## 算法设计与分析（2024年春季学期） Graph Basics

授课老师：栗师
南京大学计算机科学与技术系

## Outline

## (1) Graphs

(2) Connectivity and Graph Traversal

- Testing Bipartiteness
(3) Topological Ordering

4 Bridges and 2-Edge-Connected Components

- $O(n+m)$-Time Algorithm to Find Bridges
- Related Concept: Cut Vertices
(5) Strong Connectivity in Directed Graphs
- Tarjan's $O(n+m)$-Time Algorithm for Finding SCCes


## Examples of Graphs



Figure: Road Networks


Figure: Social Networks


Figure: Internet


Figure: Transition Graphs

## (Undirected) Graph $G=(V, E)$



- $E$ : pairwise relationships among $V$;
- (undirected) graphs: relationship is symmetric, $E$ contains subsets of size 2


## (Undirected) Graph $G=(V, E)$



- $V$ : set of vertices (nodes);
- $V=\{1,2,3,4,5,6,7,8\}$
- $E$ : pairwise relationships among $V$;
- (undirected) graphs: relationship is symmetric, $E$ contains subsets of size 2
- $E=\{\{1,2\},\{1,3\},\{2,3\},\{2,4\},\{2,5\},\{3,5\},\{3,7\},\{3,8\}$, $\{4,5\},\{5,6\},\{7,8\}\}$


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- directed graphs: relationship is asymmetric, $E$ contains ordered pairs
- $E=\{(1,2),(1,3),(3,2),(4,2),(2,5),(5,3),(3,7),(3,8)$, $(4,5),(5,6),(6,5),(8,7)\}$


## Abuse of Notations

- For (undirected) graphs, we often use $(i, j)$ to denote the set $\{i, j\}$.
- We call $(i, j)$ an unordered pair; in this case $(i, j)=(j, i)$.

- $E=\{(1,2),(1,3),(2,3),(2,4),(2,5),(3,5),(3,7),(3,8)$, $(4,5),(5,6),(7,8)\}$
- Social Network: Undirected
- Transition Graph : Directed
- Road Network : Directed or Undirected
- Internet : Directed or Undirected


## Representation of Graphs



|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 2 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| 3 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 1 |
| 4 | 0 | 1 | 0 | 0 | 1 | 0 | 0 | 0 |
| 5 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 8 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |

- Adjacency matrix
- $n \times n$ matrix, $A[u, v]=1$ if $(u, v) \in E$ and $A[u, v]=0$ otherwise
- $A$ is symmetric if graph is undirected


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- For every vertex $v$, there is a linked list containing all neighbours of $v$.


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- Linked lists
- For every vertex $v$, there is a linked list containing all neighbours of $v$.
- If graph is static: store neighbors of all vertices in a length- $2 m$ array, where the neighbors of any vertex are consecutive.


## Comparison of Two Representations

- Assuming we are dealing with undirected graphs
- $n$ : number of vertices
- $m$ : number of edges, assuming $n-1 \leq m \leq n(n-1) / 2$
- $d_{v}$ : number of neighbors of $v$

|  | Matrix | Linked Lists |
| :---: | :---: | :---: |
| memory usage |  |  |
| time to check $(u, v) \in E$ |  |  |
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- Breadth-First Search (BFS)
- Depth-First Search (DFS)


## Breadth-First Search (BFS)

- Build layers $L_{0}, L_{1}, L_{2}, L_{3}, \cdots$
- $L_{0}=\{s\}$
- $L_{j+1}$ contains all nodes that are not in $L_{0} \cup L_{1} \cup \cdots \cup L_{j}$ and have an edge to a vertex in $L_{j}$


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## Implementing BFS using a Queue

## BFS ( $s$ )

1: head $\leftarrow 1$, tail $\leftarrow 1$, queue $[1] \leftarrow s$
2: mark $s$ as "visited" and all other vertices as "unvisited"
3: while head $\leq$ tail do
4: $\quad v \leftarrow$ queue[head], head $\leftarrow$ head +1
5: $\quad$ for all neighbours $u$ of $v$ do
6: $\quad$ if $u$ is "unvisited" then
7: $\quad$ tail $\leftarrow$ tail +1 , queue $[$ tail $]=u$
8: mark $u$ as "visited"

- Running time: $O(n+m)$.


## Example of BFS via Queue



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## Implementing DFS using Recurrsion

## DFS(s)

1: mark all vertices as "unvisited"
2: recursive-DFS( $s$ )

## recursive-DFS (v)

1: mark $v$ as "visited"
2: for all neighbours $u$ of $v$ do
3: if $u$ is unvisited then recursive-DFS $(u)$

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## Testing Bipartiteness: Applications of BFS

Def. A graph $G=(V, E)$ is a bipartite graph if there is a partition of $V$ into two sets $L$ and $R$ such that for every edge $(u, v) \in E$, we have either $u \in L, v \in R$ or $v \in L, u \in R$.


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- Report "not a bipartite graph" if contradiction was found


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- Report "not a bipartite graph" if contradiction was found
- If $G$ contains multiple connected components, repeat above algorithm for each component

Test Bipartiteness


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tail $\leftarrow$ tail +1 , queue $[$ tail $]=u$
8: mark $u$ as "visited"

## Testing Bipartiteness using BFS

test-bipartiteness $(s)$
1: head $\leftarrow 1$, tail $\leftarrow 1$, queue $[1] \leftarrow s$
2: mark $s$ as "visited" and all other vertices as "unvisited"
3: color $[s] \leftarrow 0$
4: while head $\leq$ tail do
5: $\quad v \leftarrow$ queue[head], head $\leftarrow$ head +1
6: for all neighbours $u$ of $v$ do
7 :
8:
9: if $u$ is "unvisited" then tail $\leftarrow$ tail +1, queue $[$ tail $]=u$ mark $u$ as "visited"
10:
11:
12:

$$
\text { color }[u] \leftarrow 1-\text { color }[v]
$$

else if color $[u]=\operatorname{color}[v]$ then print( " $G$ is not bipartite") and exit

## Testing Bipartiteness using BFS

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Obs. Running time of algorithm $=O(n+m)$

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## Topological Ordering Problem

Input: a directed acyclic graph (DAG) $G=(V, E)$
Output: 1-to-1 function $\pi: V \rightarrow\{1,2,3 \cdots, n\}$, so that

- if $(u, v) \in E$ then $\pi(u)<\pi(v)$



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## Topological Ordering

- Algorithm: each time take a vertex without incoming edges, then remove the vertex and all its outgoing edges.



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Q: How to make the algorithm as efficient as possible?

A:

- Use linked-lists of outgoing edges
- Maintain the in-degree $d_{v}$ of vertices
- Maintain a queue (or stack) of vertices $v$ with $d_{v}=0$


## topological-sort $(G)$

1: let $d_{v} \leftarrow 0$ for every $v \in V$
2: for every $v \in V$ do
3: for every $u$ such that $(v, u) \in E$ do
4: $\quad d_{u} \leftarrow d_{u}+1$
5: $S \leftarrow\left\{v: d_{v}=0\right\}, i \leftarrow 0$
6: while $S \neq \emptyset$ do
7: $\quad v \leftarrow$ arbitrary vertex in $S, S \leftarrow S \backslash\{v\}$
8: $\quad i \leftarrow i+1, \pi(v) \leftarrow i$
9: $\quad$ for every $u$ such that $(v, u) \in E$ do
10: $\quad d_{u} \leftarrow d_{u}-1$
11: if $d_{u}=0$ then add $u$ to $S$
12: if $i<n$ then output "not a DAG"

- $S$ can be represented using a queue or a stack
- Running time $=O(n+m)$


## $S$ as a Queue or a Stack

| DS | Queue | Stack |
| :---: | :--- | :--- |
| Initialization | head $\leftarrow 1$, tail $\leftarrow 0$ | top $\leftarrow 0$ |
| Non-Empty? | head $\leq$ tail | top $>0$ |
| Add $(v)$ | tail $\leftarrow$ tail +1 | top $\leftarrow$ top +1 |
|  | $S[$ tail $\leftarrow v$ | $S[$ top $\leftarrow \leftarrow v$ |
| Retrieve $v$ | $v \leftarrow S[$ head $]$ | $v \leftarrow S[$ top $]$ |
|  | head $\leftarrow h e a d+1$ | top $\leftarrow$ top -1 |

## Example



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|  | $a$ | $b$ | $c$ | $d$ | $e$ | $f$ | $g$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| degree | 0 | 0 | 0 | 0 | 1 | 0 | 3 |

## Example



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| degree | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

## Example


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|  | $a$ | $b$ | $c$ | $d$ | $e$ | $f$ | $g$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| degree | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

## Example


(9)

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Lemma Let $B$ be the set of bridges in a graph $G=(V, E)$. Then, every connected component in ( $V, E \backslash B$ ) is 2-edge-connected. Every such component is called a 2-edge-connected component of $G$.

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## Vertical and Horizontal Edges

- $G=(V, E)$ : connected graph
- $T=\left(V, E_{T}\right)$ : rooted spanning tree of $G$



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- $G=(V, E)$ : connected graph
- $T=\left(V, E_{T}\right)$ : rooted spanning tree of $G$
- $(u, v) \in E \backslash E_{T}$ is
- vertical if one of $u$ and $v$ is an ancestor of the other in $T$,
- horizontal otherwise.

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Q: Can there be a horizontal edges $(u, v)$ w.r.t $T$ ?

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## A: No!

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## Lemma

- $(u, v) \in T, u$ is parent
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- $v . l$ : the level of vertex $v$ in DFS tree

- v.l: the level of vertex $v$ in DFS tree
- $T_{v}$ : subtree rooted at $v$
- v.r: the smallest level that can be reached by a vertical edge from $T_{v}$

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- $T_{v}$ : subtree rooted at $v$
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- (parent $(u), u)$ is a bridge if and only if $u . r \geq u . l$.



## recursive-DFS ( $v$ )

1: mark $v$ as "visited"
2: v.r $\leftarrow \infty$
3: for all neighbours $u$ of $v$ do
4: if $u$ is unvisited then
$\triangleright u$ is a child of $v$
5: $\quad u . l \leftarrow v . l+1$
6: recursive-DFS(u)
7: $\quad$ if $u . r \geq u . l$ then claim $(v, u)$ is a bridge
8: $\quad$ if $u . r<v . r$ then $v . r \leftarrow u . r$
9: $\quad$ else if $u . l<v . l-1$ then $\quad \triangleright u$ is ancestor but not parent
10: $\quad$ if $u . l<v . r$ then $v . r \leftarrow u . l$

## finding-bridges

1: mark all vertices as "unvisited"
2: for every $v \in V$ do
3: if $v$ is unvisited then
4: $v . l \leftarrow 0$
5: recursive-DFS $(v)$

- Running time: $O(n+m)$


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Lemma A graph $G=(V, E)$ with $|V| \geq 3$ does not contain a cut vertex, if and only if it is biconnected.


Q: How can we find the cut vertices?

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A: With a small modification to the algorithm for finding bridges.

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- Define equivalence relation: $u$ and $v$ are related if they are reachable from each other
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- Define equivalence relation: $u$ and $v$ are related if they are reachable from each other
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- After contracting each SCC, $G$ becomes a directed-acyclic (multi-)graph (DAG).

Q: How can we check if a directed graph $G=(V, E)$ is strongly-connected?

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A:

- Run a traversal algorithm (either BFS or DFS) from $s$ twice, one on $G$, one on $G$ with all directions of edges reversed
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- Otherwise, it is not.

Q: How can we find all strongly-connected components (SCCes) of a directed graph $G$ ?

A: A much harder problem. Tarjan's $O(n+m)$-time algorithm.

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Q: Can there be rightwards horizontal edges?

A: No!

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Q: Can there be rightwards horizontal edges?

A: No!

Lemma Suppose $u$ and $v$ are in the same SCC, and $w$ is the lowest common ancestor (LCA) of $u$ and $v$ in $T$. Then $w$ is the same SCC as $u$ and $v$.


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## Proof.

- Idea: using leftward, upwards and tree edges, $u$ can not reach $v$ without touching $w$ or its ancestors.


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## Proof.

- Idea: using leftward, upwards and tree edges, $u$ can not reach $v$ without touching $w$ or its ancestors. $\square$


Lemma The vertices in every SCC of $G$ induce a sub-tree in $T$.


## An Intermediate Algorithm to Keep in Mind

1: build the DFS tree $T$
2: while $T$ is not empty do
3: find the first vertex $v$ in the posterior-order-traversal of $T$ satisfying the following property: there are no edges from $T_{v}$ to outside $T_{v}$
4: $\quad$ claim vertices in $T_{v}$ as a SCC, remove them from $T$ and all edges incident to them from $T$ and $G$

## Illustration of Intermediate Algorithm



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## finding strongly connected components

1: statck $\leftarrow$ empty stack, $i \leftarrow 0$
2: for every $v \in V$ do: $v . i \leftarrow \perp$, onstack $[i] \leftarrow$ false
3: for every $v \in V$ do
4: $\quad$ if $v . i=\perp$ then recursive- $\operatorname{DFS}(v)$

## recursive-DFS $(v)$

1: $i \leftarrow i+1, v \cdot i \leftarrow i, v \cdot r \leftarrow i$
2: stack.push $(v)$, onstack $[v] \leftarrow$ true
3: for every outgoing edge $(v, u)$ of $v$ do
4: $\quad$ if $u . i=\perp$ then recursive-DFS $(u)$
5: $\quad$ if onstack $[u]$ and $u . r<v . r$ then $v . r \leftarrow u . r$
6: if $v . r=v . i$ then
7: pop all vertices in stack after $v$, including $v$ itself
8: $\quad$ set onstack of these vertices to be false
9: declare that these vertices form an SCC

Running time of the algorithm is $O(n+m)$.

