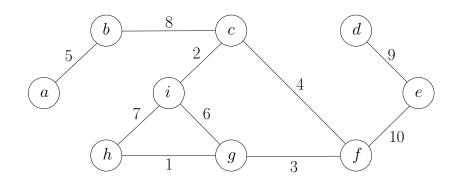


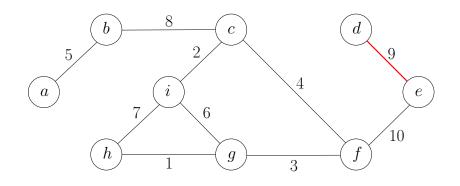


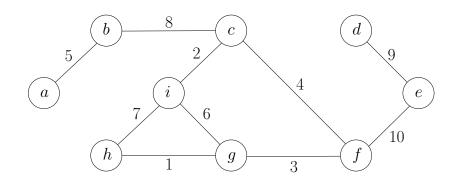


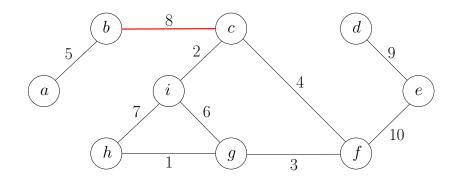


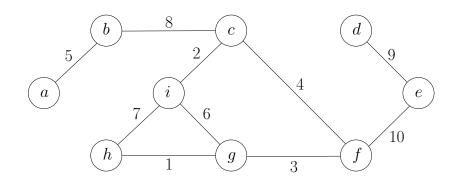


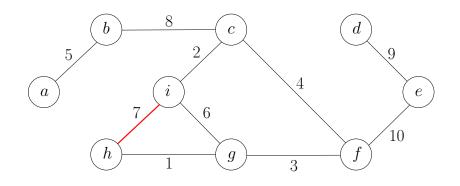


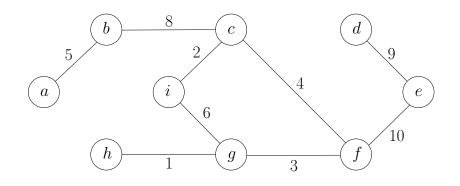


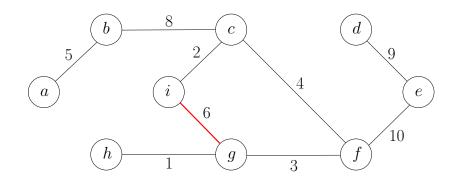


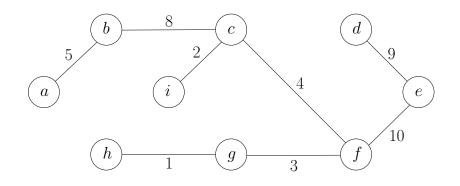










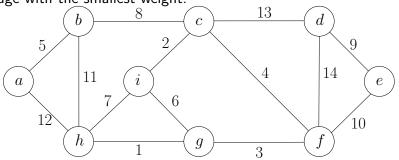


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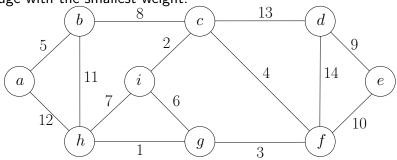
#### Design Greedy Strategy for MST

 Recall the greedy strategy for Kruskal's algorithm: choose the edge with the smallest weight.



#### Design Greedy Strategy for MST

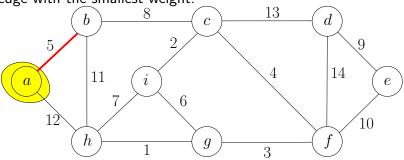
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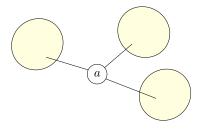
• Greedy strategy for Prim's algorithm: choose the lightest edge incident to a.

#### Design Greedy Strategy for MST

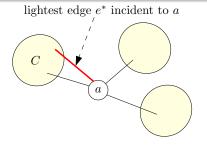
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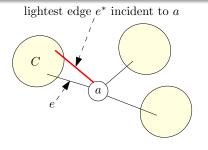
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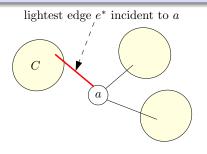
- ullet Let T be a MST
- ullet Consider all components obtained by removing a from T



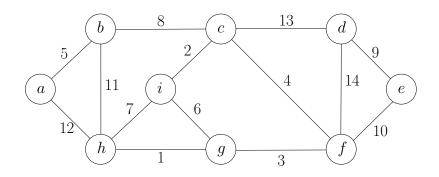
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- $\bullet$  Let  $e^*$  be the lightest edge incident to a and  $e^*$  connects a to component C

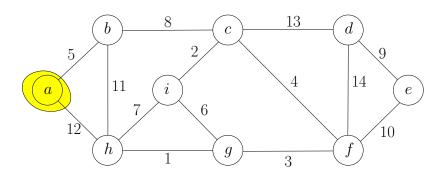


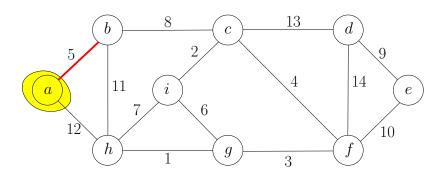
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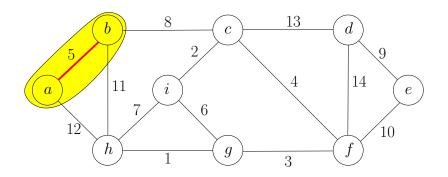


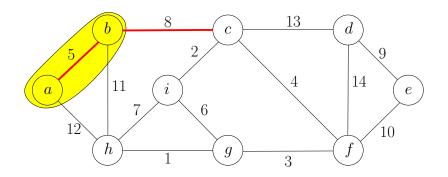
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- $T' = T \setminus \{e\} \cup \{e^*\}$  is a spanning tree with  $w(T') \le w(T)$

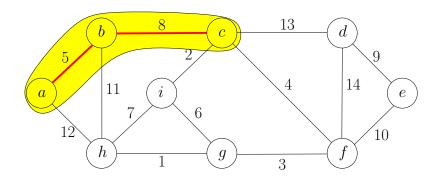


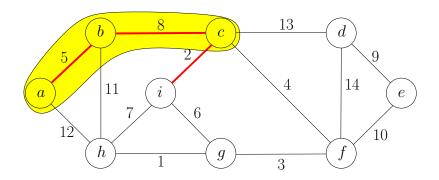


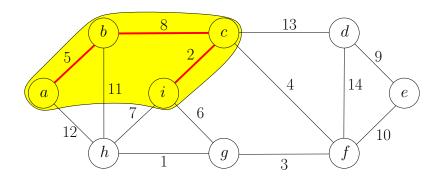


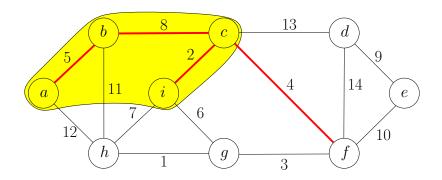


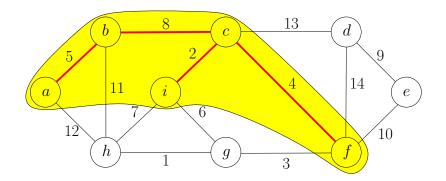


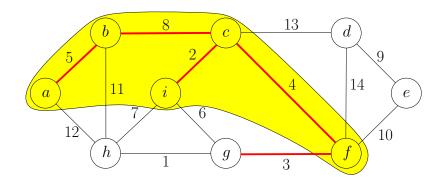


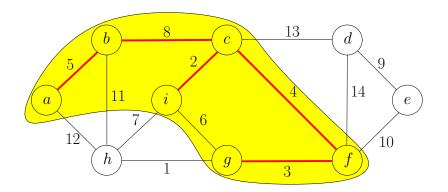


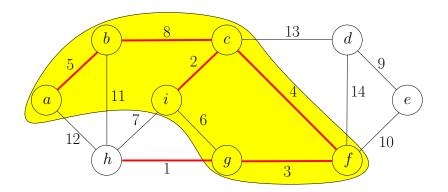


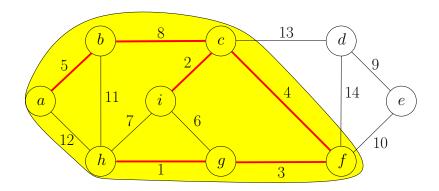


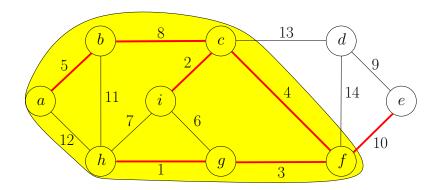


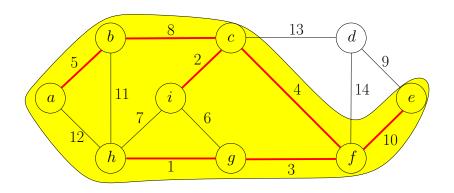


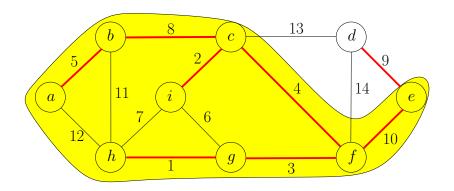


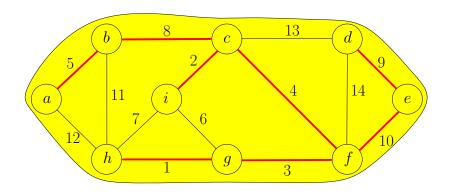












#### Greedy Algorithm

#### $\mathsf{MST} ext{-}\mathsf{Greedy1}(G,w)$

7: return (V, F)

```
1: S \leftarrow \{s\}, where s is arbitrary vertex in V

2: F \leftarrow \emptyset

3: while S \neq V do

4: (u,v) \leftarrow lightest edge between S and V \setminus S, where u \in S and v \in V \setminus S

5: S \leftarrow S \cup \{v\}

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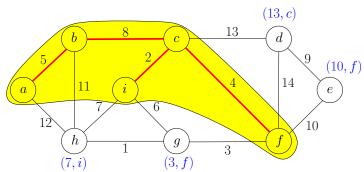
• Running time of naive implementation: O(nm)

# Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every  $v \in V \setminus S$  maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$ :
- the weight of the lightest edge between  $\boldsymbol{v}$  and  $\boldsymbol{S}$
- $\pi[v] = \arg\min_{u \in S:(u,v) \in E} w(u,v)$ :

 $(\boldsymbol{\pi}[\boldsymbol{v}],\boldsymbol{v})$  is the lightest edge between  $\boldsymbol{v}$  and  $\boldsymbol{S}$ 



# Prim's Algorithm: Efficient Implementation of Greedy Algorithm

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  - the weight of the lightest edge between  $\boldsymbol{v}$  and  $\boldsymbol{S}$
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$ :  $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

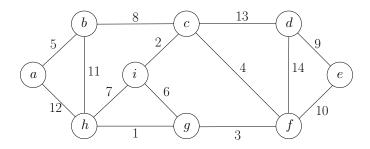
In every iteration

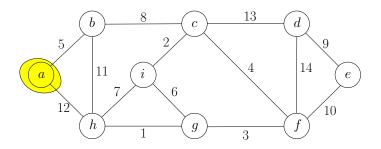
- Pick  $u \in V \setminus S$  with the smallest d[u] value
- Add  $(\pi[u], u)$  to F
- ullet Add u to S, update d and  $\pi$  values.

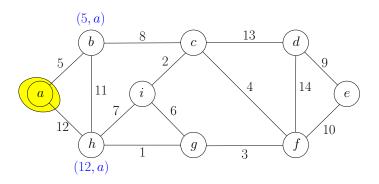
#### Prim's Algorithm

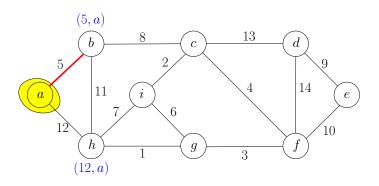
```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
```

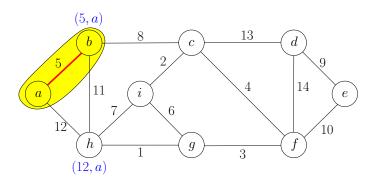
```
1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: while S \neq V do
          u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 4:
    S \leftarrow S \cup \{u\}
 5:
      for each v \in V \setminus S such that (u, v) \in E do
 6:
               if w(u,v) < d[v] then
 7:
                    d[v] \leftarrow w(u,v)
 8:
                    \pi[v] \leftarrow u
 9:
10: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

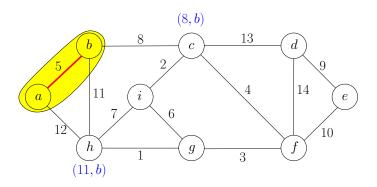


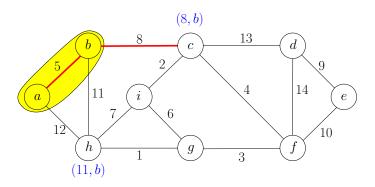


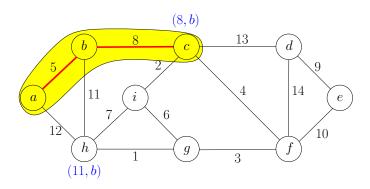


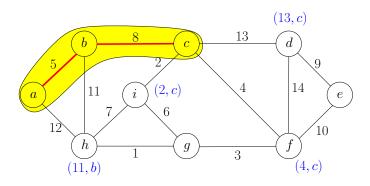


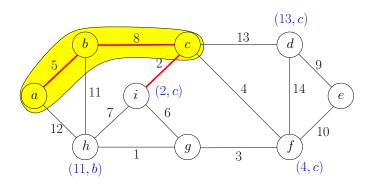


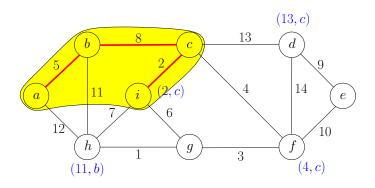


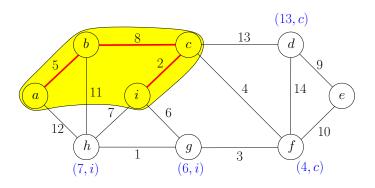


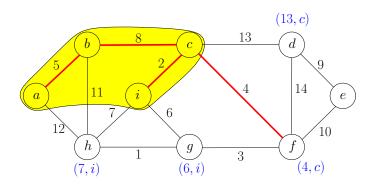


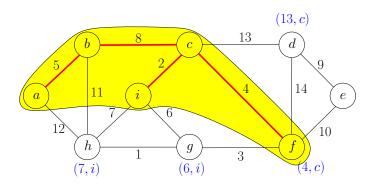


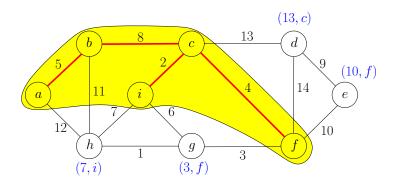


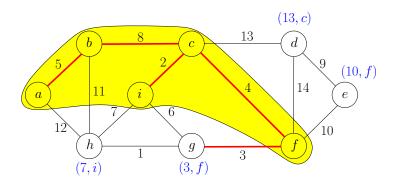


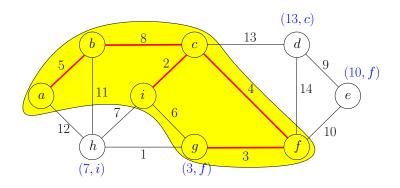


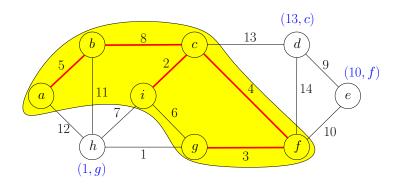


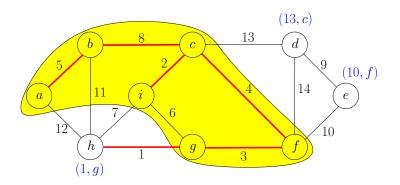


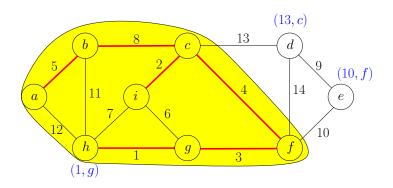


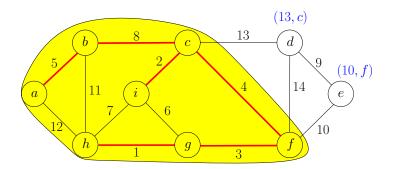


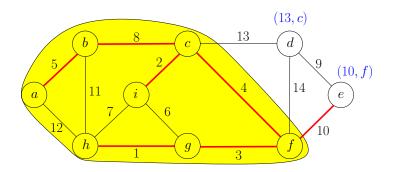


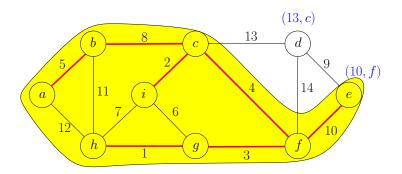


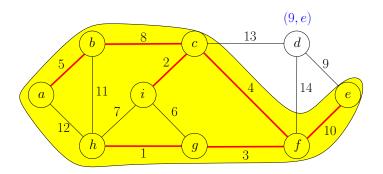


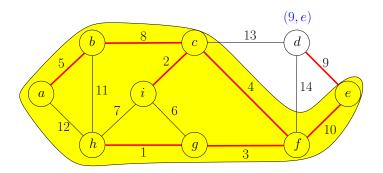


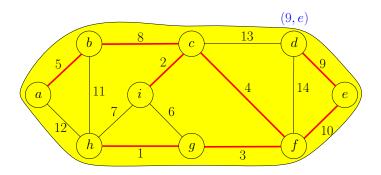


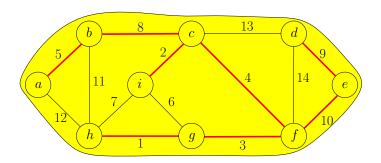












## Prim's Algorithm

For every  $v \in V \setminus S$  maintain

- $d[v] = \min_{u \in S: (u,v) \in E} w(u,v)$ : the weight of the lightest edge between v and S
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$ :  $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

#### In every iteration

- Pick  $u \in V \setminus S$  with the smallest d[u] value
- Add  $(\pi[u], u)$  to F
- Add u to S, update d and  $\pi$  values.

## Prim's Algorithm

For every  $v \in V \setminus S$  maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$ :
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In every iteration

• Pick  $u \in V \setminus S$  with the smallest d[u] value

extract\_min

- Add  $(\pi[u], u)$  to F
- Add u to S, update d and  $\pi$  values.

decrease\_key

Use a priority queue to support the operations

**Def.** A priority queue is an abstract data structure that maintains a set U of elements, each with an associated key value, and supports the following operations:

- insert  $(v, key\_value)$ : insert an element v, whose associated key value is  $key\_value$ .
- decrease\_key $(v, new\_key\_value)$ : decrease the key value of an element v in queue to  $new\_key\_value$
- extract\_min(): return and remove the element in queue with the smallest key value
- • •

## Prim's Algorithm

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
```

```
1: s \leftarrow \text{arbitrary vertex in } G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3:
 4: while S \neq V do
        u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
 8:
                     d[v] \leftarrow w(u,v)
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

## Prim's Algorithm Using Priority Queue

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
  8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
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10:
11: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
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# Running Time of Prim's Algorithm Using Priority Queue

 $O(n) \times$  (time for extract\_min) +  $O(m) \times$  (time for decrease\_key)

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concrete DS	extract_min	decrease_key	overall time
heap	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci heap	$O(\log n)$	O(1)	$O(n\log n + m)$

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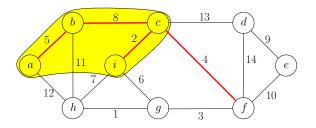
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#### **Assumption** Assume all edge weights are different.

**Lemma** (u,v) is in MST, if and only if there exists a  $\operatorname{cut}\ (U,V\setminus U)$ , such that (u,v) is the lightest edge between U and  $V\setminus U$ .

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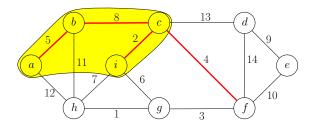
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- (c, f) is in MST because of cut  $(\{a, b, c, i\}, V \setminus \{a, b, c, i\})$
- $\bullet$  (i,g) is not in MST because no such cut exists

### "Evidence" for $e \in \mathsf{MST}$ or $e \notin \mathsf{MST}$

#### Assumption Assume all edge weights are different.

- $e \in \mathsf{MST} \leftrightarrow \mathsf{there}$  is a cut in which e is the lightest edge
- $e \notin \mathsf{MST} \leftrightarrow \mathsf{there}$  is a cycle in which e is the heaviest edge

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- ullet There is a cut in which e is the lightest edge
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Thus, the minimum spanning tree is unique with assumption.

#### Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- 5 Minimum Cost Arborescence

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	O(nm)
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- ullet SS = single source AP = all pairs

#### s-t Shortest Paths

**Input:** (directed or undirected) graph G = (V, E),  $s, t \in V$ 

 $w: E \to \mathbb{R}_{\geq 0}$ 

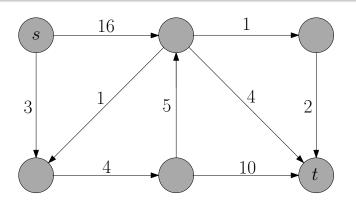
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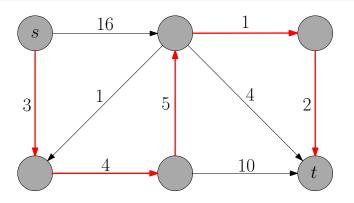


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**Input:** (directed or undirected) graph G = (V, E),  $s \in V$ 

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**Output:** shortest paths from s to all other vertices  $v \in V$ 

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## Reason for Considering Single Source Shortest Paths Problem

 We do not know how to solve s-t shortest path problem more efficiently than solving single source shortest path problem

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- We do not know how to solve s-t shortest path problem more efficiently than solving single source shortest path problem
- Shortest paths in directed graphs is more general than in undirected graphs: we can replace every undirected edge with two anti-parallel edges of the same weight

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#### Single Source Shortest Paths

**Input:** directed graph G = (V, E),  $s \in V$ 

 $w: E \to \mathbb{R}_{>0}$ 

**Output:** shortest paths from s to all other vertices  $v \in V$ 

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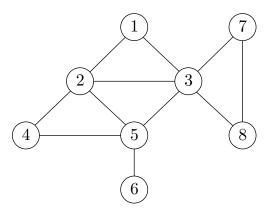
#### Single Source Shortest Paths

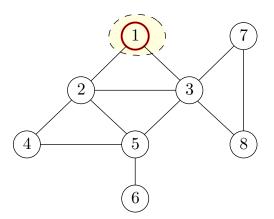
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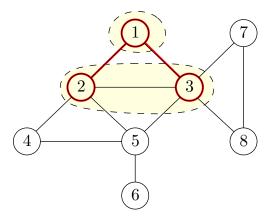
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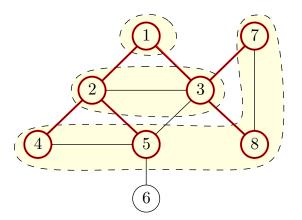
**Output:**  $\pi[v], v \in V \setminus s$ : the parent of v in shortest path tree

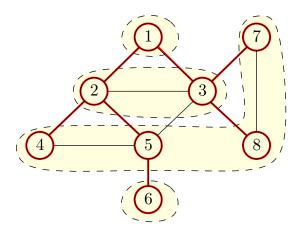
 $d[v], v \in V \setminus s$ : the length of shortest path from s to v





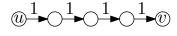






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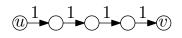


#### Shortest Path Algorithm by Running BFS

- 1: replace (u,v) of length w(u,v) with a path of w(u,v) unit-weight edges, for every  $(u,v) \in E$
- 2: run BFS
- 3:  $\pi[v] \leftarrow \text{vertex from which } v \text{ is visited}$
- 4:  $d[v] \leftarrow \text{index of the level containing } v$

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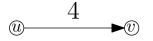


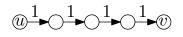


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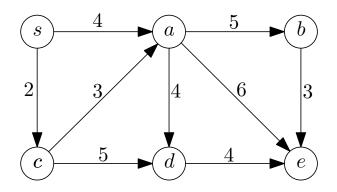


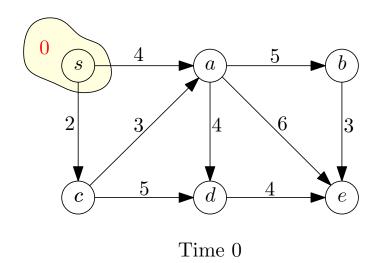
#### Shortest Path Algorithm by Running BFS

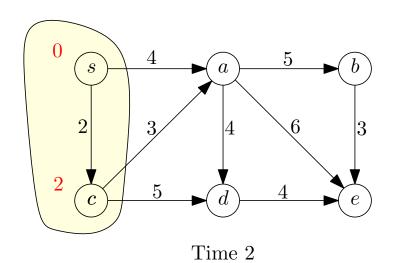
- 1: replace (u,v) of length w(u,v) with a path of w(u,v) unit-weight edges, for every  $(u,v) \in E$
- 2: run BFS virtually
- 3:  $\pi[v] \leftarrow \text{vertex from which } v \text{ is visited}$
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- Problem: w(u, v) may be too large!

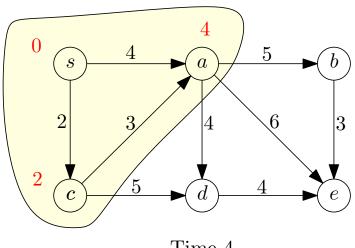
#### Shortest Path Algorithm by Running BFS Virtually

- 1:  $S \leftarrow \{s\}, d(s) \leftarrow 0$
- 2: while |S| < n do
- 3: find a  $v \notin S$  that minimizes  $\min_{u \in S: (u,v) \in E} \{d[u] + w(u,v)\}$
- 4:  $S \leftarrow S \cup \{v\}$
- 5:  $d[v] \leftarrow \min_{u \in S:(u,v) \in E} \{d[u] + w(u,v)\}$

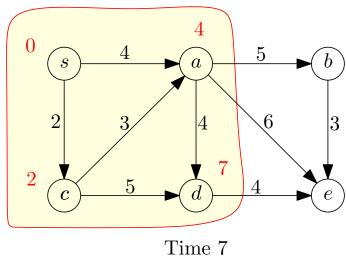


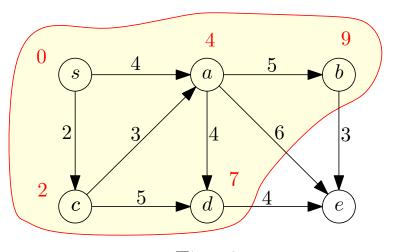




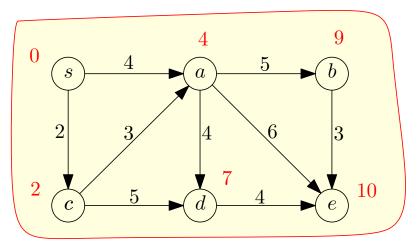


Time 4





Time 9



Time 10

#### Outline

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### Dijkstra's Algorithm

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\mathsf{Dijkstra}(G, w, s)
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2: while S \neq V do

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4: add u to S

5: for each v \in V \setminus S such that (u, v) \in E do

6: if d[u] + w(u, v) < d[v] then

7: d[v] \leftarrow d[u] + w(u, v)

8: \pi[v] \leftarrow u

9: return (d, \pi)
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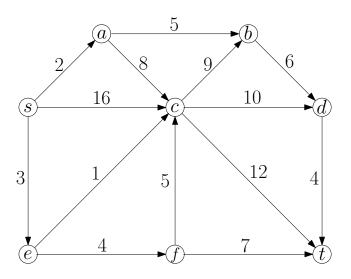
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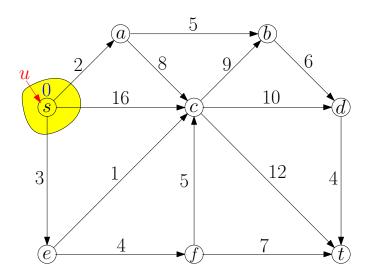
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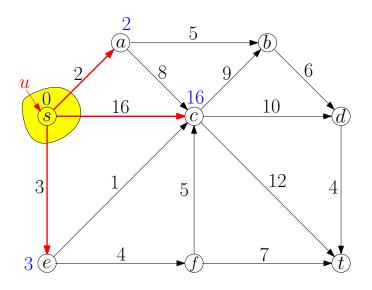
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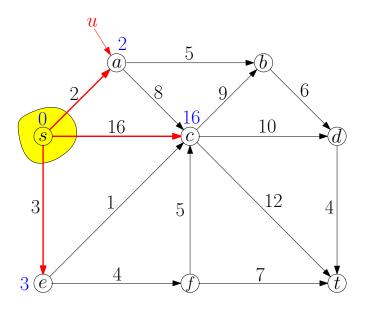
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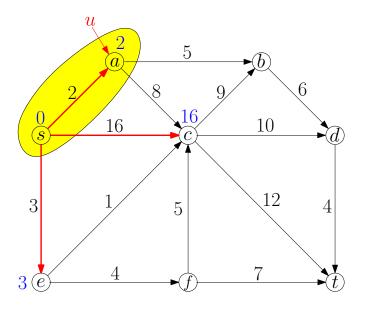
• Running time =  $O(n^2)$ 

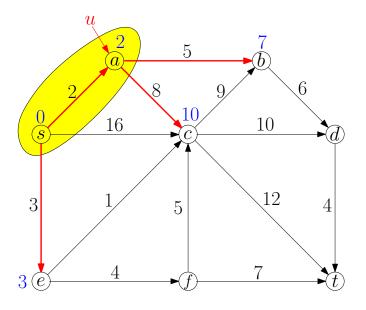


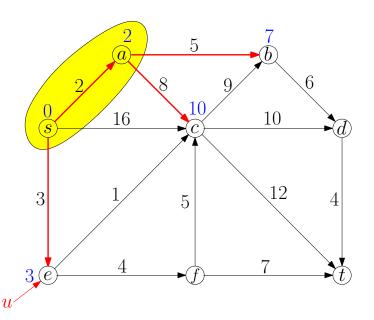


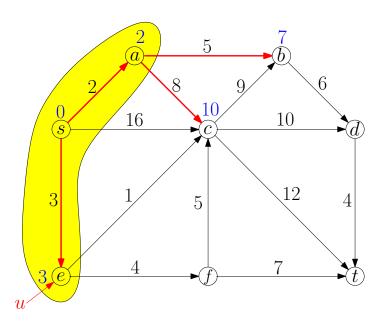


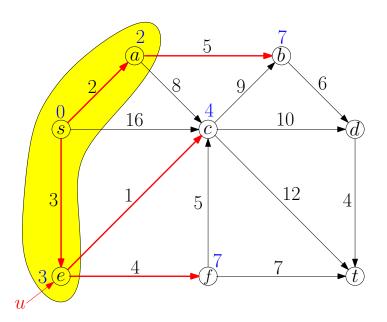


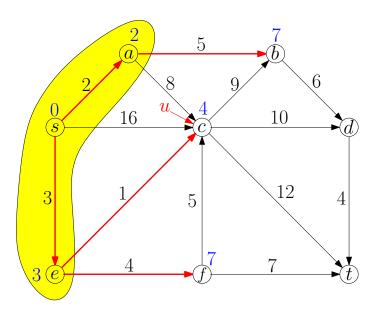


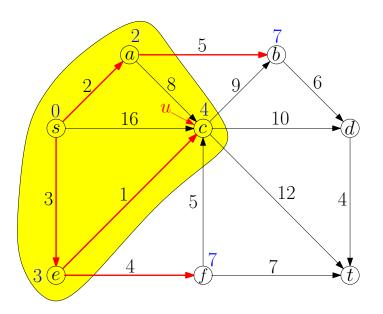


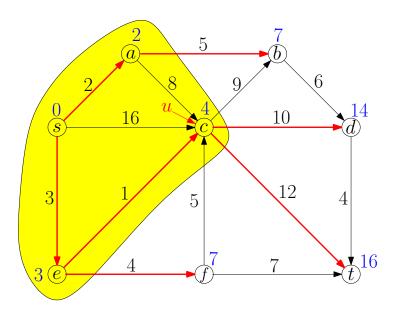


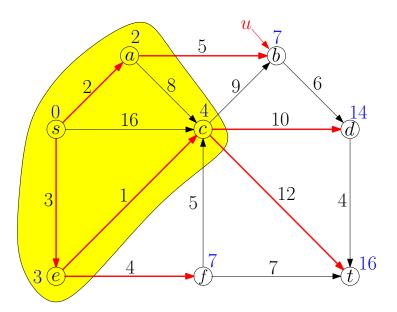


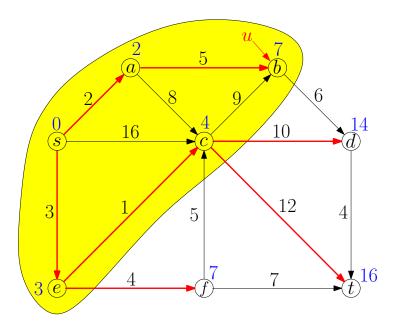


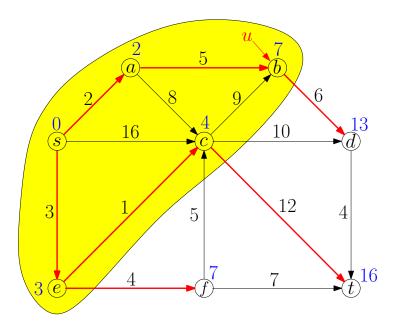


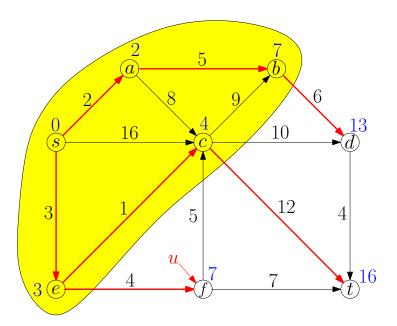


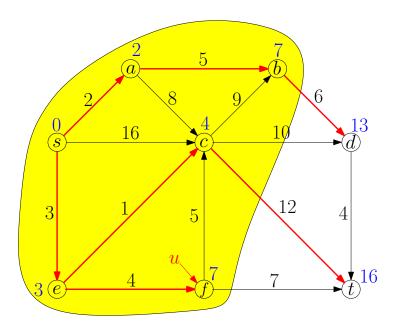


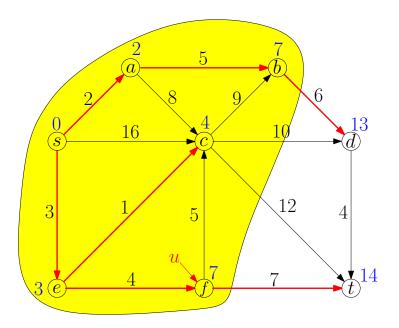


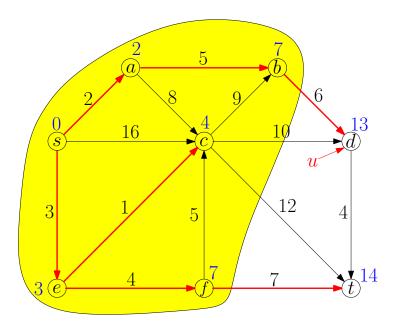


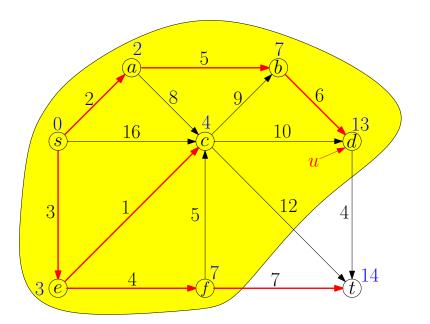


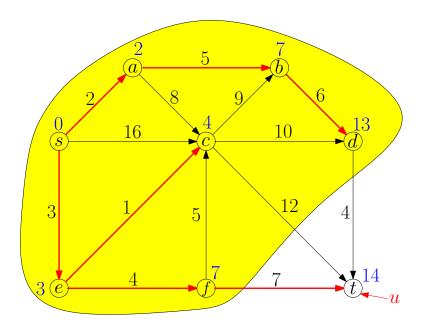


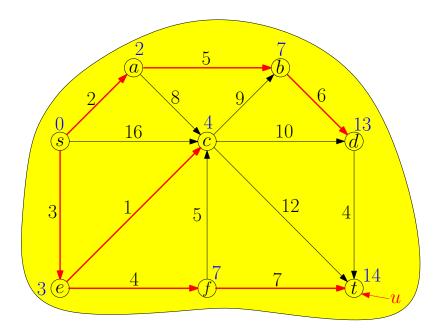












### Improved Running Time using Priority Queue

```
Dijkstra(G, w, s)
 1:
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
      S \leftarrow S \cup \{u\}
 6:
       for each v \in V \setminus S such that (u, v) \in E do
 7:
               if d[u] + w(u, v) < d[v] then
 8:
                    d[v] \leftarrow d[u] + w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                    \pi[v] \leftarrow u
10:
11: return (\pi, d)
```

### Recall: Prim's Algorithm for MST

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
  8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

### Improved Running Time

#### Running time:

 $O(n) \times (\mathsf{time} \ \mathsf{for} \ \mathsf{extract\_min}) + O(m) \times (\mathsf{time} \ \mathsf{for} \ \mathsf{decrease\_key})$ 

Priority-Queue	extract_min	decrease_key	Time
Неар	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci Heap	$O(\log n)$	O(1)	$O(n\log n + m)$

#### Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

**Input:** directed graph G = (V, E),  $s \in V$  assume all vertices are reachable from s

 $w: E \to \mathbb{R}$ 

**Output:** shortest paths from s to all other vertices  $v \in V$ 

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In transition graphs, negative weights make sense

**Input:** directed graph G = (V, E),  $s \in V$  assume all vertices are reachable from s  $w : E \to \mathbb{R}$ 

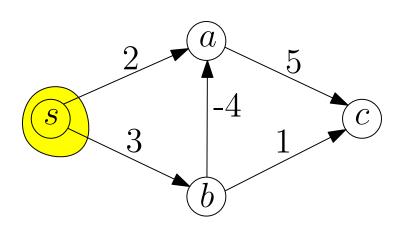
**Output:** shortest paths from s to all other vertices  $v \in V$ 

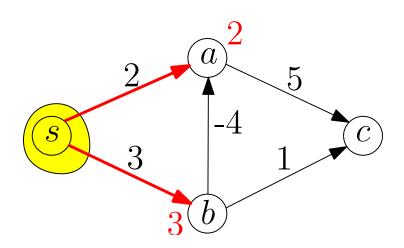
- In transition graphs, negative weights make sense
- ullet If we sell a item: 'having the item' o 'not having the item', weight is negative (we gain money)

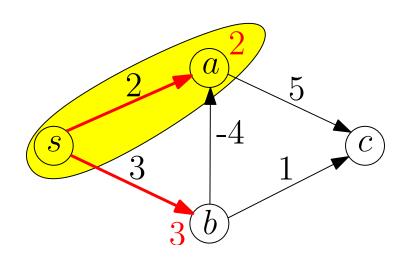
**Input:** directed graph G=(V,E),  $s\in V$  assume all vertices are reachable from s  $w:E\to\mathbb{R}$ 

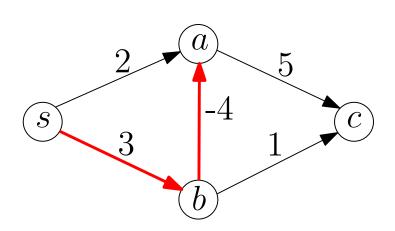
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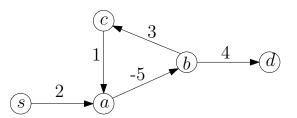
- In transition graphs, negative weights make sense
- If we sell a item: 'having the item'  $\rightarrow$  'not having the item', weight is negative (we gain money)
- Dijkstra's algorithm does not work any more!

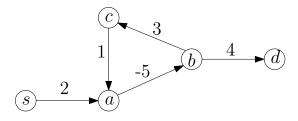


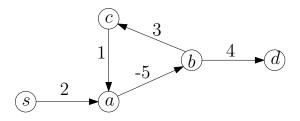


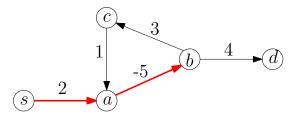


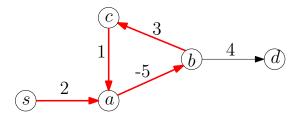


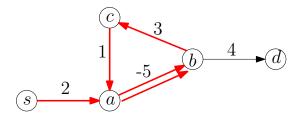


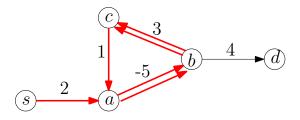


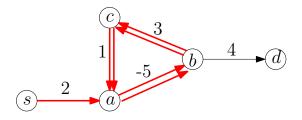


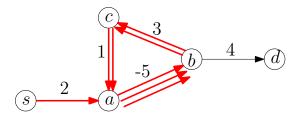


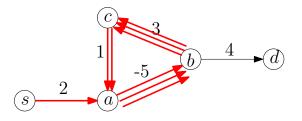


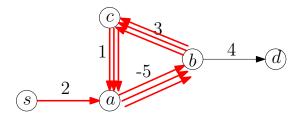


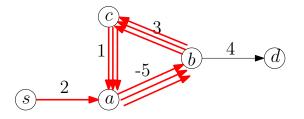






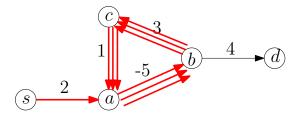






A:  $-\infty$ 

**Def.** A negative cycle is a cycle in which the total weight of edges is negative.

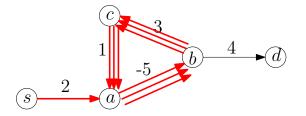


**Q:** What is the length of the shortest path from s to d?

A:  $-\infty$ 

**Def.** A negative cycle is a cycle in which the total weight of edges is negative.

**Q:** What is the length of the shortest simple path from s to d?



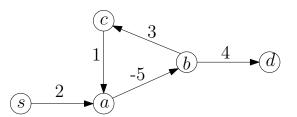
**Q:** What is the length of the shortest path from s to d?

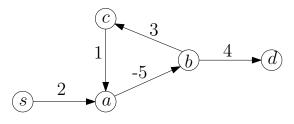
A:  $-\infty$ 

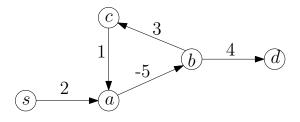
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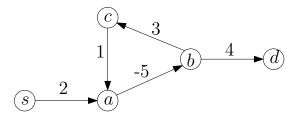
**Q:** What is the length of the shortest simple path from s to d?

**A**: 1



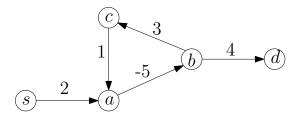




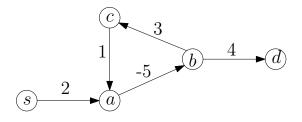


#### Dealing with Negative Cycles

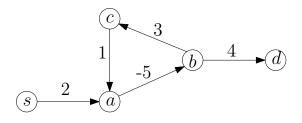
 We need to compute the shortest paths, among both simple and complex paths.



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- Hardest: output  $-\infty$  as a distance
- Easier: if negative cycle exists, allow algorithm to report "negative cycle exists" without computing distances
- Easiest: assume negative cycles do not exist; all shortest paths are automatically simple paths

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	O(nm)
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- $\bullet \ \mathsf{DAG} = \mathsf{directed} \ \mathsf{acyclic} \ \mathsf{graph} \quad \mathsf{U} = \mathsf{undirected} \quad \mathsf{D} = \mathsf{directed}$
- ullet SS = single source AP = all pairs

### Single Source Shortest Paths, Weights May be Negative

**Input:** directed graph G = (V, E),  $s \in V$ 

assume all vertices are reachable from  $\boldsymbol{s}$ 

 $w: E \to \mathbb{R}$ 

**Output:** shortest paths from s to all other vertices  $v \in V$ 

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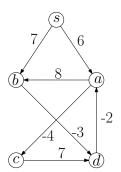
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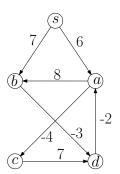
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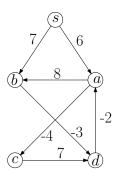
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- $f^{\ell}[v]$ ,  $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$ ,  $v \in V$ : length of shortest path from s to v that uses at most  $\ell$  edges



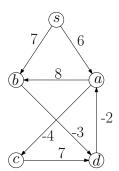
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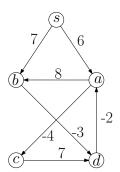
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- $f^2[a] =$



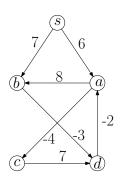
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- $f^2[a] = 6$



- $f^{\ell}[v]$ ,  $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$ ,  $v \in V$ : length of shortest path from s to v that uses at most  $\ell$  edges
- $f^{2}[a] = 6$   $f^{3}[a] =$



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- $f^2[a] = 6$   $f^3[a] = 2$



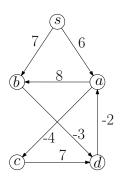
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- $f^{2}[a] = 6$   $f^{3}[a] = 2$

$$f^\ell[v] = \left\{$$

$$\ell = 0, v = s$$

$$\ell = 0, v \neq s$$

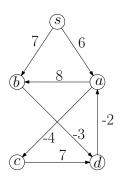
$$\ell > 0$$



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$$f^{\ell}[v] = \begin{cases} 0 \\ \end{cases}$$

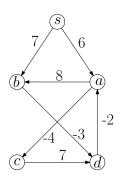
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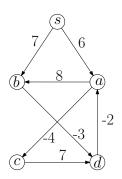
- $f^{\ell}[v]$ ,  $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$ ,  $v \in V$ : length of shortest path from s to v that uses at most  $\ell$  edges
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$$f^{\ell}[v] = \begin{cases} 0 \\ \infty \\ \min \end{cases}$$

$$\ell = 0, v = s$$

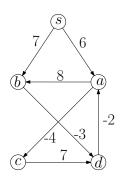
$$\ell = 0, v \neq s$$

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- $f^{\ell}[v]$ ,  $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$ ,  $v \in V$ : length of shortest path from s to v that uses at most  $\ell$  edges
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$$f^{\ell}[v] = \begin{cases} 0 & \ell = 0, v = s \\ \infty & \ell = 0, v \neq s \end{cases}$$
 
$$\min \begin{cases} f^{\ell-1}[v] & \ell > 0 \end{cases}$$

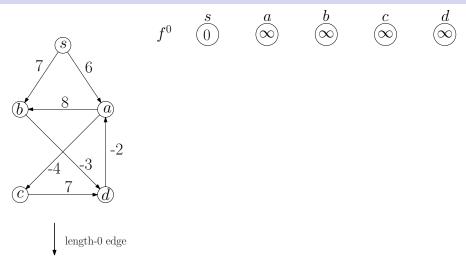


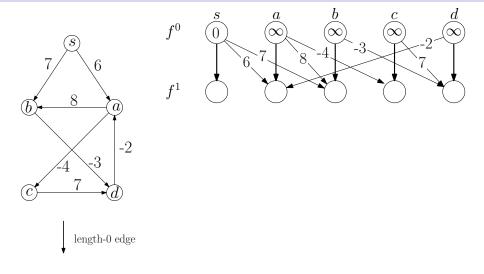
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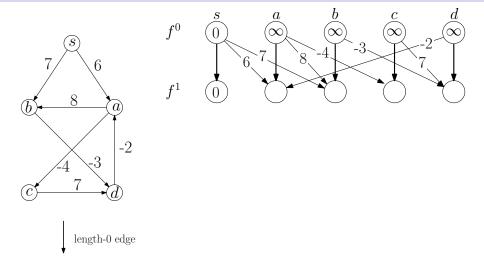
$$f^{\ell}[v] = \begin{cases} 0 & \ell = 0, v = s \\ \infty & \ell = 0, v \neq s \end{cases}$$

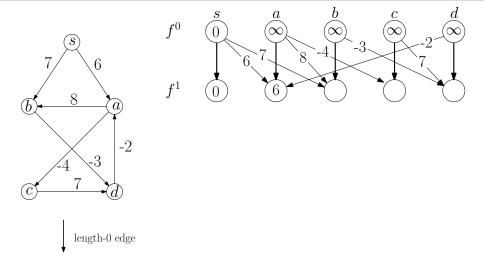
$$\min \begin{cases} f^{\ell-1}[v] & \ell > 0 \end{cases}$$

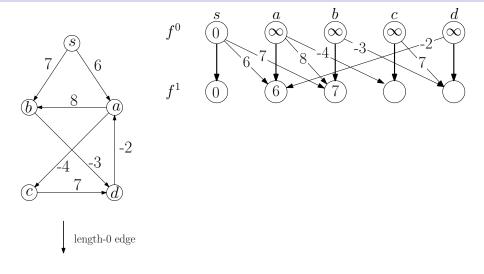
$$\min_{u:(u,v)\in E} \left(f^{\ell-1}[u] + w(u,v)\right) \qquad \ell > 0$$

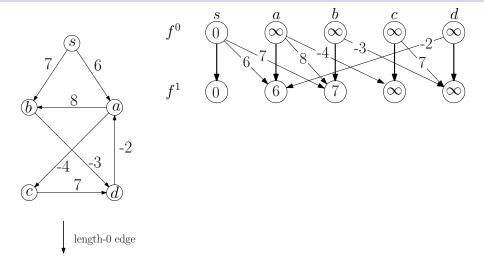


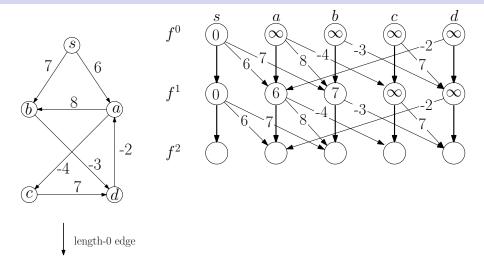


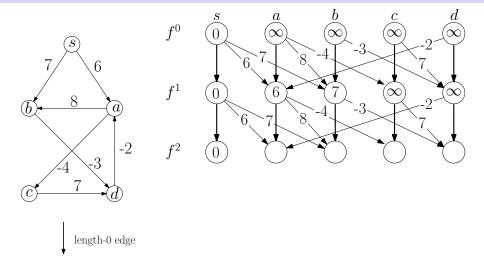


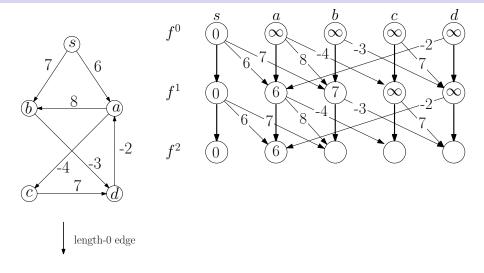


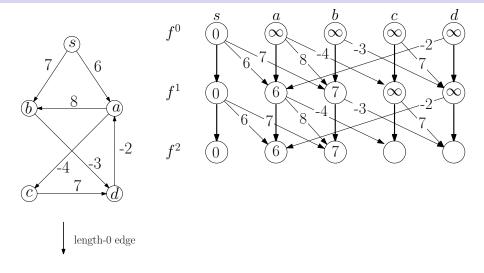


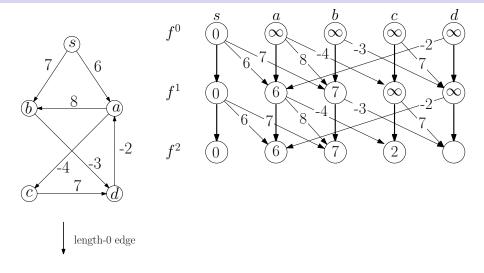


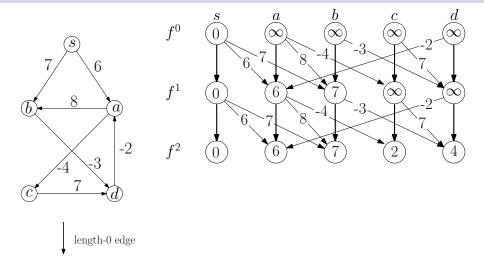


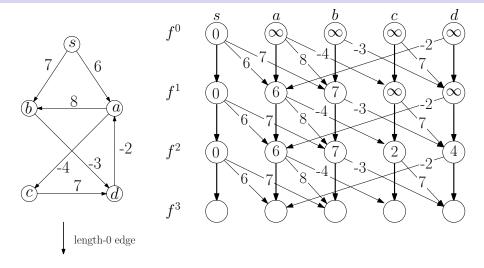


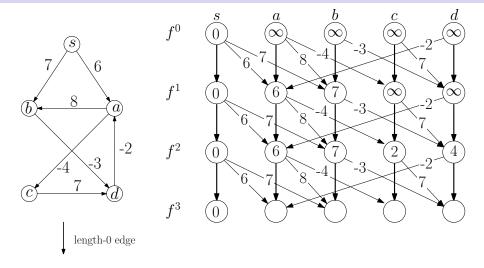


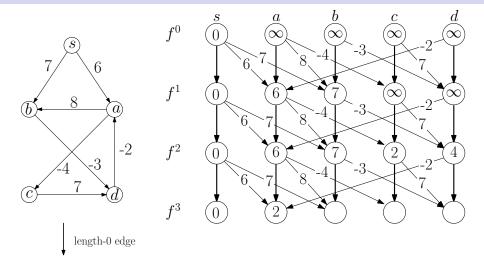


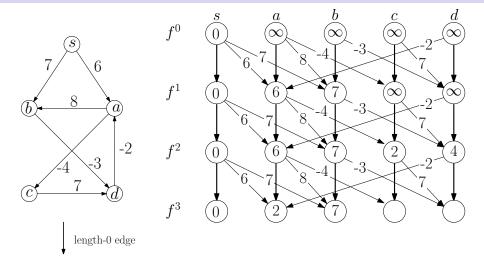


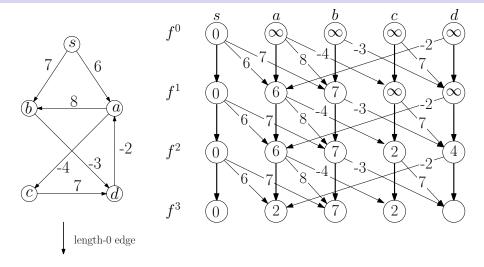


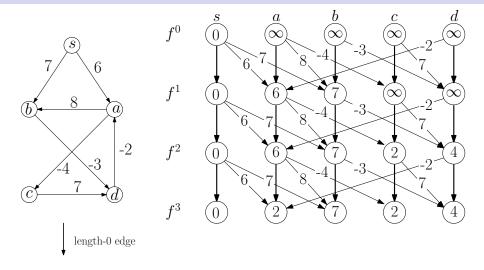


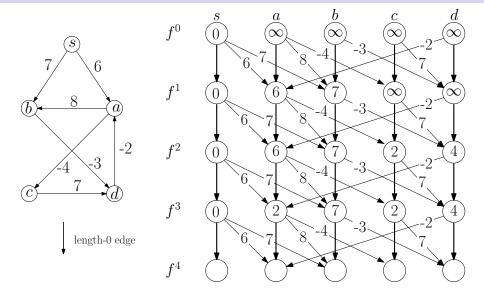


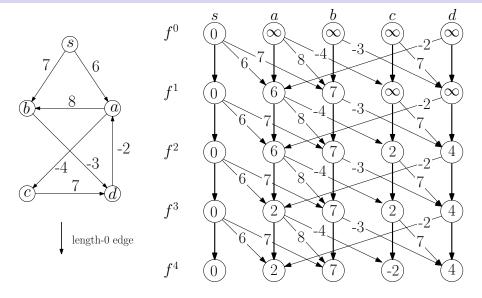












#### dynamic-programming (G, w, s)

```
1: f^0[s] \leftarrow 0 and f^0[v] \leftarrow \infty for any v \in V \setminus \{s\}

2: for \ell \leftarrow 1 to n-1 do

3: \operatorname{copy} f^{\ell-1} \rightarrow f^{\ell}

4: for each (u,v) \in E do

5: if f^{\ell-1}[u] + w(u,v) < f^{\ell}[v] then

6: f^{\ell}[v] \leftarrow f^{\ell-1}[u] + w(u,v)

7: return (f^{n-1}[v])_{v \in V}
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**Obs.** Assuming there are no negative cycles, then a shortest path contains at most n-1 edges

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**Obs.** Assuming there are no negative cycles, then a shortest path contains at most n-1 edges

#### Proof.

If there is a path containing at least n edges, then it contains a cycle. Removing the cycle gives a path with the same or smaller length.  $\square$ 

```
dynamic-programming (G, w, s)
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  6:
            copy f^{\text{new}} \rightarrow f^{\text{old}}
  7:
  8: return f<sup>old</sup>
```

•  $f^{\ell}$  only depends on  $f^{\ell-1}$ : only need 2 vectors

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5:

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```
Bellman-Ford(G, w, s)

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• Issue: when we compute f[u] + w(u, v), f[u] may be changed since the end of last iteration

 $f[v] \leftarrow f[u] + w(u,v)$ 

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- 4: **if** f[u] + w(u, v) < f[v] **then**
- 5:  $f[v] \leftarrow f[u] + w(u, v)$
- 6: **return** *f*
- Issue: when we compute f[u] + w(u, v), f[u] may be changed since the end of last iteration
- This is OK: it can only "accelerate" the process!
- After iteration  $\ell$ , f[v] is at most the length of the shortest path from s to v that uses at most  $\ell$  edges
- ullet f[v] is always the length of some path from s to v

• After iteration  $\ell$ :

length of shortest s-v path

$$\leq f[v]$$

 $\leq$  length of shortest  $s ext{-}v$  path using at most  $\ell$  edges

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  - length of shortest s-v path
  - = length of shortest s-v path using at most n-1 edges

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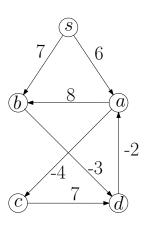
length of shortest s-v path

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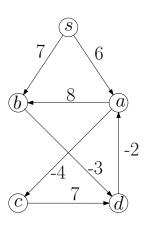
 $\leq$  length of shortest  $s ext{-}v$  path using at most  $\ell$  edges

- Assuming there are no negative cycles:
  - length of shortest s-v path
  - = length of shortest s-v path using at most n-1 edges
- ullet So, assuming there are no negative cycles, after iteration n-1:

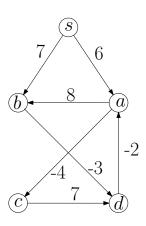
$$f[v] = \text{length of shortest } s\text{-}v \text{ path}$$



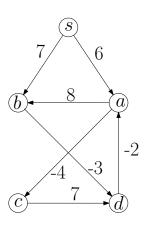
vertices	s	a	b	c	d
$\overline{f}$	0	$\infty$	$\infty$	$\infty$	$\infty$



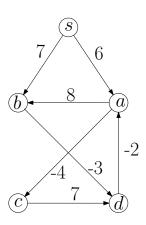
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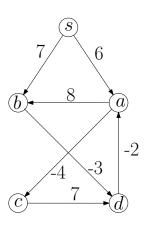
vertices	s	$\mid a \mid$	b	c	d
$\overline{f}$	0	6	$\infty$	$\infty$	$\infty$



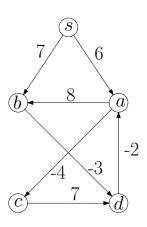
vertices	s	$\mid a \mid$	b	c	d
$\overline{f}$	0	6	$\infty$	$\infty$	$\infty$



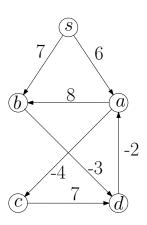
vertices	s	a	b	c	d
$\overline{f}$	0	6	7	$\infty$	$\infty$



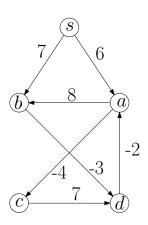
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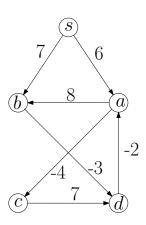
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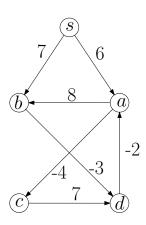
vertices	s	$\mid a \mid$	b	c	d
$\overline{f}$	0	6	7	2	$\infty$



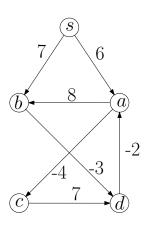
vertices	s	$\mid a \mid$	b	c	d
$\overline{f}$	0	6	7	2	$\infty$



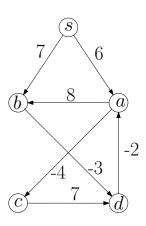
vertices	s	a	b	c	d
$\overline{f}$	0	6	7	2	4



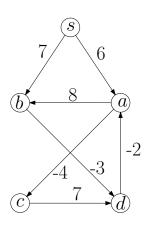
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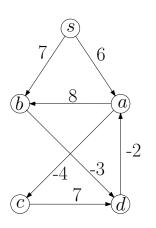
vertices	s	$\mid a \mid$	b	c	d
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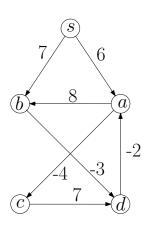
vertices	s	a	b	c	d
$\overline{f}$	0	2	7	2	4



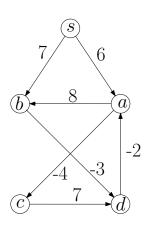
vertices	s	a	b	c	d
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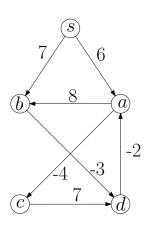
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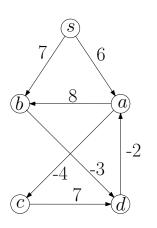
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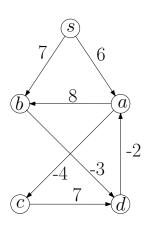
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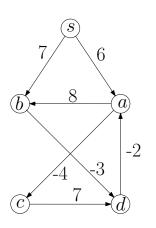
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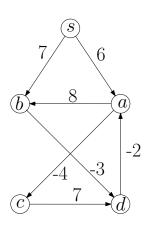
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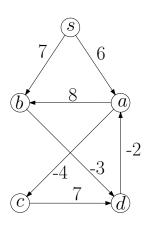
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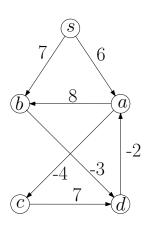


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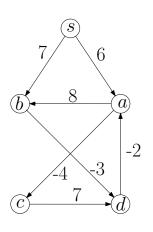
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- end of iteration 1: 0, 2, 7, 2, 4
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- Algorithm terminates in 3 iterations, instead of 4.

### Bellman-Ford Algorithm

### $\mathsf{Bellman}\text{-}\mathsf{Ford}(G,w,s)$

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- $\pi[v]$ : the parent of v in the shortest path tree
- Running time = O(nm)

#### Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

#### All-Pair Shortest Paths

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**Input:** directed graph G = (V, E),

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**Output:** shortest path from u to v for every  $u, v \in V$ 

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- 1: for every starting point  $s \in V$  do
- 2: run Bellman-Ford(G, w, s)

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**Output:** shortest path from u to v for every  $u, v \in V$ 

- 1: for every starting point  $s \in V$  do
- 2: run Bellman-Ford(G, w, s)
- Running time =  $O(n^2m)$

## Summary of Shortest Path Algorithms we learned

algorithm	graph	weights	SS?	running time
Simple DP	DAG	$\mathbb{R}$	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	$\mathbb{R}$	SS	O(nm)
Floyd-Warshall	U/D	$\mathbb{R}$	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- SS = single source AP = all pairs

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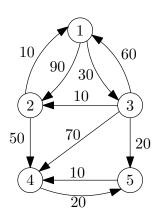
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- ullet First try: f[i,j] is length of shortest path from i to j
- ullet Issue: do not know in which order we compute f[i,j]'s
- $f^k[i,j]$ : length of shortest path from i to j that only uses vertices  $\{1,2,3,\cdots,k\}$  as intermediate vertices

# Example for Definition of $f^k[i,j]$ 's



$$f^{0}[1,4] = \infty$$

$$f^{1}[1,4] = \infty$$

$$f^{2}[1,4] = 140 \qquad (1 \to 2 \to 4)$$

$$f^{3}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{4}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{5}[1,4] = 60 \qquad (1 \to 3 \to 5 \to 4)$$

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$$f^{k}[i,j] = \begin{cases} w(i,j) & k = 0\\ \min \begin{cases} f^{k-1}[i,j] & k = 1, 2, \dots, n \end{cases} \end{cases}$$

#### Floyd-Warshall(G, w)

```
1: f^{0} \leftarrow w

2: for k \leftarrow 1 to n do

3: \operatorname{copy} f^{k-1} \to f^{k}

4: for i \leftarrow 1 to n do

5: for j \leftarrow 1 to n do

6: if f^{k-1}[i,k] + f^{k-1}[k,j] < f^{k}[i,j] then

7: f^{k}[i,j] \leftarrow f^{k-1}[i,k] + f^{k-1}[k,j]
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## Floyd-Warshall(G, w)

```
1: f^{\mathsf{old}} \leftarrow w

2: \mathbf{for} \ k \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

3: \mathsf{copy} \ f^{\mathsf{old}} \rightarrow f^{\mathsf{new}}

4: \mathbf{for} \ i \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

5: \mathbf{for} \ j \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

6: \mathbf{if} \ f^{\mathsf{old}}[i,k] + f^{\mathsf{old}}[k,j] < f^{\mathsf{new}}[i,j] \ \mathbf{then}

7: f^{\mathsf{new}}[i,j] \leftarrow f^{\mathsf{old}}[i,k] + f^{\mathsf{old}}[k,j]
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**Lemma** Assume there are no negative cycles in G. After iteration k, for  $i,j \in V$ , f[i,j] is exactly the length of shortest path from i to j that only uses vertices in  $\{1,2,3,\cdots,k\}$  as intermediate vertices.

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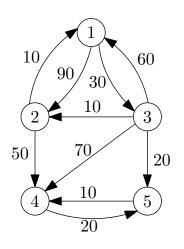
4: for j \leftarrow 1 to n do

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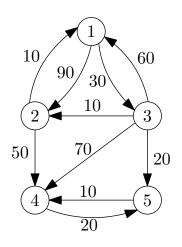
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• Running time =  $O(n^3)$ .

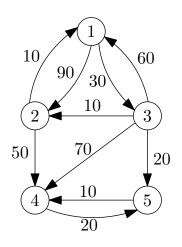


	1	2	3	4	5
1	0	90	30	$\infty$	$\infty$
2	10	0	$\infty$	50	$\infty$
3	60	10	0	70	20
4	$\infty$	$\infty$	$\infty$	0	20
5	$\infty$	$\infty$	$\infty$	10	0



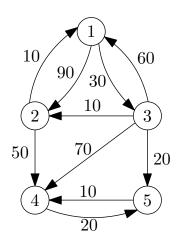
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• i = 2, k = 1, j = 3



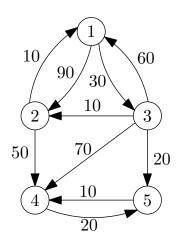
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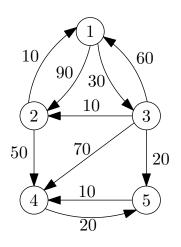
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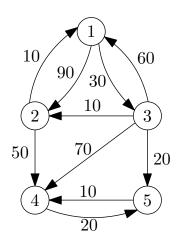
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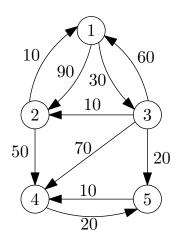
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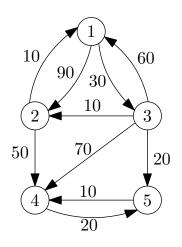
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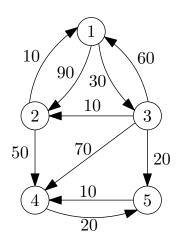
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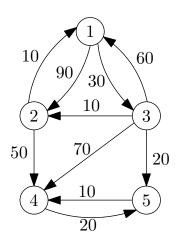
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## Recovering Shortest Paths

# Floyd-Warshall(G, w)

```
1: f \leftarrow w, \pi[i,j] \leftarrow \bot for every i,j \in V

2: for k \leftarrow 1 to n do

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# print-path(i, j)

```
1: if \pi[i,j] = \bot then then
2: if i \neq j then print(i,",")
3: else
```

4: print-path $(i, \pi[i, j])$ , print-path $(\pi[i, j], j)$ 

# **Detecting Negative Cycles**

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                        f[i,j] \leftarrow f[i,k] + f[k,j], \pi[i,j] \leftarrow k
 6:
 7: for k \leftarrow 1 to n do
         for i \leftarrow 1 to n do
 8:
 9:
              for i \leftarrow 1 to n do
                   if f[i, k] + f[k, j] < f[i, j] then
10:
                        report "negative cycle exists" and exit
11:
```

# Summary of Shortest Path Algorithms

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## Outline

- Minimum Spanning Tree
  - Kruskal's Algorithm
  - Reverse-Kruskal's Algorithm
  - Prim's Algorithm
- Single Source Shortest Paths
  - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

## Minimum Cost Arborescence Problem

**Input:** a directed graph G = (V, E),

edge weights  $w:\mathbb{E} \to \mathbb{R}_{\geq 0}$ 

 $\mathsf{root}\ r \in V$ 

Output: a minimum-cost sub-graph

 $T=(V,E^{\prime})$  of G that is an

arborescence with root r

# Minimum Cost Arborescence Problem

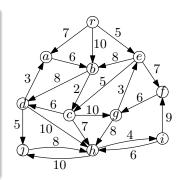
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I = (V, E') of G that is a arborescence with root r



# Minimum Cost Arborescence Problem

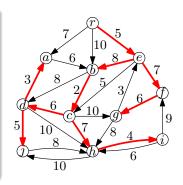
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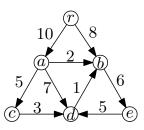
arborescence with root  $\boldsymbol{r}$ 



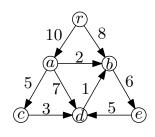
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- For every  $v \in V \setminus \{r\}$  and  $e \in \delta_v^{\text{in}}$ , define  $w'(e) = w(e) l_v$ .

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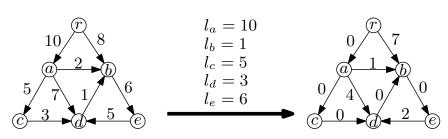


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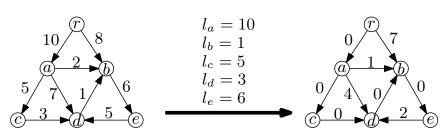


$$l_a = 10$$
  
 $l_b = 1$   
 $l_c = 5$   
 $l_d = 3$   
 $l_e = 6$ 

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#### Proof.

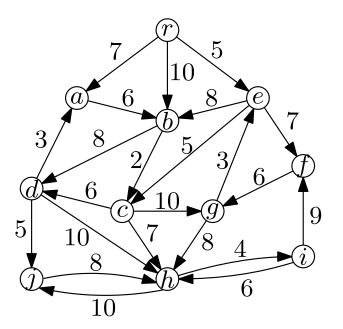
Given any tree solution T, w(T)-w'(T) is always  $\sum_{v\in V\setminus\{r\}}l_v$ .

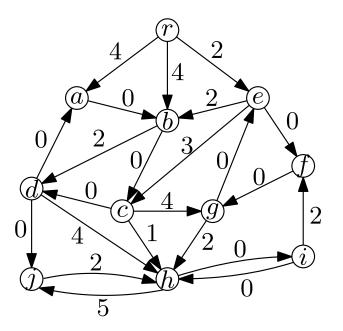
**Lemma** The instances (G, w, r) and (G, w', r) have the same optimum solution.

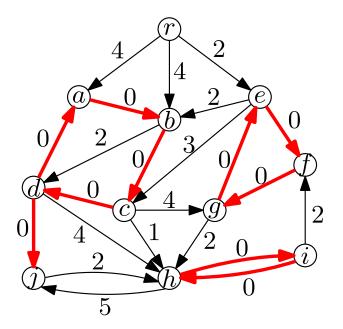
#### Proof.

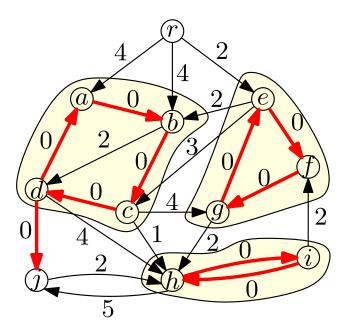
Given any tree solution T, w(T)-w'(T) is always  $\sum_{v\in V\setminus\{r\}}l_v$ .  $\square$ 

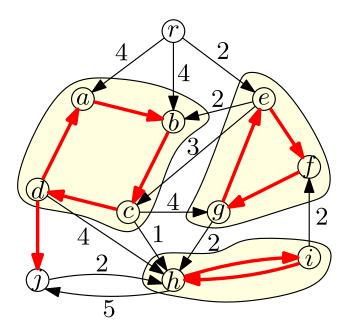
**Lemma** Let  $(v_0, v_1, v_2, \cdots, v_p = v_0)$  be a cycle C of 0-cost edges in G. Then there is an optimum solution T, that contains all but one edges in C.

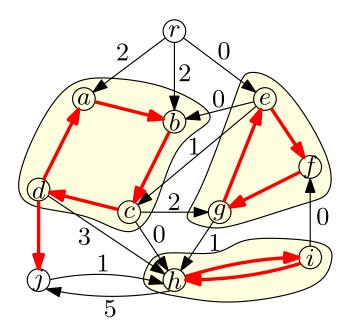


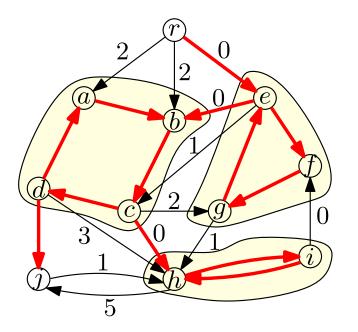


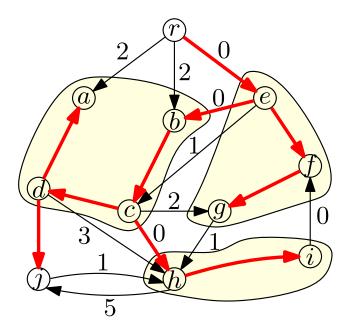


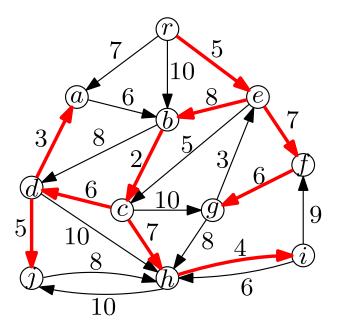












## MCA(G, r, w)

- 1:  $F^* \leftarrow \emptyset$
- 2: **for** every  $v \in V \setminus \{r\}$  **do**
- 3:  $l_v \leftarrow \min_{e \in \delta_v^{\text{in}}} w(e)$
- 4: **for** every edge e entering v **do**:  $w'(e) \leftarrow w(e) l_v$
- 5: choose a 0-cost edge entering v, add it to  $(V, F^*)$
- 6: **if**  $F^*$  form an arborescence **then return**  $F^*$
- 7: **else**
- 8: **for** every cycle C in  $F^*$  **do**: contract C into a single node
- 9: let G' = (V', E') be the obtained graph.
- 10:  $T' \leftarrow \mathsf{MCA}(G', r, w')$
- 11: extend T' to an aborescence T in G, by keeping all but one edges in every cycle C in  $F^*$ , and **return** T

 $\bullet$  The running time of the algorithm is O(mn)

- The running time of the algorithm is O(mn)
- [Tarjan (1971)]:  $O(\min(m \log n, n^2))$
- [Gabow, Galil, Spencer, Tarjan (1986)]:  $O(n \log n + m)$
- [Mendelson, Tarjan, Thorup, Zwick (2006)]:  $O(m \log \log n)$