算法设计与分析(2024年春季学期) Graph Algorithms

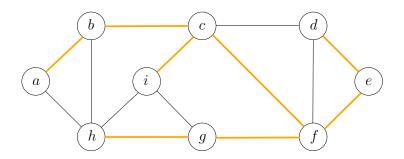
授课老师: 栗师 南京大学计算机科学与技术系

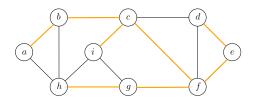
Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- Shortest Paths in Graphs with Negative Weights
- All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

Spanning Tree

Def. Given a connected graph G=(V,E), a spanning tree T=(V,F) of G is a sub-graph of G that is a tree including all vertices V.





Lemma Let T=(V,F) be a subgraph of G=(V,E). The following statements are equivalent:

- T is a spanning tree of G;
- T is acyclic and connected;
- T is connected and has n-1 edges;
- T is acyclic and has n-1 edges;
- T is minimally connected: removal of any edge disconnects it;
- T is maximally acyclic: addition of any edge creates a cycle;
- ullet T has a unique simple path between every pair of nodes.

Minimum Spanning Tree (MST) Problem

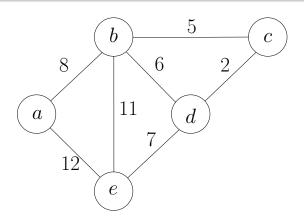
Input: Graph G = (V, E) and edge weights $w : E \to \mathbb{R}$

Output: the spanning tree T of G with the minimum total weight

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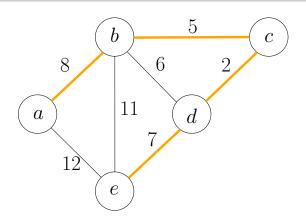
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Recall: Steps of Designing A Greedy Algorithm

- Design a "reasonable" strategy
- Prove that the reasonable strategy is "safe" (key, usually done by "exchanging argument")
- Show that the remaining task after applying the strategy is to solve a (many) smaller instance(s) of the same problem (usually trivial)

Def. A choice is "safe" if there is an optimum solution that is "consistent" with the choice

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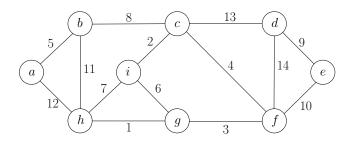
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Two Classic Greedy Algorithms for MST

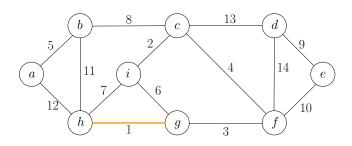
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Q: Which edge can be safely included in the MST?

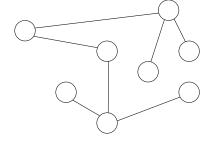


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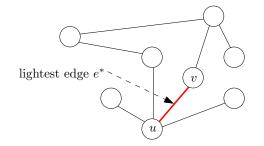
A: The edge with the smallest weight (lightest edge).

Proof.

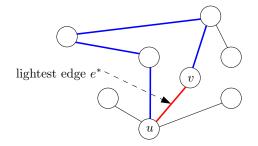
ullet Take a minimum spanning tree T



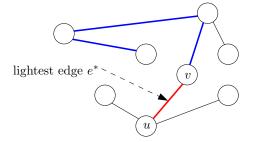
- ullet Take a minimum spanning tree T
- ullet Assume the lightest edge e^* is not in T



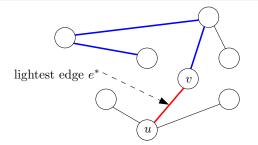
- ullet Take a minimum spanning tree T
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- ullet There is a unique path in T connecting u and v

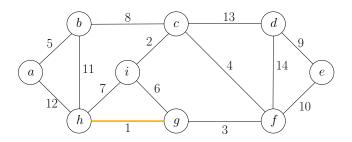


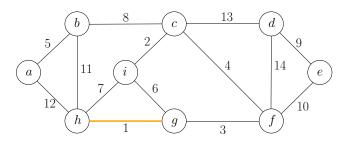
- ullet Take a minimum spanning tree T
- Assume the lightest edge e^* is not in T
- ullet There is a unique path in T connecting u and v
- ullet Remove any edge e in the path to obtain tree T'



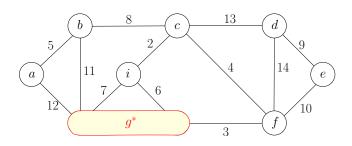
- ullet Take a minimum spanning tree T
- ullet Assume the lightest edge e^* is not in T
- \bullet There is a unique path in T connecting u and v
- ullet Remove any edge e in the path to obtain tree T^\prime
- $w(e^*) \le w(e) \implies w(T') \le w(T)$: T' is also a MST



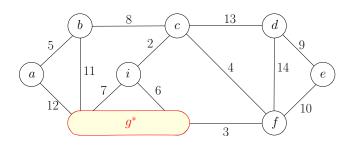




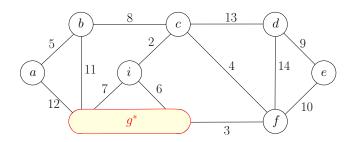
 \bullet Residual problem: find the minimum spanning tree that contains edge (g,h)

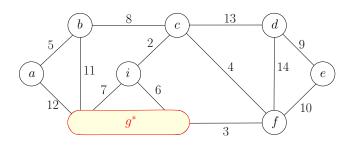


- \bullet Residual problem: find the minimum spanning tree that contains edge (g,h)
- Contract the edge (g,h)

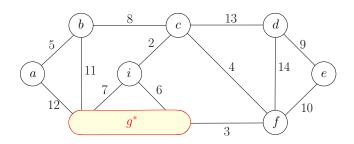


- \bullet Residual problem: find the minimum spanning tree that contains edge (g,h)
- Contract the edge (g, h)
- Residual problem: find the minimum spanning tree in the contracted graph

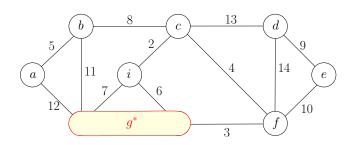




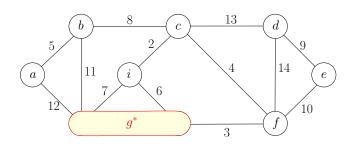
ullet Remove u and v from the graph, and add a new vertex u^*



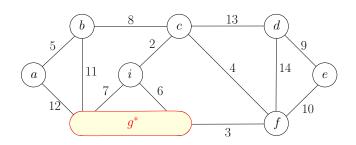
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- ullet Remove all edges (u,v) from E



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- \bullet For every edge $(u,w) \in E, w \neq v$, change it to (u^*,w)



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- For every edge $(v, w) \in E, w \neq u$, change it to (u^*, w)
- May create parallel edges! E.g. : two edges (i, g^*)

Repeat the following step until G contains only one vertex:

- lacktriangledown Choose the lightest edge e^* , add e^* to the spanning tree
- ② Contract e^* and update G be the contracted graph

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Q: What edges are removed due to contractions?

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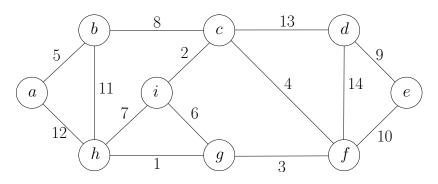
Q: What edges are removed due to contractions?

 $\mbox{\bf A:} \;\; \mbox{Edge}\;(u,v)$ is removed if and only if there is a path connecting u and v formed by edges we selected

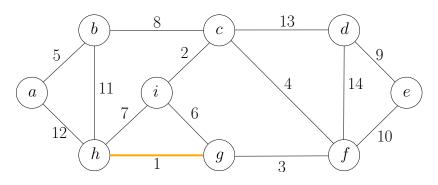
$\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

```
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```

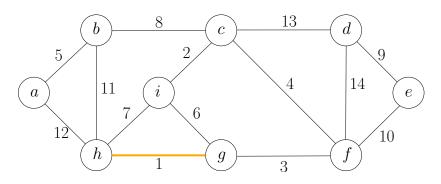
- 2: sort edges in ${\cal E}$ in non-decreasing order of weights w
- 3: **for** each edge (u,v) in the order **do**
- 4: **if** u and v are not connected by a path of edges in F **then**
- 5: $F \leftarrow F \cup \{(u, v)\}$
- 6: **return** (V, F)



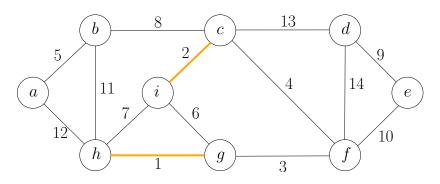
Sets: $\{a\}, \{b\}, \{c\}, \{d\}, \{e\}, \{f\}, \{g\}, \{h\}, \{i\}\}$



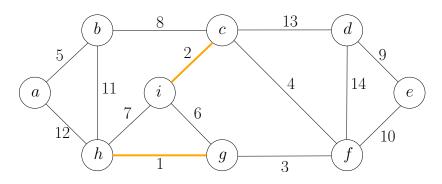
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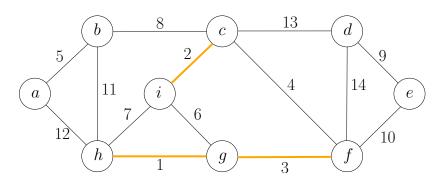
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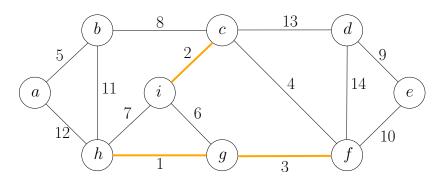
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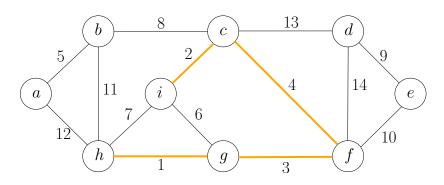
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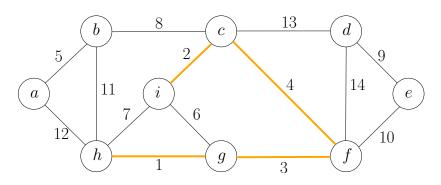
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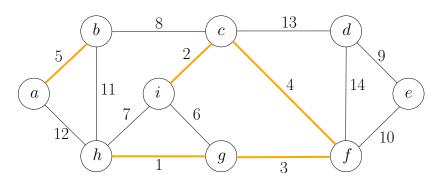
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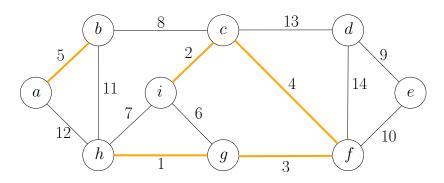
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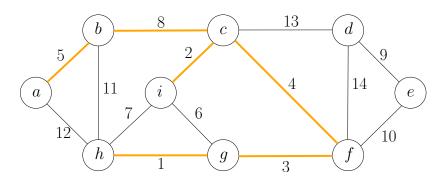
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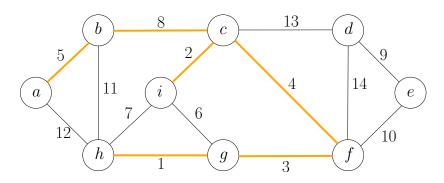
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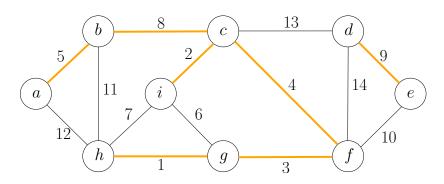
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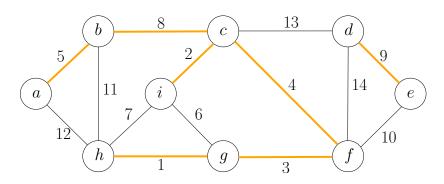
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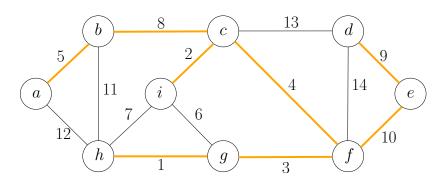
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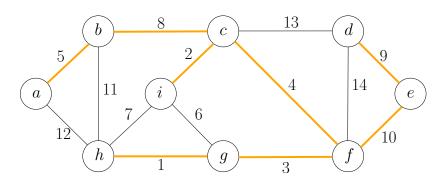
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Kruskal's Algorithm: Efficient Implementation of Greedy Algorithm

```
1. F \leftarrow \emptyset
 2: S \leftarrow \{\{v\} : v \in V\}
 3: sort the edges of E in non-decreasing order of weights w
 4: for each edge (u, v) \in E in the order do
          S_u \leftarrow the set in S containing u
 5:
       S_v \leftarrow the set in S containing v
 6:
 7:
    if S_u \neq S_v then
               F \leftarrow F \cup \{(u,v)\}
 8:
               \mathcal{S} \leftarrow \mathcal{S} \setminus \{S_u\} \setminus \{S_v\} \cup \{S_u \cup S_v\}
 9:
10: return (V, F)
```

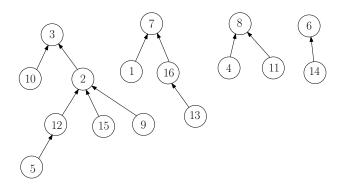
Running Time of Kruskal's Algorithm

```
MST-Kruskal(G, w)
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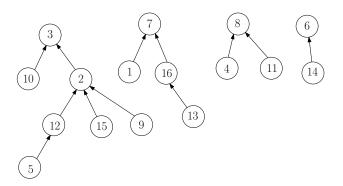
Use union-find data structure to support 2, 5, 6, 7, 9.

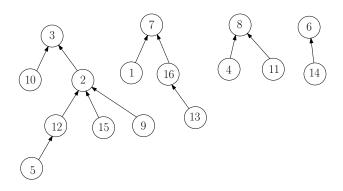
- ullet V: ground set
- ullet We need to maintain a partition of V and support following operations:
 - ullet Check if u and v are in the same set of the partition
 - Merge two sets in partition

- $V = \{1, 2, 3, \cdots, 16\}$
- Partition: $\{2, 3, 5, 9, 10, 12, 15\}, \{1, 7, 13, 16\}, \{4, 8, 11\}, \{6, 14\}$

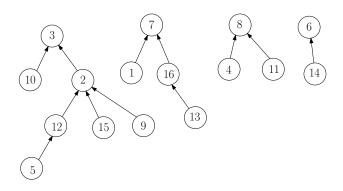


• par[i]: parent of i, $(par[i] = \bot \text{ if } i \text{ is a root})$.

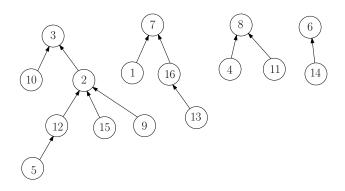




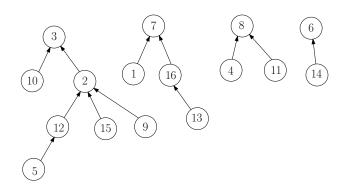
ullet Q: how can we check if u and v are in the same set?



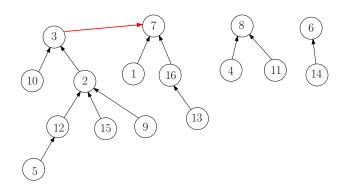
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root(v)

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1: if par[v] = \bot then
```

2: return v

3: **else**

4: **return** root(par[v])

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- Improvement: all vertices in the path directly point to the root, saving time in the future.

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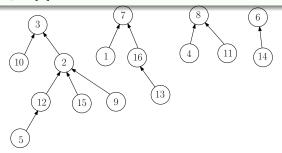
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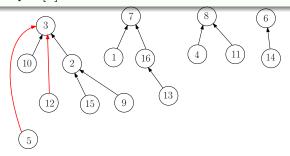
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 2: for every v \in V do: par[v] \leftarrow \bot
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 4: for each edge (u, v) \in E in the order do
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 5:
    v' \leftarrow \mathsf{root}(v)
 6:
 7: if u' \neq v' then
              F \leftarrow F \cup \{(u,v)\}
 8:
             par[u'] \leftarrow v'
 9:
10: return (V, F)
```

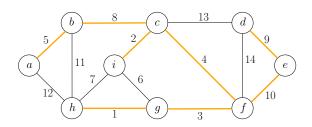
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             par[u'] \leftarrow v'
 9:
10: return (V, F)
```

- 2,5,6,7,9 takes time $O(m\alpha(n))$
- $\alpha(n)$ is very slow-growing: $\alpha(n) \le 4$ for $n \le 10^{80}$.

- 1: $F \leftarrow \emptyset$ 2: **for** every $v \in V$ **do**: $par[v] \leftarrow \bot$ 3: sort the edges of E in non-decreasing order of weights w4: **for** each edge $(u, v) \in E$ in the order **do** $u' \leftarrow \mathsf{root}(u)$ 5: $v' \leftarrow \mathsf{root}(v)$ 6: 7: if $u' \neq v'$ then $F \leftarrow F \cup \{(u,v)\}$ 8: $par[u'] \leftarrow v'$ 9: 10: return (V, F)
- 2,5,6,7,9 takes time $O(m\alpha(n))$
- $\alpha(n)$ is very slow-growing: $\alpha(n) \le 4$ for $n \le 10^{80}$.
- Running time = time for $3 = O(m \lg n)$.

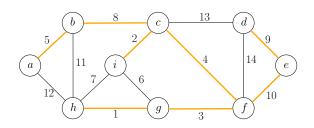
Assumption Assume all edge weights are different.

Lemma An edge $e \in E$ is **not** in the MST, if and only if there is cycle C in G in which e is the heaviest edge.



Assumption Assume all edge weights are different.

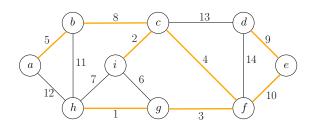
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• (i,g) is not in the MST because of cycle (i,c,f,g)

Assumption Assume all edge weights are different.

Lemma An edge $e \in E$ is **not** in the MST, if and only if there is cycle C in G in which e is the heaviest edge.



- (i,g) is not in the MST because of cycle (i,c,f,g)
- \bullet (e, f) is in the MST because no such cycle exists

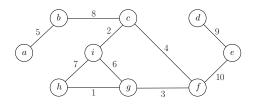
Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

 $\ \, \bullet \ \,$ Start from $F \leftarrow \emptyset$, and add edges to F one by one until we obtain a spanning tree

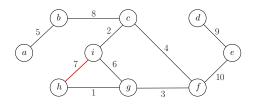
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- ② Start from $F \leftarrow E$, and remove edges from F one by one until we obtain a spanning tree

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- ② Start from $F \leftarrow E$, and remove edges from F one by one until we obtain a spanning tree



Q: Which edge can be safely excluded from the MST?

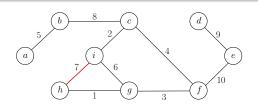
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Q: Which edge can be safely excluded from the MST?

A: The heaviest non-bridge edge.

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Q: Which edge can be safely excluded from the MST?

A: The heaviest non-bridge edge.

Def. A bridge is an edge whose removal disconnects the graph.

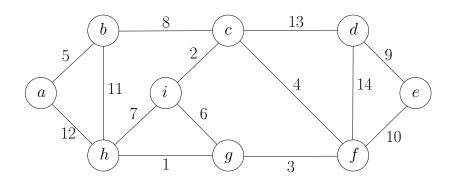
Lemma It is safe to exclude the heaviest non-bridge edge: there is a MST that does not contain the heaviest non-bridge edge.

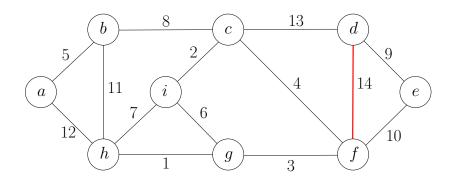
Reverse Kruskal's Algorithm

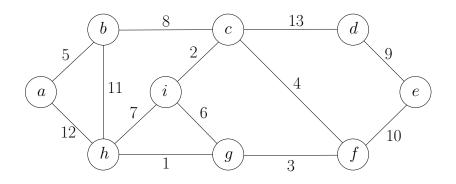
$\mathsf{MST} ext{-}\mathsf{Greedy}(G,w)$

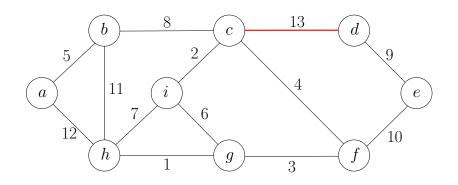
```
1: F \leftarrow E
```

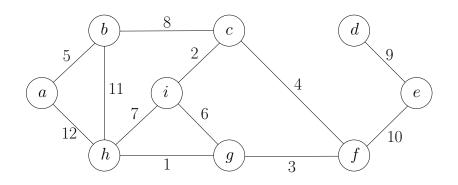
- 2: sort E in non-increasing order of weights
- 3: **for** every e in this order **do**
- 4: **if** $(V, F \setminus \{e\})$ is connected **then**
- 5: $F \leftarrow F \setminus \{e\}$
- 6: **return** (V, F)

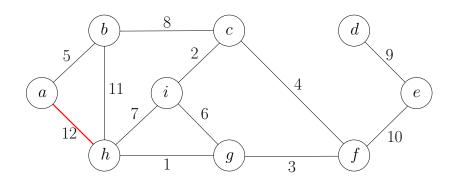


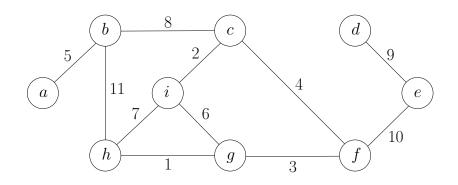


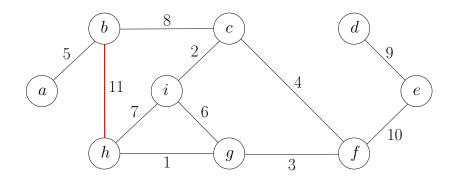


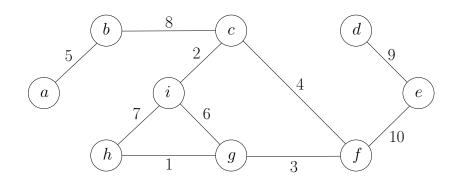


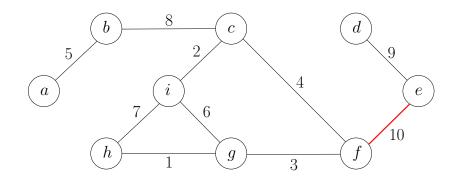


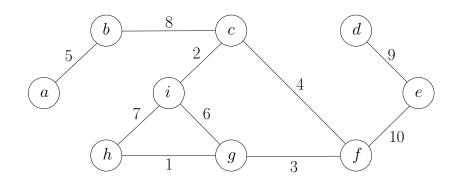


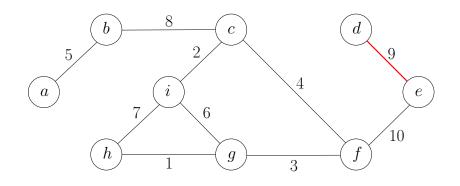


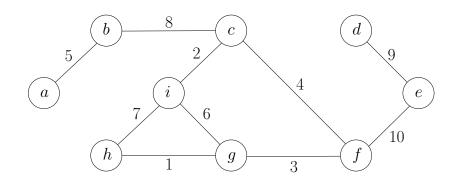


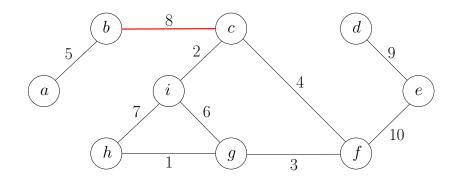


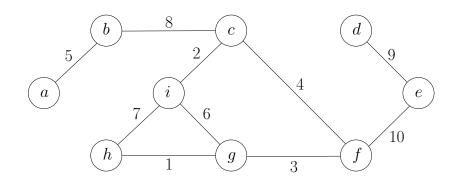


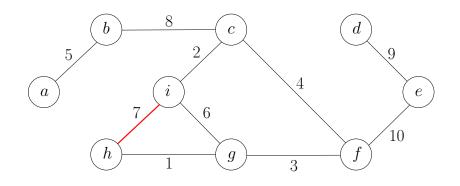


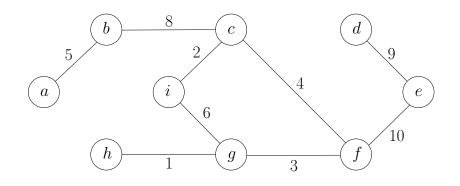


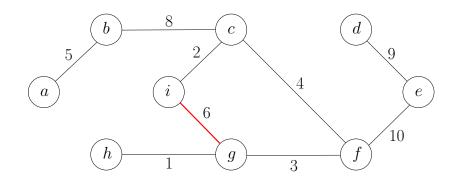


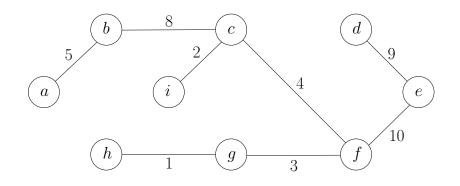










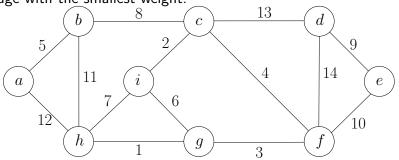


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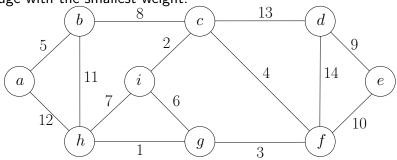
Design Greedy Strategy for MST

 Recall the greedy strategy for Kruskal's algorithm: choose the edge with the smallest weight.



Design Greedy Strategy for MST

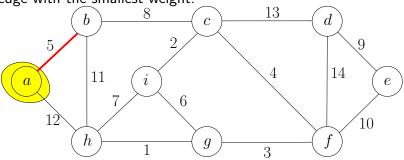
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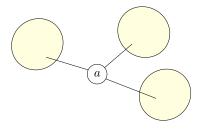
• Greedy strategy for Prim's algorithm: choose the lightest edge incident to a.

Design Greedy Strategy for MST

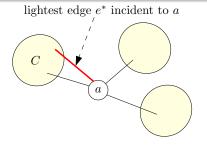
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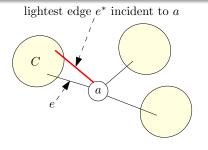
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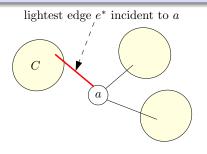
- ullet Let T be a MST
- ullet Consider all components obtained by removing a from T



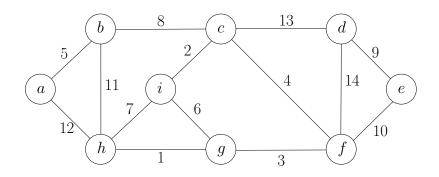
- Let T be a MST
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- \bullet Let e^* be the lightest edge incident to a and e^* connects a to component C

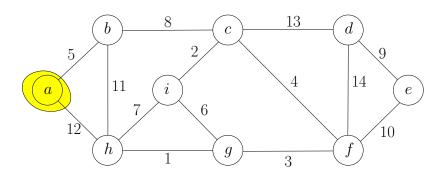


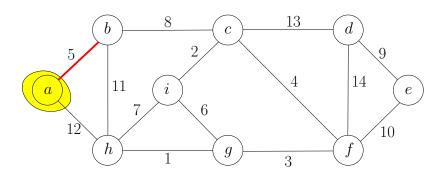
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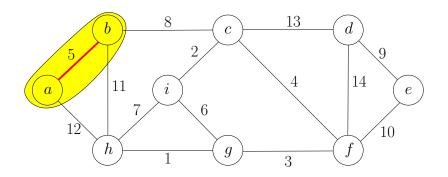


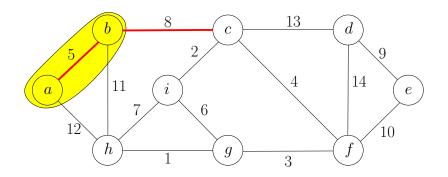
- \bullet Let T be a MST
- ullet Consider all components obtained by removing a from T
- \bullet Let e^* be the lightest edge incident to a and e^* connects a to component C
- ullet Let e be the edge in T connecting a to C
- $T' = T \setminus \{e\} \cup \{e^*\}$ is a spanning tree with $w(T') \le w(T)$

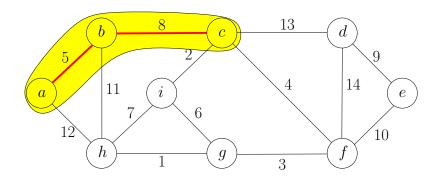


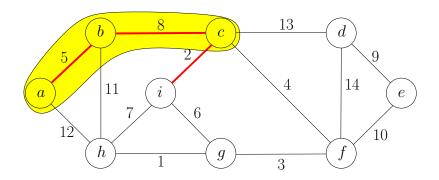


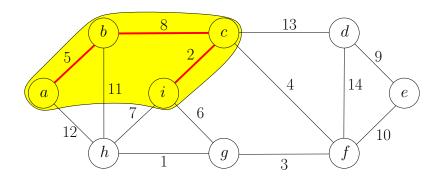


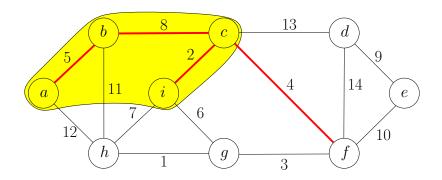


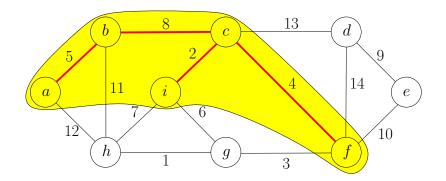


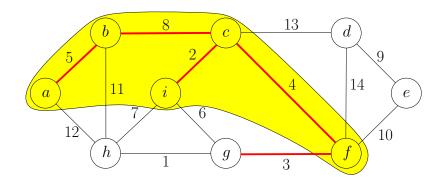


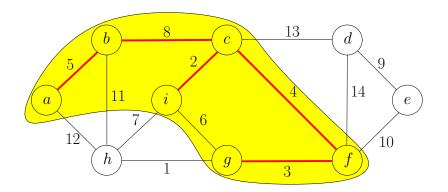


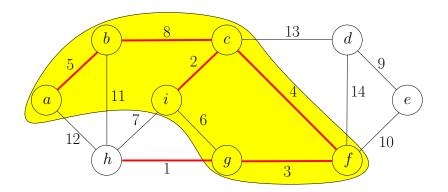


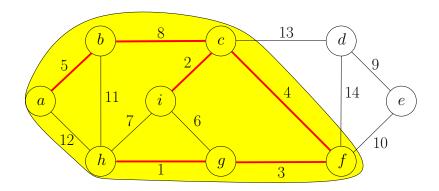


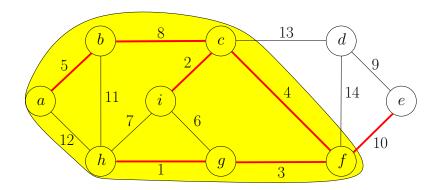


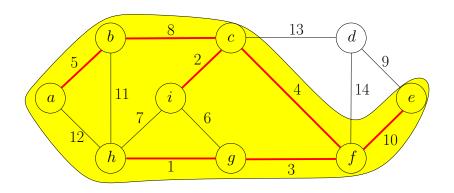


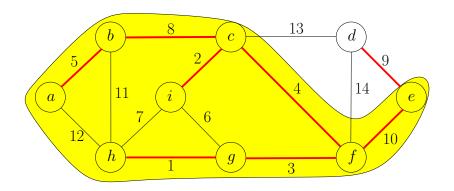


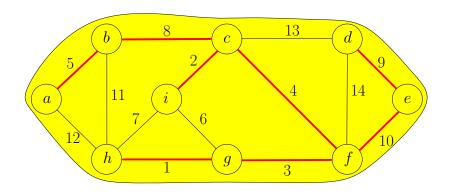












Greedy Algorithm

$\mathsf{MST} ext{-}\mathsf{Greedy1}(G,w)$

7: return (V, F)

```
1: S \leftarrow \{s\}, where s is arbitrary vertex in V

2: F \leftarrow \emptyset

3: while S \neq V do

4: (u,v) \leftarrow lightest edge between S and V \setminus S, where u \in S and v \in V \setminus S

5: S \leftarrow S \cup \{v\}

6: F \leftarrow F \cup \{(u,v)\}
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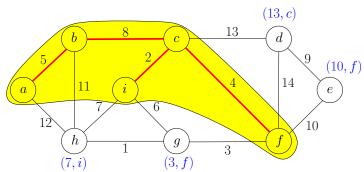
• Running time of naive implementation: O(nm)

Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every $v \in V \setminus S$ maintain

- $d[v] = \min_{u \in S:(u,v) \in E} w(u,v)$:
- the weight of the lightest edge between \boldsymbol{v} and \boldsymbol{S}
- $\pi[v] = \arg\min_{u \in S:(u,v) \in E} w(u,v)$:

 $(\boldsymbol{\pi}[\boldsymbol{v}],\boldsymbol{v})$ is the lightest edge between \boldsymbol{v} and \boldsymbol{S}



Prim's Algorithm: Efficient Implementation of Greedy Algorithm

For every $v \in V \setminus S$ maintain

- $\bullet \ d[v] = \min_{u \in S: (u,v) \in E} w(u,v):$
 - the weight of the lightest edge between \boldsymbol{v} and \boldsymbol{S}
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$: $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

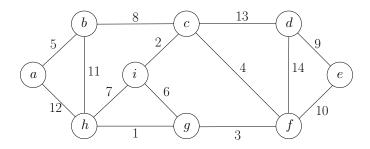
In every iteration

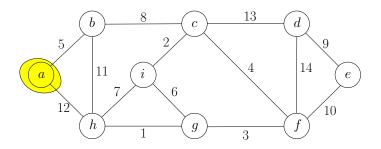
- Pick $u \in V \setminus S$ with the smallest d[u] value
- Add $(\pi[u], u)$ to F
- ullet Add u to S, update d and π values.

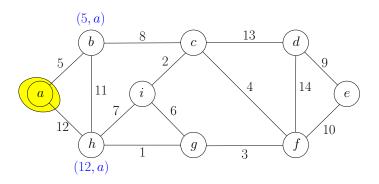
Prim's Algorithm

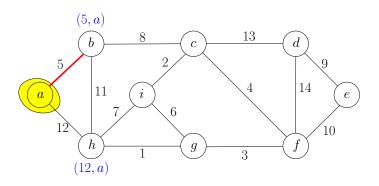
```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
```

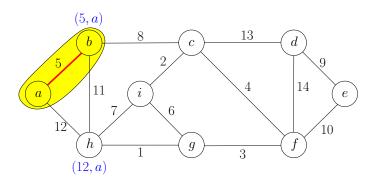
```
1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: while S \neq V do
          u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 4:
    S \leftarrow S \cup \{u\}
 5:
      for each v \in V \setminus S such that (u, v) \in E do
 6:
               if w(u,v) < d[v] then
 7:
                    d[v] \leftarrow w(u,v)
 8:
                    \pi[v] \leftarrow u
 9:
10: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

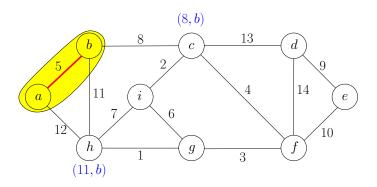


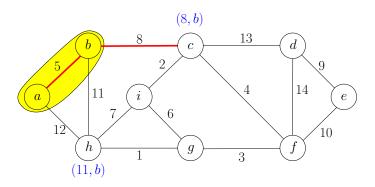


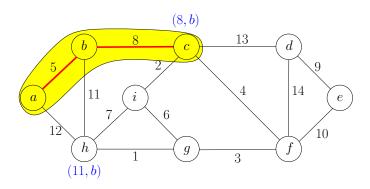


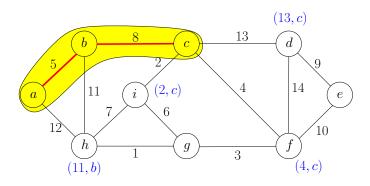


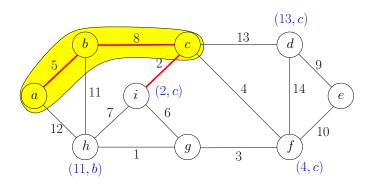


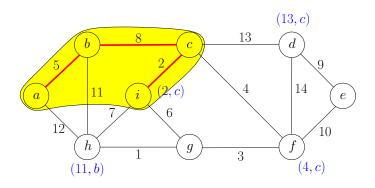


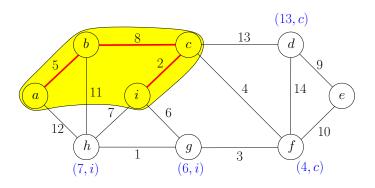


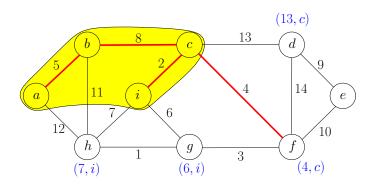


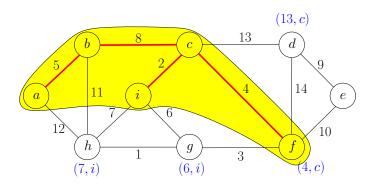


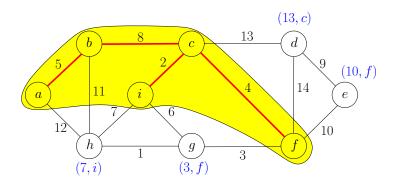


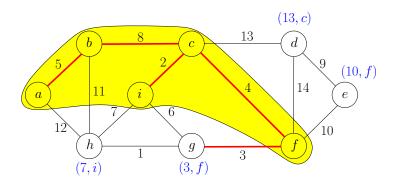


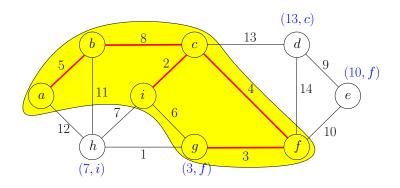


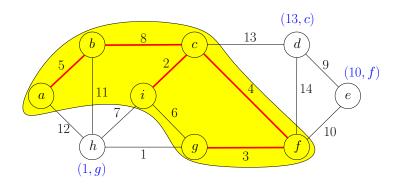


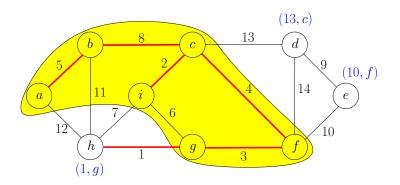


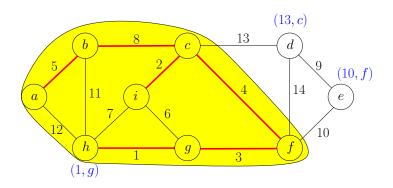


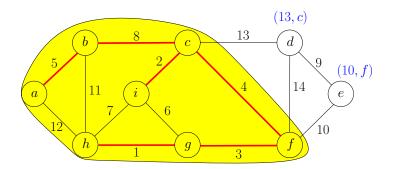


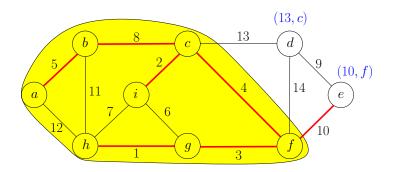


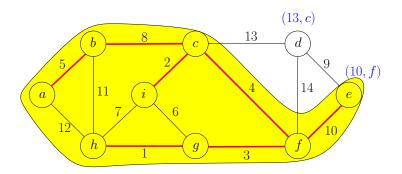


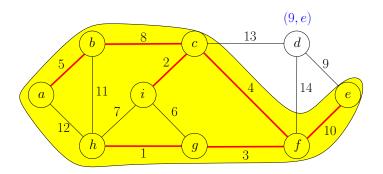


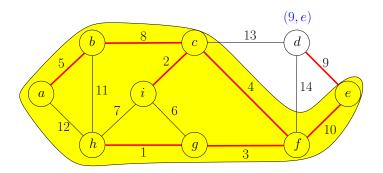


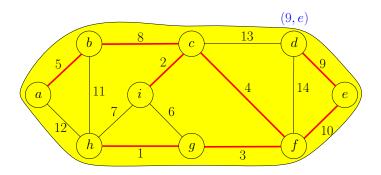


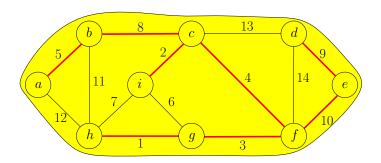












Prim's Algorithm

For every $v \in V \setminus S$ maintain

- $d[v] = \min_{u \in S: (u,v) \in E} w(u,v)$: the weight of the lightest edge between v and S
- $\pi[v] = \arg\min_{u \in S: (u,v) \in E} w(u,v)$: $(\pi[v],v) \text{ is the lightest edge between } v \text{ and } S$

In every iteration

- Pick $u \in V \setminus S$ with the smallest d[u] value
- Add $(\pi[u], u)$ to F
- Add u to S, update d and π values.

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In every iteration

• Pick $u \in V \setminus S$ with the smallest d[u] value

extract_min

- Add $(\pi[u], u)$ to F
- Add u to S, update d and π values.

decrease_key

Use a priority queue to support the operations

Def. A priority queue is an abstract data structure that maintains a set U of elements, each with an associated key value, and supports the following operations:

- insert (v, key_value) : insert an element v, whose associated key value is key_value .
- decrease_key (v, new_key_value) : decrease the key value of an element v in queue to new_key_value
- extract_min(): return and remove the element in queue with the smallest key value
- • •

Prim's Algorithm

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
```

```
1: s \leftarrow \text{arbitrary vertex in } G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3:
 4: while S \neq V do
        u \leftarrow \text{vertex in } V \setminus S \text{ with the minimum } d[u]
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
 8:
                     d[v] \leftarrow w(u,v)
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

Prim's Algorithm Using Priority Queue

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
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  8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
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Running Time of Prim's Algorithm Using Priority Queue

 $O(n) \times$ (time for extract_min) + $O(m) \times$ (time for decrease_key)

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heap	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci heap	$O(\log n)$	O(1)	$O(n\log n + m)$

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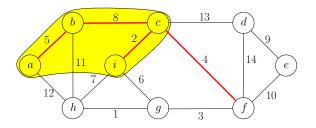
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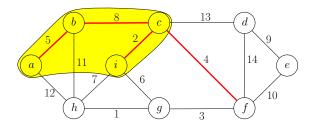
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- (c, f) is in MST because of cut $(\{a, b, c, i\}, V \setminus \{a, b, c, i\})$
- \bullet (i,g) is not in MST because no such cut exists

"Evidence" for $e \in \mathsf{MST}$ or $e \notin \mathsf{MST}$

Assumption Assume all edge weights are different.

- $e \in \mathsf{MST} \leftrightarrow \mathsf{there}$ is a cut in which e is the lightest edge
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Exactly one of the following is true:

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Thus, the minimum spanning tree is unique with assumption.

Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- 5 Minimum Cost Arborescence

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- ullet SS = single source AP = all pairs

s-t Shortest Paths

Input: (directed or undirected) graph G = (V, E), $s, t \in V$

 $w: E \to \mathbb{R}_{\geq 0}$

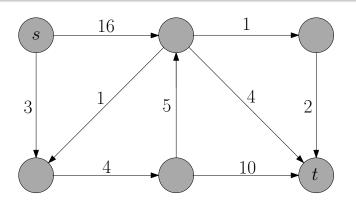
Output: shortest path from s to t

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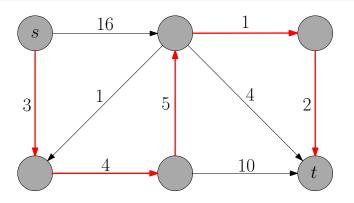


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Output: shortest paths from s to all other vertices $v \in V$

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Reason for Considering Single Source Shortest Paths Problem

 We do not know how to solve s-t shortest path problem more efficiently than solving single source shortest path problem

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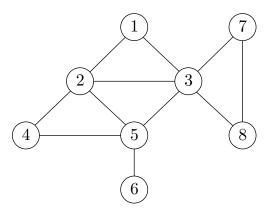
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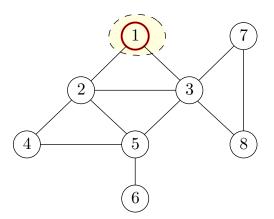
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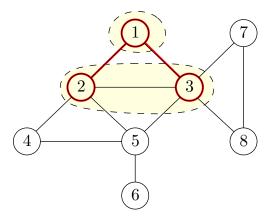
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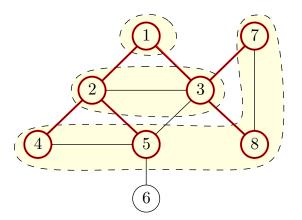
Output: $\pi[v], v \in V \setminus s$: the parent of v in shortest path tree

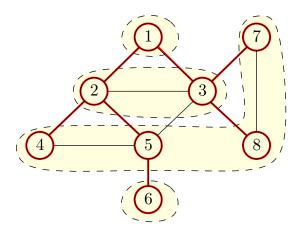
 $d[v], v \in V \setminus s$: the length of shortest path from s to v





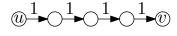






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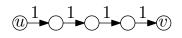


Shortest Path Algorithm by Running BFS

- 1: replace (u,v) of length w(u,v) with a path of w(u,v) unit-weight edges, for every $(u,v) \in E$
- 2: run BFS
- 3: $\pi[v] \leftarrow \text{vertex from which } v \text{ is visited}$
- 4: $d[v] \leftarrow \text{index of the level containing } v$

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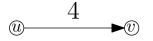


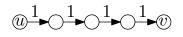


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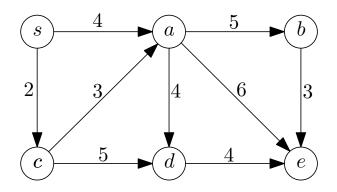


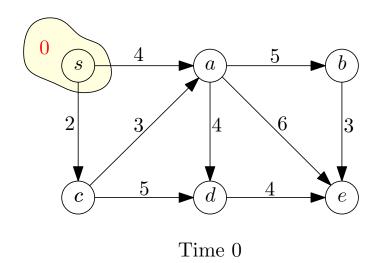
Shortest Path Algorithm by Running BFS

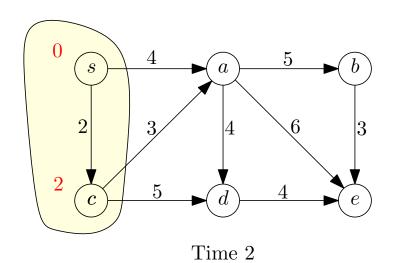
- 1: replace (u,v) of length w(u,v) with a path of w(u,v) unit-weight edges, for every $(u,v) \in E$
- 2: run BFS virtually
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- Problem: w(u, v) may be too large!

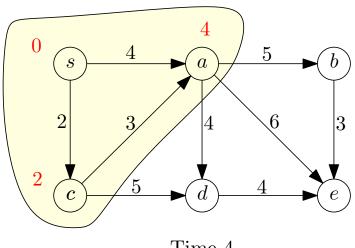
Shortest Path Algorithm by Running BFS Virtually

- 1: $S \leftarrow \{s\}, d(s) \leftarrow 0$
- 2: while |S| < n do
- 3: find a $v \notin S$ that minimizes $\min_{u \in S: (u,v) \in E} \{d[u] + w(u,v)\}$
- 4: $S \leftarrow S \cup \{v\}$
- 5: $d[v] \leftarrow \min_{u \in S:(u,v) \in E} \{d[u] + w(u,v)\}$

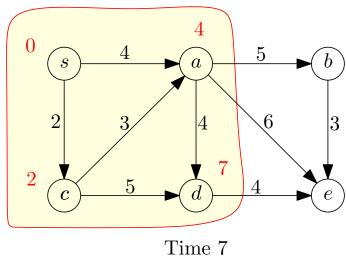


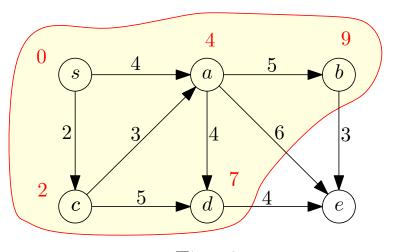




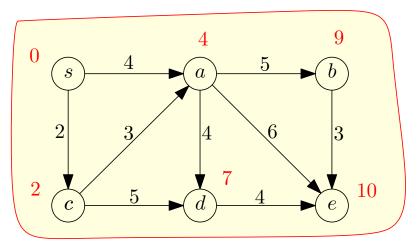


Time 4





Time 9



Time 10

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Dijkstra's Algorithm

```
\mathsf{Dijkstra}(G, w, s)
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1: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}

2: while S \neq V do

3: u \leftarrow vertex in V \setminus S with the minimum d[u]

4: add u to S

5: for each v \in V \setminus S such that (u, v) \in E do

6: if d[u] + w(u, v) < d[v] then

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9: return (d, \pi)
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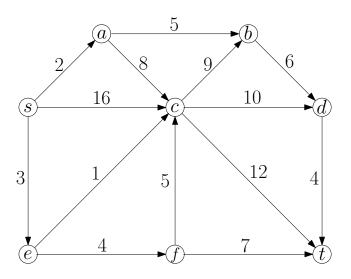
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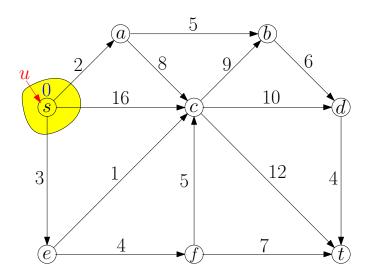
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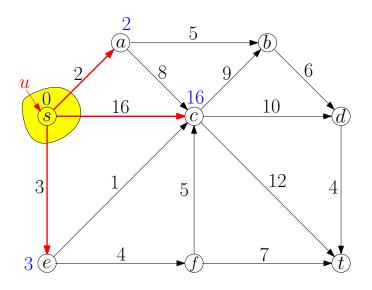
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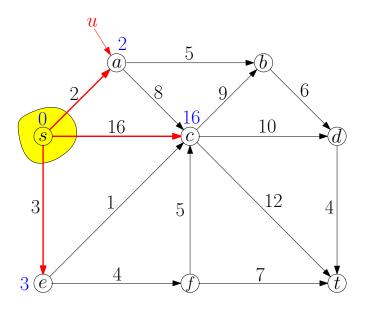
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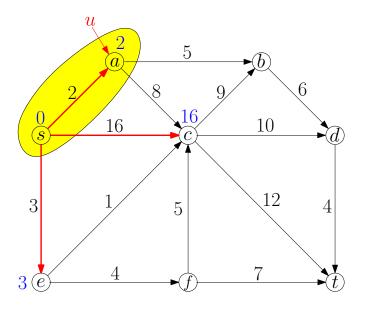
• Running time = $O(n^2)$

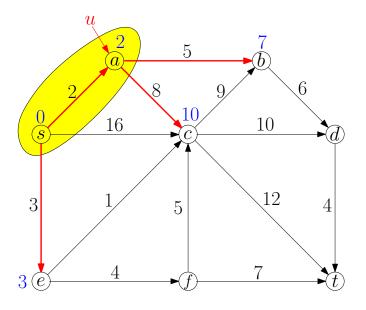


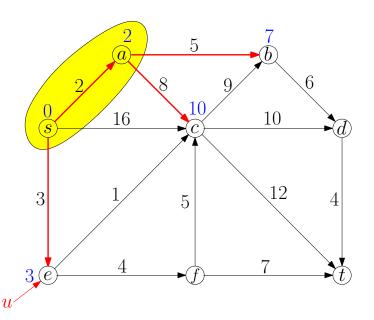


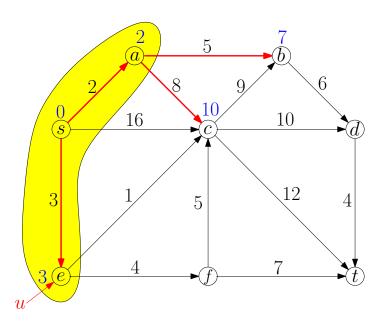


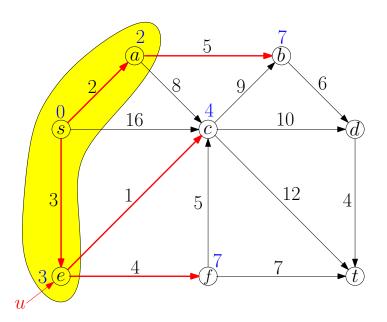


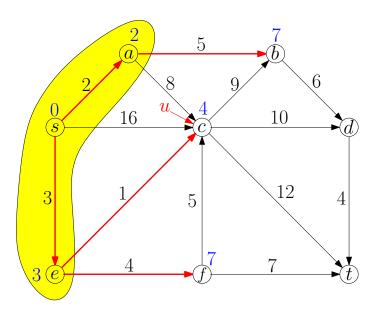


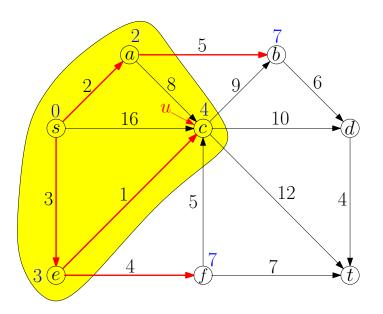


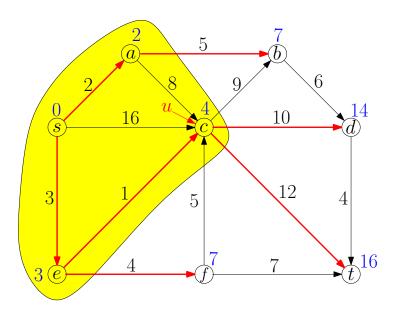


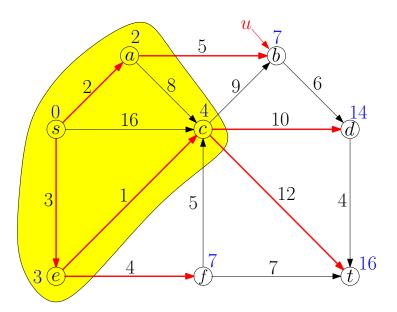


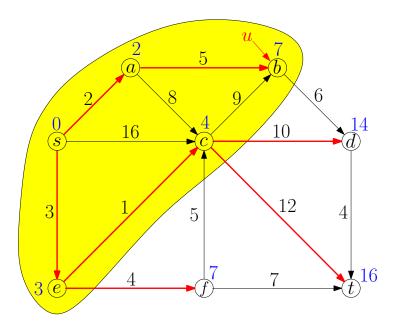


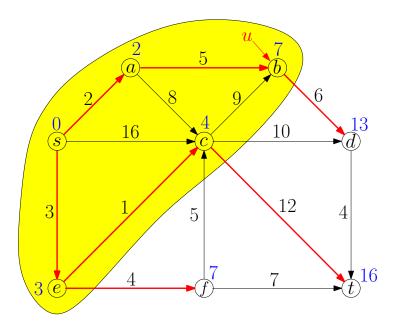


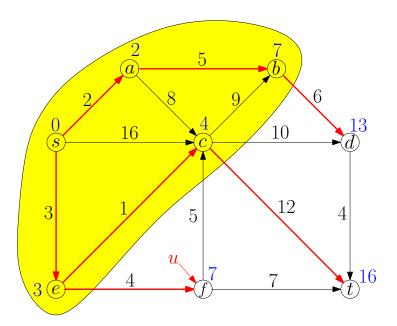


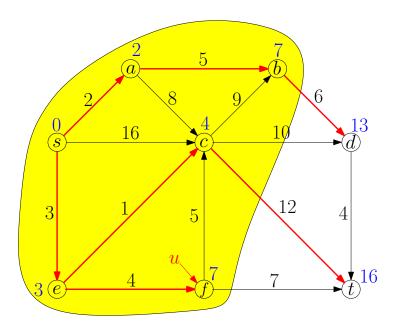


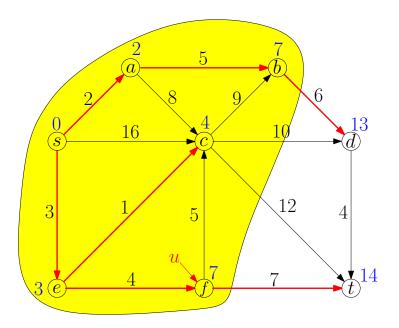


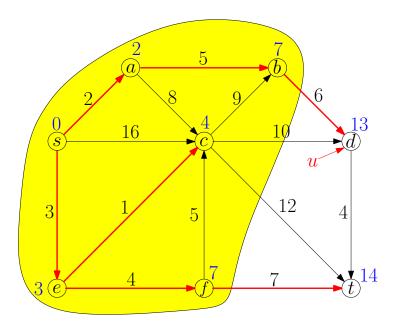


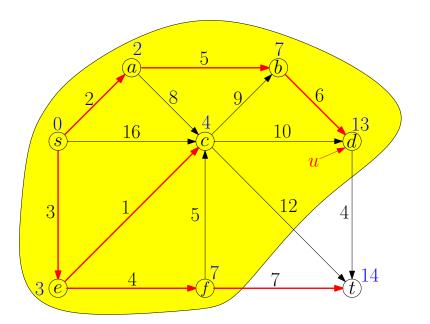


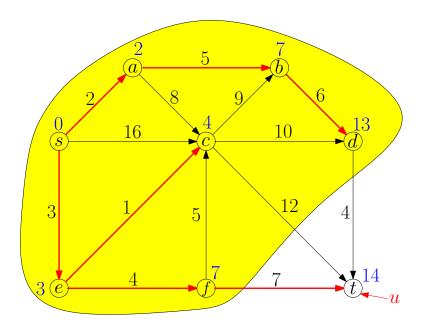


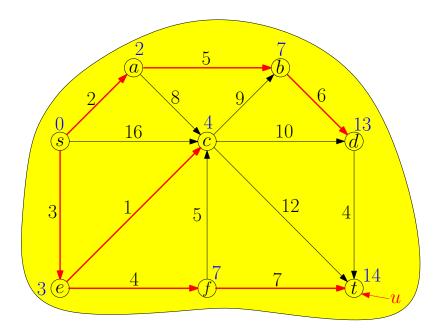












Improved Running Time using Priority Queue

```
Dijkstra(G, w, s)
 1:
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
      S \leftarrow S \cup \{u\}
 6:
       for each v \in V \setminus S such that (u, v) \in E do
 7:
               if d[u] + w(u, v) < d[v] then
 8:
                    d[v] \leftarrow d[u] + w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                    \pi[v] \leftarrow u
10:
11: return (\pi, d)
```

Recall: Prim's Algorithm for MST

```
\mathsf{MST}\text{-}\mathsf{Prim}(G,w)
 1: s \leftarrow arbitrary vertex in G
 2: S \leftarrow \emptyset, d(s) \leftarrow 0 and d[v] \leftarrow \infty for every v \in V \setminus \{s\}
 3: Q \leftarrow \text{empty queue, for each } v \in V: Q.\text{insert}(v, d[v])
 4: while S \neq V do
        u \leftarrow Q.\mathsf{extract\_min}()
 5:
     S \leftarrow S \cup \{u\}
 6:
     for each v \in V \setminus S such that (u, v) \in E do
 7:
                if w(u,v) < d[v] then
  8:
                     d[v] \leftarrow w(u, v), Q.\mathsf{decrease\_key}(v, d[v])
 9:
                     \pi[v] \leftarrow u
10:
11: return \{(u, \pi[u])|u \in V \setminus \{s\}\}
```

Improved Running Time

Running time:

 $O(n) \times (\mathsf{time} \ \mathsf{for} \ \mathsf{extract_min}) + O(m) \times (\mathsf{time} \ \mathsf{for} \ \mathsf{decrease_key})$

Priority-Queue	extract_min	decrease_key	Time
Неар	$O(\log n)$	$O(\log n)$	$O(m \log n)$
Fibonacci Heap	$O(\log n)$	O(1)	$O(n\log n + m)$

Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

Input: directed graph G = (V, E), $s \in V$ assume all vertices are reachable from s

 $w: E \to \mathbb{R}$

Output: shortest paths from s to all other vertices $v \in V$

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In transition graphs, negative weights make sense

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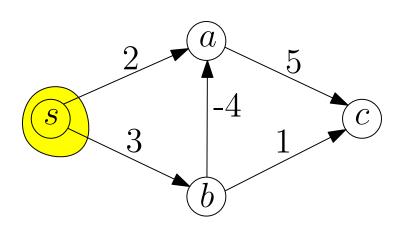
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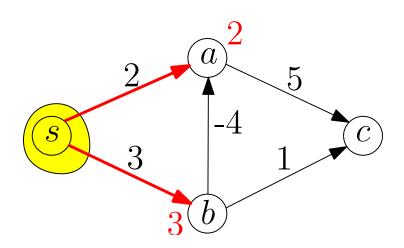
- In transition graphs, negative weights make sense
- ullet If we sell a item: 'having the item' o 'not having the item', weight is negative (we gain money)

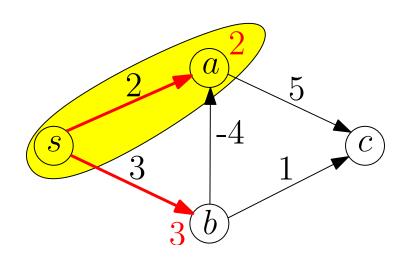
Input: directed graph G=(V,E), $s\in V$ assume all vertices are reachable from s $w:E\to\mathbb{R}$

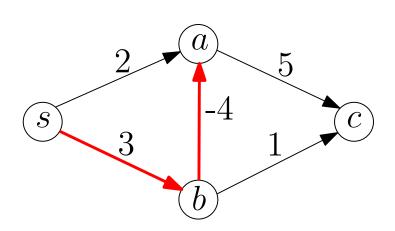
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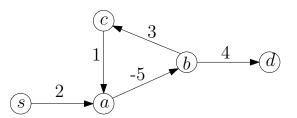
- In transition graphs, negative weights make sense
- If we sell a item: 'having the item' \rightarrow 'not having the item', weight is negative (we gain money)
- Dijkstra's algorithm does not work any more!

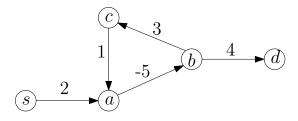


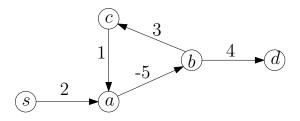


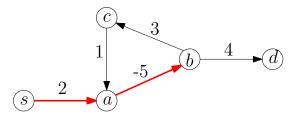


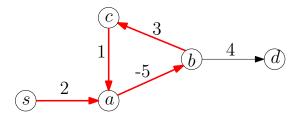


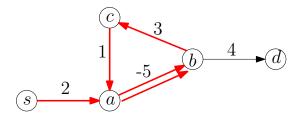


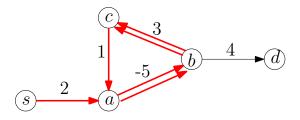


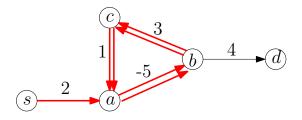


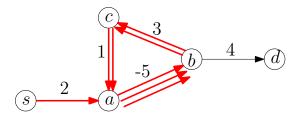


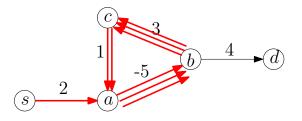


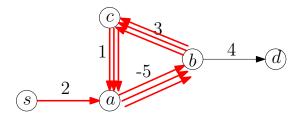


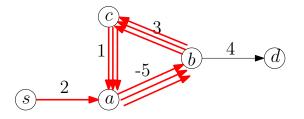






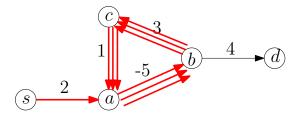






A: $-\infty$

Def. A negative cycle is a cycle in which the total weight of edges is negative.

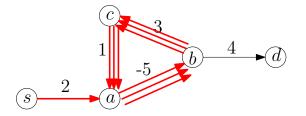


Q: What is the length of the shortest path from s to d?

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Q: What is the length of the shortest simple path from s to d?



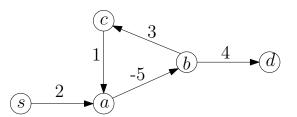
Q: What is the length of the shortest path from s to d?

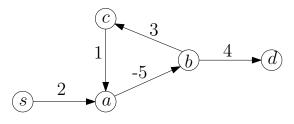
A: $-\infty$

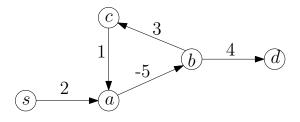
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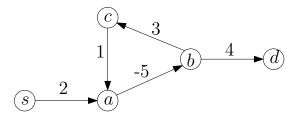
Q: What is the length of the shortest simple path from s to d?

A: 1



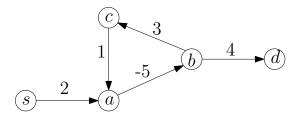




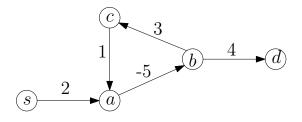


Dealing with Negative Cycles

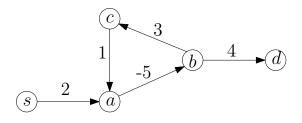
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- We need to compute the shortest paths, among both simple and complex paths.
- Hardest: output $-\infty$ as a distance
- Easier: if negative cycle exists, allow algorithm to report "negative cycle exists" without computing distances
- Easiest: assume negative cycles do not exist; all shortest paths are automatically simple paths

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- $\bullet \ \mathsf{DAG} = \mathsf{directed} \ \mathsf{acyclic} \ \mathsf{graph} \quad \mathsf{U} = \mathsf{undirected} \quad \mathsf{D} = \mathsf{directed}$
- ullet SS = single source AP = all pairs

Single Source Shortest Paths, Weights May be Negative

Input: directed graph G = (V, E), $s \in V$

assume all vertices are reachable from \boldsymbol{s}

 $w: E \to \mathbb{R}$

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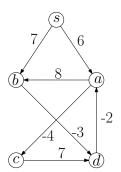
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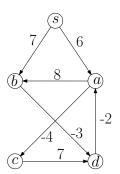
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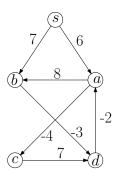
- first try: f[v]: length of shortest path from s to v
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- $f^{\ell}[v]$, $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$, $v \in V$: length of shortest path from s to v that uses at most ℓ edges



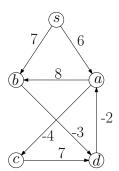
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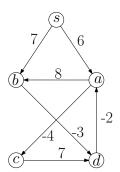
- $f^{\ell}[v]$, $\ell \in \{0,1,2,3\cdots,n-1\}$, $v \in V$: length of shortest path from s to v that uses at most ℓ edges
- $f^2[a] =$



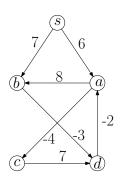
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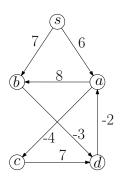
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$$f^\ell[v] = \left\{$$

$$\ell = 0, v = s$$

$$\ell = 0, v \neq s$$

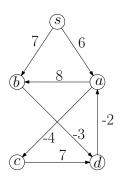
$$\ell > 0$$



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$$f^{\ell}[v] = \begin{cases} 0 \\ \end{cases}$$

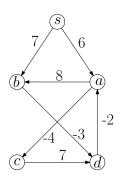
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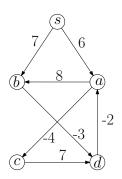
- $f^{\ell}[v]$, $\ell \in \{0, 1, 2, 3 \cdots, n-1\}$, $v \in V$: length of shortest path from s to v that uses at most ℓ edges
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$$f^{\ell}[v] = \begin{cases} 0 \\ \infty \\ \min \end{cases}$$

$$\ell = 0, v = s$$

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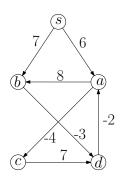
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- $f^{2}[a] = 6$ $f^{3}[a] = 2$

$$f^{\ell}[v] = \begin{cases} 0 & \ell = 0, v = s \\ \infty & \ell = 0, v \neq s \end{cases}$$

$$\min \begin{cases} f^{\ell-1}[v] & \ell > 0 \end{cases}$$

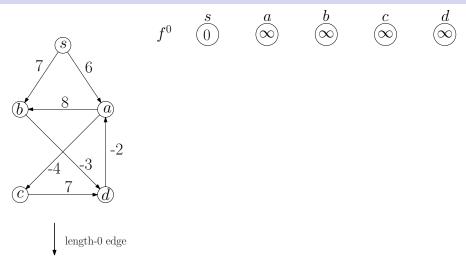


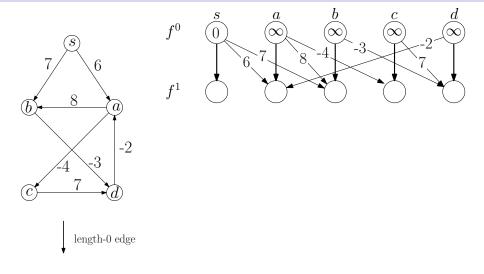
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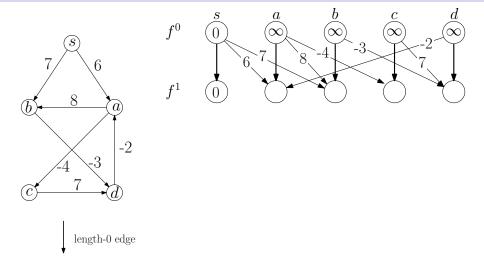
$$f^{\ell}[v] = \begin{cases} 0 & \ell = 0, v = s \\ \infty & \ell = 0, v \neq s \end{cases}$$

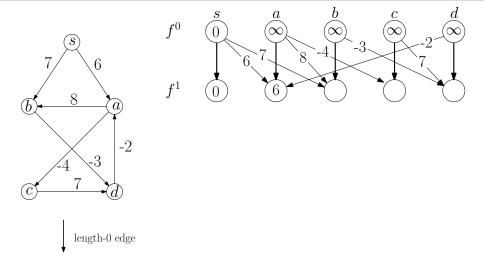
$$\min \begin{cases} f^{\ell-1}[v] & \ell > 0 \end{cases}$$

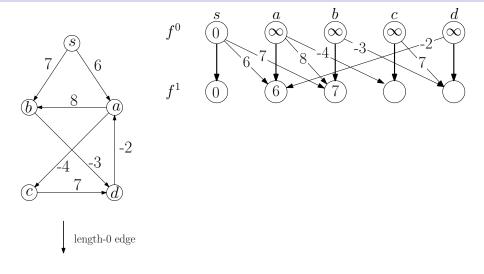
$$\min_{u:(u,v)\in E} \left(f^{\ell-1}[u] + w(u,v)\right) \qquad \ell > 0$$

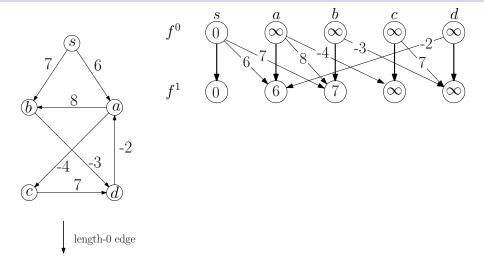


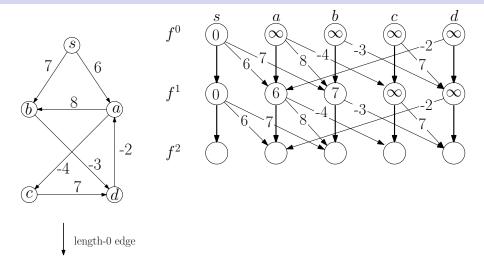


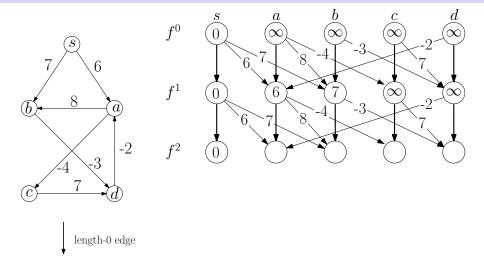


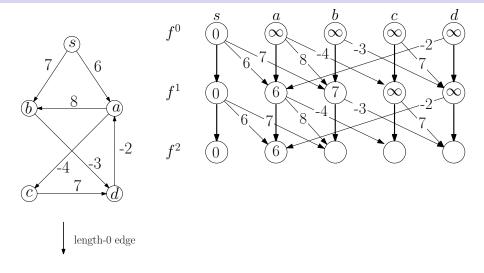


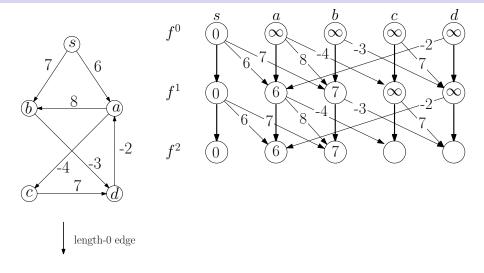


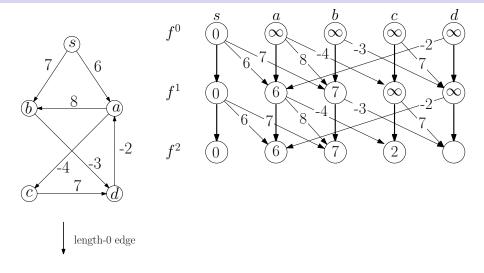


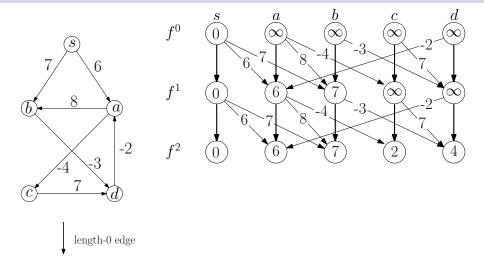


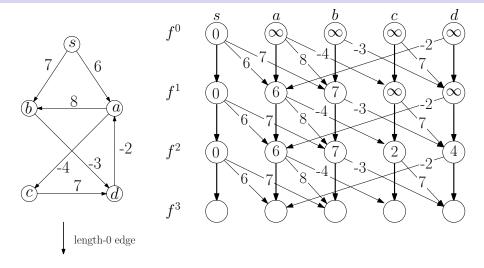


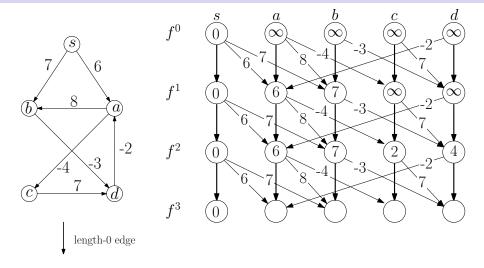


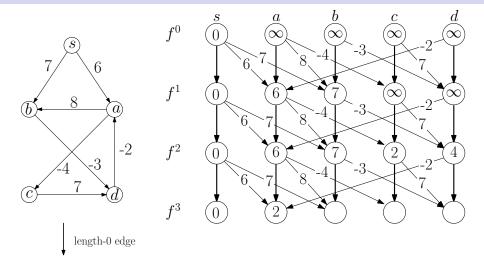


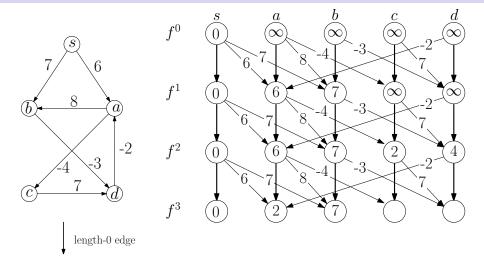


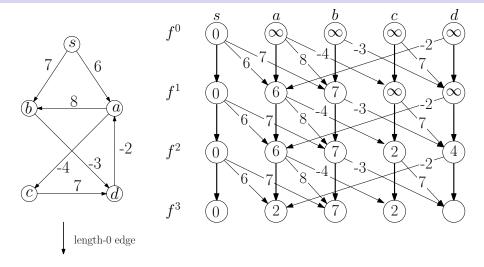


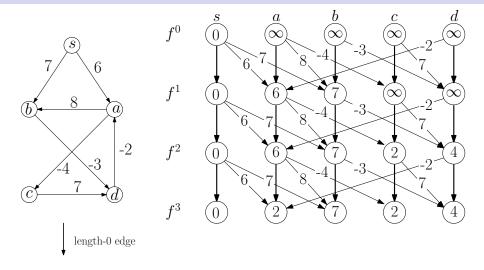


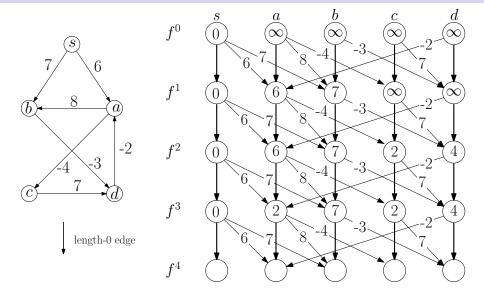


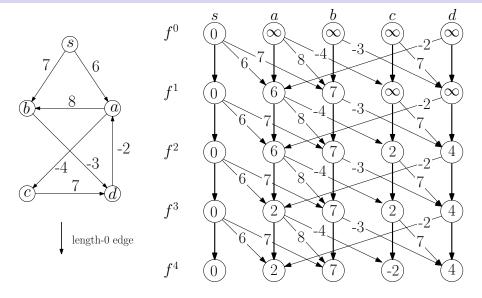












dynamic-programming (G, w, s)

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1: f^0[s] \leftarrow 0 and f^0[v] \leftarrow \infty for any v \in V \setminus \{s\}

2: for \ell \leftarrow 1 to n-1 do

3: \operatorname{copy} f^{\ell-1} \rightarrow f^{\ell}

4: for each (u,v) \in E do

5: if f^{\ell-1}[u] + w(u,v) < f^{\ell}[v] then

6: f^{\ell}[v] \leftarrow f^{\ell-1}[u] + w(u,v)

7: return (f^{n-1}[v])_{v \in V}
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Obs. Assuming there are no negative cycles, then a shortest path contains at most n-1 edges

Proof.

If there is a path containing at least n edges, then it contains a cycle. Removing the cycle gives a path with the same or smaller length. \square

```
dynamic-programming (G, w, s)
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  7:
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• f^{ℓ} only depends on $f^{\ell-1}$: only need 2 vectors

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5:

6: **return** *f*

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- 4: **if** f[u] + w(u, v) < f[v] **then**
- 5: $f[v] \leftarrow f[u] + w(u, v)$
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- This is OK: it can only "accelerate" the process!
- After iteration ℓ , f[v] is at most the length of the shortest path from s to v that uses at most ℓ edges
- ullet f[v] is always the length of some path from s to v

• After iteration ℓ :

length of shortest s-v path

$$\leq f[v]$$

 \leq length of shortest $s ext{-}v$ path using at most ℓ edges

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 - = length of shortest s-v path using at most n-1 edges

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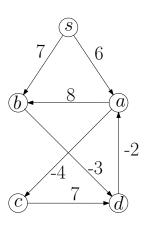
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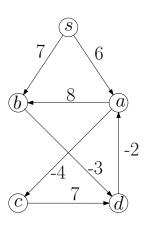
 \leq length of shortest $s ext{-}v$ path using at most ℓ edges

- Assuming there are no negative cycles:
 - length of shortest s-v path
 - = length of shortest s-v path using at most n-1 edges
- ullet So, assuming there are no negative cycles, after iteration n-1:

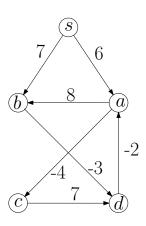
$$f[v] = \text{length of shortest } s\text{-}v \text{ path}$$



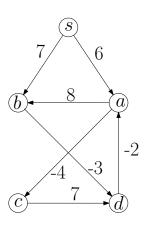
vertices	s	a	b	c	d
\overline{f}	0	∞	∞	∞	∞



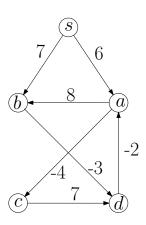
vertices	s	a	b	c	d
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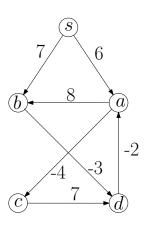
vertices	s	$\mid a \mid$	b	c	d
\overline{f}	0	6	∞	∞	∞



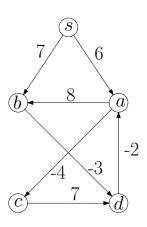
vertices	s	$\mid a \mid$	b	c	d
\overline{f}	0	6	∞	∞	∞



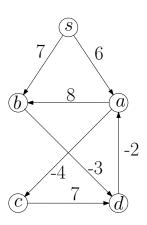
vertices	s	a	b	c	d
\overline{f}	0	6	7	∞	∞



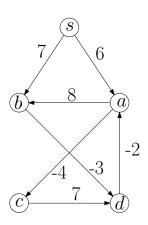
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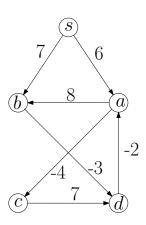
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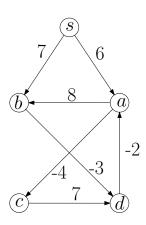
vertices	s	$\mid a \mid$	b	c	d
\overline{f}	0	6	7	2	∞



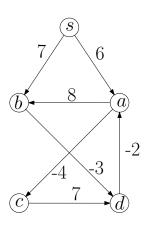
vertices	s	$\mid a \mid$	b	c	d
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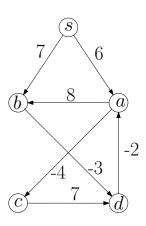
vertices	s	a	b	c	d
\overline{f}	0	6	7	2	4



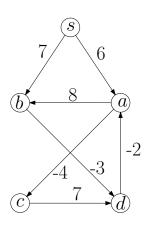
vertices	s	a	b	c	d
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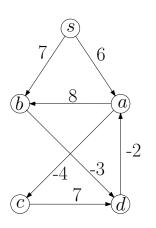
vertices	s	$\mid a \mid$	b	c	d
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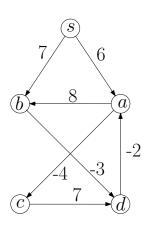
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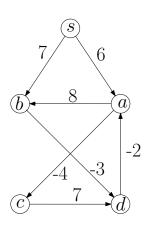
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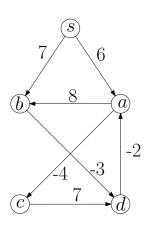
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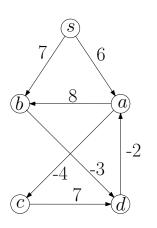
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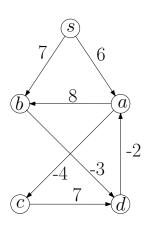
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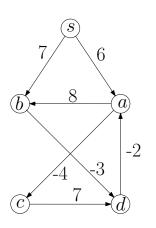
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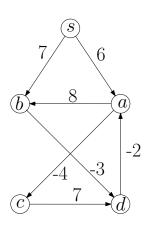
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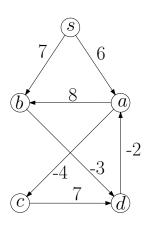
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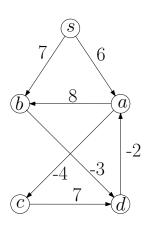


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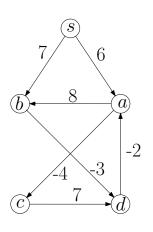
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- end of iteration 3: 0, 2, 7, -2, 4
- Algorithm terminates in 3 iterations, instead of 4.

Bellman-Ford Algorithm

$\mathsf{Bellman}\text{-}\mathsf{Ford}(G,w,s)$

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- $\pi[v]$: the parent of v in the shortest path tree
- Running time = O(nm)

Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

All-Pair Shortest Paths

All Pair Shortest Paths

Input: directed graph G = (V, E),

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- Running time = $O(n^2m)$

Summary of Shortest Path Algorithms we learned

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- SS = single source AP = all pairs

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$$w(i,j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i,j) & i \neq j, (i,j) \in E \\ \infty & i \neq j, (i,j) \notin E \end{cases}$$

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Cells for Floyd-Warshall Algorithm

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Cells for Floyd-Warshall Algorithm

ullet First try: f[i,j] is length of shortest path from i to j

- It is convenient to assume $V = \{1, 2, 3, \dots, n\}$
- ullet For simplicity, extend the w values to non-edges:

$$w(i,j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i,j) & i \neq j, (i,j) \in E \\ \infty & i \neq j, (i,j) \notin E \end{cases}$$

• For now assume there are no negative cycles

Cells for Floyd-Warshall Algorithm

- ullet First try: f[i,j] is length of shortest path from i to j
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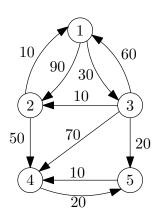
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Cells for Floyd-Warshall Algorithm

- ullet First try: f[i,j] is length of shortest path from i to j
- ullet Issue: do not know in which order we compute f[i,j]'s
- $f^k[i,j]$: length of shortest path from i to j that only uses vertices $\{1,2,3,\cdots,k\}$ as intermediate vertices

Example for Definition of $f^k[i,j]$'s



$$f^{0}[1,4] = \infty$$

$$f^{1}[1,4] = \infty$$

$$f^{2}[1,4] = 140 \qquad (1 \to 2 \to 4)$$

$$f^{3}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{4}[1,4] = 90 \qquad (1 \to 3 \to 2 \to 4)$$

$$f^{5}[1,4] = 60 \qquad (1 \to 3 \to 5 \to 4)$$

$$w(i,j) = \begin{cases} 0 & i = j \\ \text{weight of edge } (i,j) & i \neq j, (i,j) \in E \\ \infty & i \neq j, (i,j) \notin E \end{cases}$$

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$$f^{k}[i,j] = \begin{cases} k = 0 \\ k = 1, 2, \dots, n \end{cases}$$

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$$k = 1, 2, \dots, n$$

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$$f^{k}[i,j] = \begin{cases} w(i,j) & k = 0\\ \min \begin{cases} f^{k-1}[i,j] & k = 1, 2, \dots, n \end{cases} \end{cases}$$

Floyd-Warshall(G, w)

```
1: f^{0} \leftarrow w

2: for k \leftarrow 1 to n do

3: \operatorname{copy} f^{k-1} \to f^{k}

4: for i \leftarrow 1 to n do

5: for j \leftarrow 1 to n do

6: if f^{k-1}[i,k] + f^{k-1}[k,j] < f^{k}[i,j] then

7: f^{k}[i,j] \leftarrow f^{k-1}[i,k] + f^{k-1}[k,j]
```

Floyd-Warshall(G, w)

```
1: f^{\mathsf{old}} \leftarrow w

2: \mathbf{for} \ k \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

3: \mathsf{copy} \ f^{\mathsf{old}} \rightarrow f^{\mathsf{new}}

4: \mathbf{for} \ i \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

5: \mathbf{for} \ j \leftarrow 1 \ \mathsf{to} \ n \ \mathbf{do}

6: \mathbf{if} \ f^{\mathsf{old}}[i,k] + f^{\mathsf{old}}[k,j] < f^{\mathsf{new}}[i,j] \ \mathbf{then}

7: f^{\mathsf{new}}[i,j] \leftarrow f^{\mathsf{old}}[i,k] + f^{\mathsf{old}}[k,j]
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1: f^{\text{old}} \leftarrow w

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3: \operatorname{copy} f \to f

4: for i \leftarrow 1 to n do

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Lemma Assume there are no negative cycles in G. After iteration k, for $i,j \in V$, f[i,j] is exactly the length of shortest path from i to j that only uses vertices in $\{1,2,3,\cdots,k\}$ as intermediate vertices.

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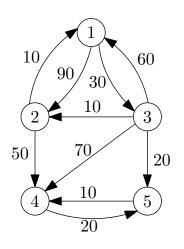
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5: if f[i,k] + f[k,j] < f[i,j] then

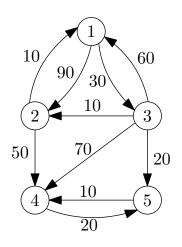
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Lemma Assume there are no negative cycles in G. After iteration k, for $i,j\in V$, f[i,j] is exactly the length of shortest path from i to j that only uses vertices in $\{1,2,3,\cdots,k\}$ as intermediate vertices.

• Running time = $O(n^3)$.

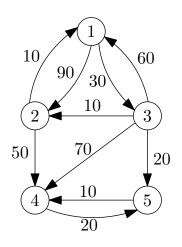


	1	2	3	4	5
1	0	90	30	∞	∞
2	10	0	∞	50	∞
3	60	10	0	70	20
4	∞	∞	∞	0	20
5	∞	∞	∞	10	0



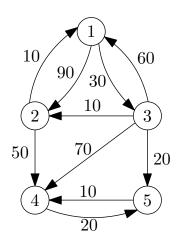
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• i = 2, k = 1, j = 3



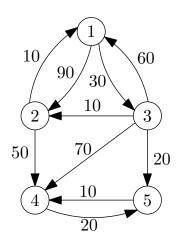
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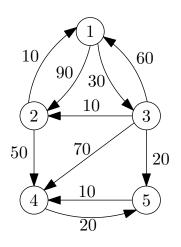
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3	60	10	0	70	20
4	∞	∞	∞	0	20
5	∞	∞	∞	10	0

• i = 1, k = 2, j = 4



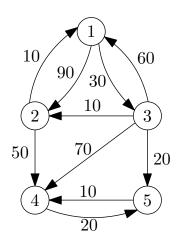
	1	2	3	4	5
1	0	90	30	140	∞
2	10	0	40	50	∞
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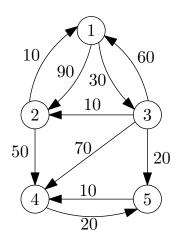
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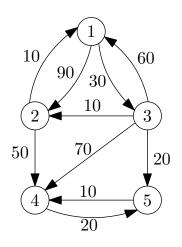
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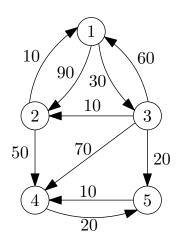
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5	∞	∞	∞	10	0

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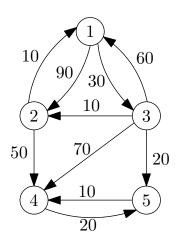
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• i = 1, k = 3, j = 2

Recovering Shortest Paths

Floyd-Warshall(G, w)

```
1: f \leftarrow w, \pi[i,j] \leftarrow \bot for every i,j \in V

2: for k \leftarrow 1 to n do

3: for i \leftarrow 1 to n do

4: for j \leftarrow 1 to n do

5: if f[i,k] + f[k,j] < f[i,j] then

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```

print-path(i, j)

```
1: if \pi[i,j] = \bot then then
2: if i \neq j then print(i,",")
3: else
```

4: print-path $(i, \pi[i, j])$, print-path $(\pi[i, j], j)$

Detecting Negative Cycles

```
1: f \leftarrow w, \pi[i,j] \leftarrow \bot for every i,j \in V

2: for k \leftarrow 1 to n do

3: for i \leftarrow 1 to n do

4: for j \leftarrow 1 to n do

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Detecting Negative Cycles

Floyd-Warshall (G, w)

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 2: for k \leftarrow 1 to n do
         for i \leftarrow 1 to n do
 3:
              for i \leftarrow 1 to n do
 4:
                   if f[i, k] + f[k, j] < f[i, j] then
 5:
                        f[i,j] \leftarrow f[i,k] + f[k,j], \pi[i,j] \leftarrow k
 6:
 7: for k \leftarrow 1 to n do
         for i \leftarrow 1 to n do
 8:
 9:
              for i \leftarrow 1 to n do
                   if f[i, k] + f[k, j] < f[i, j] then
10:
                        report "negative cycle exists" and exit
11:
```

Summary of Shortest Path Algorithms

algorithm	graph	weights	SS?	running time
Simple DP	DAG	\mathbb{R}	SS	O(n+m)
Dijkstra	U/D	$\mathbb{R}_{\geq 0}$	SS	$O(n\log n + m)$
Bellman-Ford	U/D	\mathbb{R}	SS	O(nm)
Floyd-Warshall	U/D	\mathbb{R}	AP	$O(n^3)$

- ullet DAG = directed acyclic graph U = undirected D = directed
- ullet SS = single source AP = all pairs

Outline

- Minimum Spanning Tree
 - Kruskal's Algorithm
 - Reverse-Kruskal's Algorithm
 - Prim's Algorithm
- Single Source Shortest Paths
 - Dijkstra's Algorithm
- 3 Shortest Paths in Graphs with Negative Weights
- 4 All-Pair Shortest Paths and Floyd-Warshall
- Minimum Cost Arborescence

Minimum Cost Arborescence Problem

Input: a directed graph G = (V, E),

edge weights $w:\mathbb{E} \to \mathbb{R}_{\geq 0}$

 $\mathsf{root}\ r \in V$

Output: a minimum-cost sub-graph

 $T=(V,E^{\prime})$ of G that is an

arborescence with root r

Minimum Cost Arborescence Problem

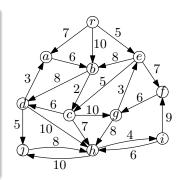
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 $\mathsf{root}\ r \in V$

Output: a minimum-cost sub-graph $T=(V,E^\prime)$ of G that is an

I = (V, E') of G that is a arborescence with root r



Minimum Cost Arborescence Problem

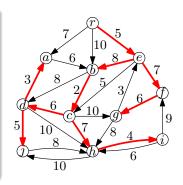
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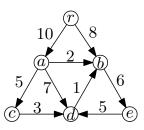
arborescence with root \boldsymbol{r}



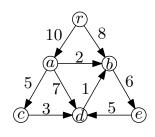
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- ullet every vertex is reachable from the root r.

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- For every $v \in V \setminus \{r\}$ and $e \in \delta_v^{\text{in}}$, define $w'(e) = w(e) l_v$.

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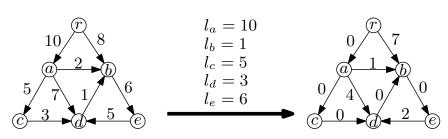
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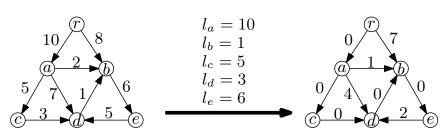
$$l_a = 10$$

 $l_b = 1$
 $l_c = 5$
 $l_d = 3$
 $l_e = 6$

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- \bullet For every $v \in V \setminus \{r\}$ and $e \in \delta_v^{\mathrm{in}}$, define $w'(e) = w(e) l_v.$



Lemma The instances (G, w, r) and (G, w', r) have the same optimum solution.

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Proof.

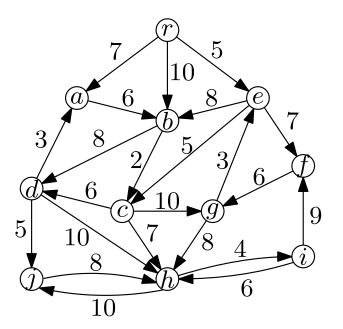
Given any tree solution T, w(T)-w'(T) is always $\sum_{v\in V\setminus\{r\}}l_v$.

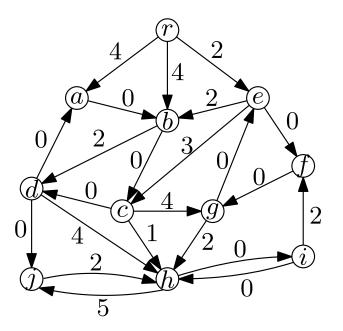
Lemma The instances (G, w, r) and (G, w', r) have the same optimum solution.

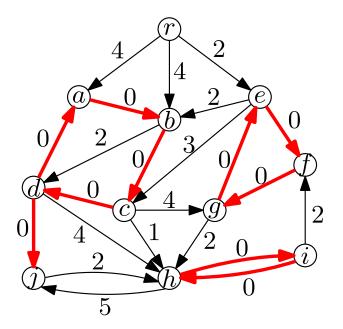
Proof.

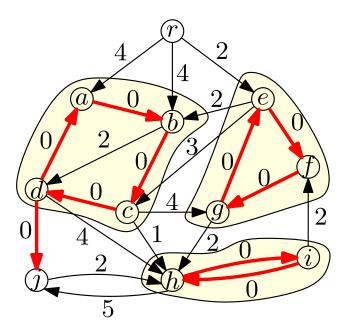
Given any tree solution T, w(T)-w'(T) is always $\sum_{v\in V\setminus\{r\}}l_v$. \square

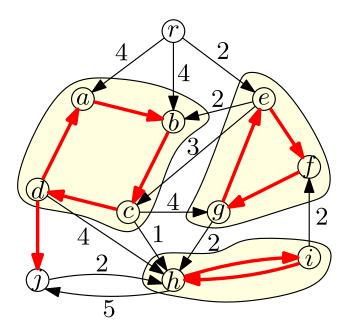
Lemma Let $(v_0, v_1, v_2, \cdots, v_p = v_0)$ be a cycle C of 0-cost edges in G. Then there is an optimum solution T, that contains all but one edges in C.

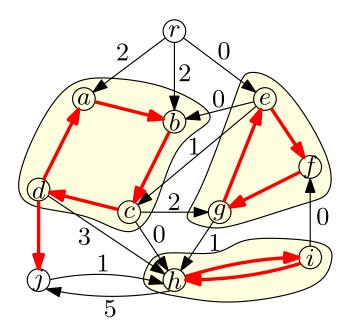


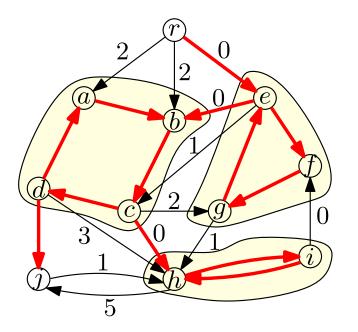


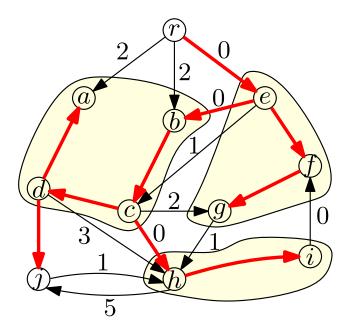


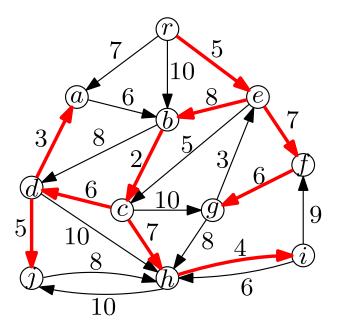












MCA(G, r, w)

- 1: $F^* \leftarrow \emptyset$
- 2: **for** every $v \in V \setminus \{r\}$ **do**
- 3: $l_v \leftarrow \min_{e \in \delta_v^{\text{in}}} w(e)$
- 4: **for** every edge e entering v **do**: $w'(e) \leftarrow w(e) l_v$
- 5: choose a 0-cost edge entering v, add it to (V, F^*)
- 6: **if** F^* form an arborescence **then return** F^*
- 7: **else**
- 8: **for** every cycle C in F^* **do**: contract C into a single node
- 9: let G' = (V', E') be the obtained graph.
- 10: $T' \leftarrow \mathsf{MCA}(G', r, w')$
- 11: extend T' to an aborescence T in G, by keeping all but one edges in every cycle C in F^* , and **return** T

 \bullet The running time of the algorithm is O(mn)

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- [Tarjan (1971)]: $O(\min(m \log n, n^2))$
- [Gabow, Galil, Spencer, Tarjan (1986)]: $O(n \log n + m)$
- [Mendelson, Tarjan, Thorup, Zwick (2006)]: $O(m \log \log n)$