算法设计与分析(2024年春季学期) Graph Basics

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Outline

Graphs

- Connectivity and Graph Traversal
 Testing Bipartiteness
- 3 Topological Ordering
- Bridges and 2-Edge-Connected Components
 O(n + m)-Time Algorithm to Find Bridges
 Related Concept: Cut Vertices
- Strong Connectivity in Directed Graphs
 Tarjan's O(n + m)-Time Algorithm for Finding SCCes

Examples of Graphs



Figure: Road Networks



Figure: Social Networks



Figure: Internet



Figure: Transition Graphs

(Undirected) Graph G = (V, E)



- V: set of vertices (nodes);
- E: pairwise relationships among V;
 - (undirected) graphs: relationship is symmetric, ${\cal E}$ contains subsets of size 2

(Undirected) Graph G = (V, E)



- V: set of vertices (nodes);
 - $V = \{1, 2, 3, 4, 5, 6, 7, 8\}$
- E: pairwise relationships among V;
 - (undirected) graphs: relationship is symmetric, ${\cal E}$ contains subsets of size 2
 - $E = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{2, 4\}, \{2, 5\}, \{3, 5\}, \{3, 7\}, \{3, 8\}, \{4, 5\}, \{5, 6\}, \{7, 8\}\}$

Directed Graph G = (V, E)



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- E: pairwise relationships among V;
 - \bullet directed graphs: relationship is asymmetric, E contains ordered pairs
 - $E = \{(1,2), (1,3), (3,2), (4,2), (2,5), (5,3), (3,7), (3,8), (4,5), (5,6), (6,5), (8,7)\}$

Abuse of Notations

- For (undirected) graphs, we often use (i, j) to denote the set $\{i, j\}$.
- We call (i, j) an unordered pair; in this case (i, j) = (j, i).



• $E = \{(1,2), (1,3), (2,3), (2,4), (2,5), (3,5), (3,7), (3,8), (4,5), (5,6), (7,8)\}$

- Social Network : Undirected
- Transition Graph : Directed
- Road Network : Directed or Undirected
- Internet : Directed or Undirected

Representation of Graphs



	1	2	3	4	5	6	7	8
1	0	1	1	0	0	0	0	0
2	1	0	1	1	1	0	0	0
3	1	1	0	0	1	0	1	1
4	0	1	0	0	1	0	0	0
5	0	1	1	1	0	1	0	0
6	0	0	0	0	1	0	0	0
7	0	0	1	0	0	0	0	1
8	0	0	1	0	0	0	1	0

Adjacency matrix

- $n \times n$ matrix, A[u,v] = 1 if $(u,v) \in E$ and A[u,v] = 0 otherwise
- A is symmetric if graph is undirected

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- Linked lists
 - For every vertex v, there is a linked list containing all neighbours of v.
- If graph is static: store neighbors of all vertices in a length-2m array, where the neighbors of any vertex are consecutive. 7/52

- Assuming we are dealing with undirected graphs
- *n*: number of vertices
- m: number of edges, assuming $n-1 \le m \le n(n-1)/2$
- d_v : number of neighbors of v

	Matrix	Linked Lists
memory usage		
time to check $(u,v) \in E$		
time to list all neighbours of v		

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	Matrix	Linked Lists
memory usage	$O(n^2)$	O(m)
time to check $(u,v) \in E$	O(1)	
time to list all neighbours of v		

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	Matrix	Linked Lists
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 - Breadth-First Search (BFS)

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Output: whether there is a path connecting s to t in G

- Algorithm: starting from *s*, search for all vertices that are reachable from *s* and check if the set contains *t*
 - Breadth-First Search (BFS)
 - Depth-First Search (DFS)

- Build layers $L_0, L_1, L_2, L_3, \cdots$
- $L_0 = \{s\}$
- L_{j+1} contains all nodes that are not in $L_0 \cup L_1 \cup \cdots \cup L_j$ and have an edge to a vertex in L_j

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Implementing BFS using a Queue

$\mathsf{BFS}(s)$

- 1: $head \leftarrow 1, tail \leftarrow 1, queue[1] \leftarrow s$
- 2: mark s as "visited" and all other vertices as "unvisited"
- 3: while $head \leq tail$ do
- $\textbf{4:} \qquad v \leftarrow queue[head], head \leftarrow head + 1$
- 5: for all neighbours u of v do
- 6: **if** u is "unvisited" **then**
- 7: $tail \leftarrow tail + 1, queue[tail] = u$

8: mark *u* as "visited"

• Running time: O(n+m).


















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- Starting from s
- Travel through the first edge leading out of the current vertex
- When reach an already-visited vertex ("dead-end"), go back
- Travel through the next edge
- If tried all edges leading out of the current vertex, go back

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Implementing DFS using Recurrsion

DFS(s)

- 1: mark all vertices as "unvisited"
- 2: recursive-DFS(s)

recursive-DFS(v)

- 1: mark v as "visited"
- 2: for all neighbours u of v do
- 3: **if** u is unvisited **then** recursive-DFS(u)

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Testing Bipartiteness: Applications of BFS

Def. A graph G = (V, E) is a bipartite graph if there is a partition of V into two sets L and R such that for every edge $(u, v) \in E$, we have either $u \in L, v \in R$ or $v \in L, u \in R$.



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• Report "not a bipartite graph" if contradiction was found

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- Report "not a bipartite graph" if contradiction was found
- If G contains multiple connected components, repeat above algorithm for each component
































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- 6: **if** *u* is "unvisited" **then**

7:
$$tail \leftarrow tail + 1, queue[tail] = u$$

8: mark u as "visited"

test-bipartiteness(s)

- 1: $head \leftarrow 1, tail \leftarrow 1, queue[1] \leftarrow s$
- 2: mark s as "visited" and all other vertices as "unvisited"
- 3: $color[s] \leftarrow 0$
- 4: while $head \leq tail$ do
- 5: $v \leftarrow queue[head], head \leftarrow head + 1$
- 6: for all neighbours u of v do
- 7: **if** u is "unvisited" **then**
- 8: $tail \leftarrow tail + 1, queue[tail] = u$
- 9: mark *u* as "visited"

10:
$$color[u] \leftarrow 1 - color[v]$$

- 11: else if color[u] = color[v] then
- 12: print("G is not bipartite") and exit

- 1: mark all vertices as "unvisited"
- 2: for each vertex $v \in V$ do
- 3: **if** v is "unvisited" **then**
- 4: test-bipartiteness(v)
- 5: print("G is bipartite")

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Obs. Running time of algorithm = O(n + m)

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Topological Ordering Problem

Input: a directed acyclic graph (DAG) G = (V, E)

Output: 1-to-1 function
$$\pi: V \to \{1, 2, 3 \cdots, n\}$$
, so that

• if $(u, v) \in E$ then $\pi(u) < \pi(v)$



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Q: How to make the algorithm as efficient as possible?

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Q: How to make the algorithm as efficient as possible?

A:

- Use linked-lists of outgoing edges
- Maintain the in-degree d_v of vertices
- Maintain a queue (or stack) of vertices v with $d_v = 0$
topological-sort(G)

1:	let $d_v \leftarrow 0$ for every $v \in V$
2:	for every $v \in V$ do
3:	for every u such that $(v, u) \in E$ do
4:	$d_u \leftarrow d_u + 1$
5:	$S \leftarrow \{v : d_v = 0\}, i \leftarrow 0$
6:	while $S \neq \emptyset$ do
7:	$v \leftarrow \text{arbitrary vertex in } S, S \leftarrow S \setminus \{v\}$
8:	$i \leftarrow i+1$, $\pi(v) \leftarrow i$
9:	for every u such that $(v, u) \in E$ do
10:	$d_u \leftarrow d_u - 1$
11:	if $d_u = 0$ then add u to S
12:	if $i < n$ then output "not a DAG"

 $\bullet \ S$ can be represented using a queue or a stack

• Running time
$$= O(n+m)$$

DS	Queue	Stack
Initialization	$head \leftarrow 1, tail \leftarrow 0$	$top \leftarrow 0$
Non-Empty?	$head \leq tail$	top > 0
Add(v)	$\begin{array}{l} tail \leftarrow tail + 1 \\ S[tail] \leftarrow v \end{array}$	$\begin{array}{l} top \leftarrow top + 1\\ S[top] \leftarrow v \end{array}$
Retrieve v	$v \leftarrow S[head]$ head \leftarrow head + 1	$v \leftarrow S[top] \\ top \leftarrow top - 1$









































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• When G is connected, $e \in E$ is a bridge iff its removal will disconnect G.



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Def. A graph G = (V, E) is 2-edge-connected if for every two $u, v \in V$, there are two edge disjoint paths connecting u and v.

Lemma Let B be the set of bridges in a graph G = (V, E). Then, every connected component in $(V, E \setminus B)$ is 2-edge-connected. Every such component is called a 2-edge-connected component of G.

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Vertical and Horizontal Edges

- G = (V, E): connected graph
- $T = (V, E_T)$: rooted spanning tree of G



Vertical and Horizontal Edges

- G = (V, E): connected graph
- $T = (V, E_T)$: rooted spanning tree of G
- $(u,v) \in E \setminus E_T$ is
 - vertical if one of u and v is an ancestor of the other in T,
 - horizontal otherwise.



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T: a DFS tree for G



• G = (V, E): connected graph

 $T{:}\ {\rm a}\ {\rm DFS}\ {\rm tree}\ {\rm for}\ G$

Q: Can there be a horizontal edges (u, v) w.r.t T?



• G = (V, E): connected graph

T: a DFS tree for ${\cal G}$



A: No!

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- $\bullet~T:$ a DFS tree for G
- G contains only tree and vertical edges



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- T_v : subtree rooted at v
- *v.r*: the smallest level that can be reached by a vertical edge from *T_v*
- (parent(u), u) is a bridge if and only if $u.r \ge u.l.$



recursive-DFS(v)

1:	mark v as "visited"	
2:	$v.r \leftarrow \infty$	
3:	for all neighbours u of v do	
4:	if u is unvisited then	$\triangleright u$ is a child of v
5:	$u.l \leftarrow v.l + 1$	
6:	recursive-DFS (u)	
7:	if $u.r \ge u.l$ then claim (v, u) is a bridge	
8:	if $u.r < v.r$ then $v.r \leftarrow u.r$	
9:	else if $u.l < v.l - 1$ then	$\triangleright u$ is ancestor but not parent
10:	if $u.l < v.r$ then $v.r \leftarrow u$.1

finding-bridges

- 1: mark all vertices as "unvisited"
- 2: for every $v \in V$ do
- 3: **if** v is unvisited **then**
- 4: $v.l \leftarrow 0$
- 5: recursive-DFS(v)

• Running time: O(n+m)

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Def. A graph G = (V, E) is 2-(vertex-)connected (or biconnected) if for every $u, v \in V$, there are 2 internally-disjoint paths between u and v.

Lemma A graph G = (V, E)with $|V| \ge 3$ does not contain a cut vertex, if and only if it is biconnected.



Q: How can we find the cut vertices?

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A: With a small modification to the algorithm for finding bridges.

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Def. A strongly connected component (SCC) of a directed graph G is a maximal strongly connected subgraph of G.



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Def. A strongly connected component (SCC) of a directed graph G is a maximal strongly connected subgraph of G.



- Define equivalence relation: *u* and *v* are related if they are reachable from each other
- equivalence class \equiv SCC

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- it may happen: there is a $u \to v$ path, but no $v \to u$ path.

Def. A strongly connected component (SCC) of a directed graph G is a maximal strongly connected subgraph of G.



- Define equivalence relation: u and v are related if they are reachable from each other
- equivalence class \equiv SCC
- After contracting each SCC, G becomes a directed-acyclic (multi-)graph (DAG).

A:

- Run a traversal algorithm (either BFS or DFS) from *s* twice, one on *G*, one on *G* with all directions of edges reversed
- $\bullet\,$ If we reached all vertices in both algorithms, then G is strongly-connected
- Otherwise, it is not.

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Q: How can we find all strongly-connected components (SCCes) of a directed graph G?

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Q: How can we find all strongly-connected components (SCCes) of a directed graph G?

A: A much harder problem. Tarjan's O(n+m)-time algorithm.

Outline

Graphs

- Connectivity and Graph Traversal
 Testing Bipartiteness
- 3 Topological Ordering
- Bridges and 2-Edge-Connected Components
 O(n + m)-Time Algorithm to Find Bridges
 Related Concept: Cut Vertices
- Strong Connectivity in Directed Graphs
 Tarjan's O(n + m)-Time Algorithm for Finding SCCes

- directed graph, G = (V, E), a DFS-tree T,
- \bullet assuming every vertex is reachable from the root of T



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type of edges in G w.r.t T

- tree edges: edges in T
- upwards (vertical) edges
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Type of Edges w.r.t a Directed DFS Tree

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Q: Can there be rightwards horizontal edges?

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Proof.

 Idea: using leftward, upwards and tree edges, u can not reach v without touching w or its ancestors.



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Proof.

 Idea: using leftward, upwards and tree edges, u can not reach v without touching w or its ancestors.



Lemma The vertices in every SCC of G induce a sub-tree in T.



An Intermediate Algorithm to Keep in Mind

- 1: build the DFS tree ${\cal T}$
- 2: while T is not empty do
- 3: find the first vertex v in the posterior-order-traversal of T satisfying the following property: there are no edges from T_v to outside T_v
- 4: claim vertices in T_v as a SCC, remove them from T and all edges incident to them from T and G


























































































finding strongly connected components

- 1: $statck \leftarrow empty stack, i \leftarrow 0$
- 2: for every $v \in V$ do: $v.i \leftarrow \bot, onstack[i] \leftarrow$ false
- 3: for every $v \in V$ do
- 4: **if** $v.i = \bot$ **then** recursive-DFS(v)

recursive- $\mathsf{DFS}(v)$

1:
$$i \leftarrow i + 1, v.i \leftarrow i, v.r \leftarrow i$$

- 2: $stack.push(v), onstack[v] \leftarrow true$
- 3: for every outgoing edge $\left(v,u\right)$ of v do
- 4: **if** $u.i = \bot$ **then** recursive-DFS(u)
- 5: **if** onstack[u] and u.r < v.r **then** $v.r \leftarrow u.r$
- 6: if v.r = v.i then
- 7: pop all vertices in stack after v, including v itself
- 8: set onstack of these vertices to be false
- 9: declare that these vertices form an SCC

Running time of the algorithm is O(n+m).