## 算法设计与分析（2024年春季学期） <br> Network Flow

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## Outline

(1) Network Flow
(2) Ford-Fulkerson Method
(3) Correctness of Ford-Fulkerson's Method and Maximum Flow Minimum Cut Theorem
(4) Running Time of Ford-Fulkerson-Type Algorithm

- Shortest Augmenting Path Algorithm
- Capacity-Scaling Algorithm
(5) Bipartite Matching Problem

6 $s$ - $t$ Edge-Disjoint Paths Problem
(7) More Applications

## Flow Network

- Abstraction of fluid flowing through edges
- Digraph $G=(V, E)$ with source $s \in V$ and $\operatorname{sink} t \in V$
- No edges enter $s$
- No edges leave $t$
- Edge capacity $c_{e} \in \mathbb{R}_{>0}$ for every $e \in E$


Def. An $s$ - $t$ flow is a function $f: E \rightarrow \mathbb{R}$ such that

- for every $e \in E: 0 \leq f(e) \leq c_{e}$
(capacity conditions)
- for every $v \in V \backslash\{s, t\}$ :

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\sum_{e \in \delta_{\text {in }}(v)} f(e)=\sum_{e \in \delta_{\text {out }}(v)} f(e) . \quad \text { (conservation conditions) }
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The value of a flow $f$ is

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\operatorname{val}(f):=\sum_{e \in \delta_{\text {out }}(s)} f(e)
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## Maximum Flow Problem

Input: directed network $G=(V, E)$, capacity function $c: E \rightarrow \mathbb{R}_{>0}$, source $s \in V$ and sink $t \in V$
Output: an s-t flow $f$ in $G$ with the maximum $\operatorname{val}(f)$

## Maximum Flow Problem: Example



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- Find an augmenting path: a path from $s$ to $t$, where all edges have positive residual capacity
- Augment flow along the path as much as possible
- Repeat until we got stuck


## Greedy Algorithm: Example



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## Greedy Algorithm Does Not Always Give a

 Optimum Solution

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- the vertex set $V$,
- for every $e=(u, v) \in E$ with $f(e)<c_{e}$, a forward edge $e=(u, v)$, with residual capacity $c_{f}(e)=c_{e}-f(e)$,

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- for every $e=(u, v) \in E$ with $f(e)>0$, a backward edge $e^{\prime}=(v, u)$, with residual capacity $c_{f}\left(e^{\prime}\right)=f(e)$.

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Original graph $G$ and $f$


Residual Graph $G_{f}$

## Residual Graph: One More Example



## Agumenting Path

Augmenting the flow along a path $P$ from $s$ to $t$ in $G_{f}$
Augment $(P)$
1: $b \leftarrow \min _{e \in P} c_{f}(e)$
2: for every $(u, v) \in P$ do
3: if $(u, v)$ is a forward edge then
4: $\quad f(u, v) \leftarrow f(u, v)+b$
5: else

$$
f(v, u) \leftarrow f(v, u)-b
$$

7: return $f$

## Example for Augmenting Along a Path



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## Ford-Fulkerson's Method

Ford-Fulkerson $(G, s, t, c)$
1: let $f(e) \leftarrow 0$ for every $e$ in $G$
2: while there is a path from $s$ to $t$ in $G_{f}$ do
3: $\quad$ let $P$ be any simple path from $s$ to $t$ in $G_{f}$
4: $\quad f \leftarrow \operatorname{augment}(f, P)$
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Def. Given an $s$ - $t$ flow $f$ and an $s$ - $t$ cut $(S, T)$, the net flow sent from $S$ to $T$ is

$$
f(S, T):=\sum_{e=(u, v) \in E: u \in S, v \in T} f(e)-\sum_{e=(u, v) \in E: u \in T, v \in S} f(e) .
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\begin{aligned}
& c(S, T)=14+12=26 \\
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Obs. $f(S, T) \leq c(S, T) s$-t cut $(S, T)$.
Obs. $f(S, T)=\operatorname{val}(f)$ for any $s$ - $t$ flow $f$ and any $s-t$ cut $(S, T)$.


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We will prove
Main Lemma The flow $f$ found by the Ford-Fulkerson's Method satisfies

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Corollary and Main Lemma implies

## Maximum Flow Minimum Cut Theorem

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- For every $e=(u, v) \in E, u \in T, v \in S$, we have $f(e)=0$
- Thus,

$$
\begin{aligned}
& \operatorname{val}(f)=f(S, T)=\sum_{e=(u, v) \in E, u \in S, v \in T} f(e)-\sum_{e=(u, v) \in E, u \in T, v \in S} f(e)= \\
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Intuition:

- In every iteration, we increase the flow value by some amount
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- So the algorithm will finally reach the maximum value However, the algorithm may not terminate if some capacities are irrational numbers.
("Pathological cases")

Lemma Ford-Fulkerson's Method will terminate if all capacities are integers.

## Proof.

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- The maximum flow value is finite (not $\infty$ ).
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- Integers can be replaced by rational numbers.


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## Running time of the Generic Ford-Fulkerson's

## Algorithm

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- $O(m)$-time for Steps 3 and 4 in each iteration
- Total time $=O(m) \times$ number of iterations
- Assume all capacities are integers, then algorithm may run up to $\operatorname{val}\left(f^{*}\right)$ iterations, where $f^{*}$ is the optimum flow
- Total time $=O\left(m \cdot \operatorname{val}\left(f^{*}\right)\right)$
- Running time is "Pseudo-polynomial"


## The Upper Bound on Running Time Is Tight!



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Better choices for choosing augmentation paths:

- Choose the shortest augmentation path
- Choose the augmentation path with the largest bottleneck capacity


## Outline

## (1) Network Flow

(3) Ford-Fulkerson Method
(3) Correctness of Ford-Fulkerson's Method and Maximum Flow Minimum Cut Theorem
4. Running Time of Ford-Fulkerson-Type Algorithm

- Shortest Augmenting Path Algorithm
- Capacity-Scaling Algorithm
(5) Bipartite Matching Problem
(6) s-t Edge-Disjoint Paths Problem
(7) More Applications


## Shortest Augmenting Path

## shortest-augmenting-path $(G, s, t, c)$

1: let $f(e) \leftarrow 0$ for every $e$ in $G$
2: while there is a path from $s$ to $t$ in $G_{f}$ do
3: $\quad P \leftarrow$ breadth-first-search $\left(G_{f}, s, t\right)$
4: $\quad f \leftarrow \operatorname{augment}(f, P)$
5: return $f$
Due to [Dinitz 1970] and [Edmonds-Karp, 1970]

## Running Time of Shortest Augmenting Path Algorithm

Lemma 1. Throughout the algorithm, length of shortest path from $s$ to $t$ in $G_{f}$ never decreases.
2. After at most $m$ shortest path augmentations, the length of the shortest path from $s$ to $t$ in $G_{f}$ strictly increases.

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Theorem The shortest-augmenting-path algorithm runs in time $O\left(m^{2} n\right)$.

## Proof of Lemma: Focus on $G_{f}$



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- Assuming $t \in L_{k}$, shortest $s \rightarrow t$ path uses $k$ forth edges
- After augmenting along the path, back edges will be added to $G_{f}$
- One forth edge will be removed from $G_{f}$
- In $O(m)$ iterations, there will be no paths from $s$ to $t$ of length $k$ in $G_{f}$.


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- Dynamic Trees $\Rightarrow O(m n \log n)$ [Sleator-Tarjan 1983]


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## capacity-scaling $(G, s, t, c)$

1: let $f(e) \leftarrow 0$ for every $e$ in $G$
2: $\Delta \leftarrow$ largest power of 2 which is at most $C$
3: while $\Delta \geq 1$ do do
4: $\quad$ while there exists an augmenting path $P$ with bottleneck capacity at least $\Delta$ do

$$
5:
$$

$f \leftarrow \operatorname{augment}(f, P)$
6: $\quad \Delta \leftarrow \Delta / 2$
7: return $f$

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- Each augmentation increases the flow value by at least $\Delta$
- Thus, there are at most $2 m$ augmentations for $\Delta$-scale phase.

Theorem The number of augmentations in the scaling max-flow algorithm is at most $O(m \log C)$. The running time of the algorithm is $O\left(m^{2} \log C\right)$.

## Polynomial Time

Assume all capacities are integers between 1 and $C$.

| Ford-Fulkerson | $O\left(m^{2} C\right)$ | pseudo-polynomial |
| :---: | :---: | :---: |
| Capacity-scaling: | $O\left(m^{2} \log C\right)$ | weakly-polynomial |
| Shortest-Path-Augmenting: | $O\left(m^{2} n\right)$ | strongly-polynomial |

- Polynomial : weakly-polynomial and strongly-polynomial


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## Brief History

| Algorithm | Year | Time | Description |
| :---: | :---: | :---: | :--- |
| Ford-Fulkerson | 1956 | $O(m f)$ | Ford-Fulkerson Method. |
| Edmonds-Karp | 1972 | $O\left(n m^{2}\right)$ | Shortest Augmenting Paths |
| Dinic | 1970 | $O\left(n^{2} m\right)$ | SAP with blocking Flows |
| Goldberg-Tarjan | 1988 | $O\left(n^{3}\right)$ | Generic Push-Relabel |
| Goldberg-Tarjan | 1988 | $O\left(n^{2} \sqrt{m}\right)$ | PR using highest-label nodes |
| Chen et al. | 2022 | $O\left(m^{1+o(1)}\right)$ | LP-solver, dynamic algorithms |

- Chen et al. [Chen-Kyng-Liu-Peng-Gutenberg-Sachdeva, 2022].


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## Bipartite Graphs

Def. A graph $G=(V, E)$ is bipartite if the vertices $V$ can be partitioned into two subsets $L$ and $R$ such that every edge in $E$ is between a vertex in $L$ and a vertex in $R$.


Def. Given a bipartite graph $G=(L \cup R, E)$, a matching in $G$ is a set $M \subseteq E$ of edges such that every vertex in $V$ is an endpoint of at most one edge in $M$.

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- Create a digraph $G^{\prime}=\left(L \cup R \cup\{s, t\}, E^{\prime}\right)$ with capacity $c: E^{\prime} \rightarrow \mathbb{R}_{\geq 0}$ :
- Add a source $s$ and a sink $t$
- Add an edge from $s$ to each vertex $u \in L$ of capacity 1
- Add an edge from each vertex $v \in R$ to $t$ of capacity 1
- Direct all edges in $E$ from $L$ to $R$, and assign $\infty$ capacity (or capacity 1) to them


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- Hopcroft-Karp: $O\left(m n^{1 / 2}\right)$ time

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## Perfect Matching

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Hall's Theorem Let $G=(L \cup R, E)$ be a bipartite graph with $|L|=|R|$. Then $G$ has a perfect matching if and only if $|N(X)| \geq|X|$ for every $X \subseteq L$.

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Proof.
If $G$ has a perfect matching, then vertices matched to $X \subseteq N(X)$; thus $|N(X)| \geq|X|$.

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- No edges from $L_{s}$ to $R_{t}$, since their capacities are $\infty$


Hall's Theorem Let $G=(L \cup R, E)$ be a bipartite graph with $|L|=|R|$. Then $G$ has a perfect matching if and only if $|N(X)| \geq|X|$ for every $X \subseteq L$.

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- Contrapositive: if no perfect matching, then
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## Outline

(1) Network Flow
(2) Ford-Fulkerson Method
(3) Correctness of Ford-Fulkerson's Method and Maximum Flow Minimum Cut Theorem
(4) Running Time of Ford-Fulkerson-Type Algorithm

- Shortest Augmenting Path Algorithm
- Capacity-Scaling Algorithm
(3) Bipartite Matching Problem
(6) s-t Edge-Disjoint Paths Problem
(7) More Applications


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- Issue: both $e=(u, v)$ and $e^{\prime}=(v, u)$ are used
- Fix: if this happens we change $f(e)=f\left(e^{\prime}\right)=0$


## Menger's Theorem

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$s$ - $t$ connectivity measures how well $s$ and $t$ are connected.

## Global Min-Cut Problem

Input: a connected graph $G=(V, E)$
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## Solving Global Min-Cut Using Maximum Flow

1: let $G^{\prime}$ be the directed graph obtained from $G$ by replacing every edge with two anti-parallel edges
2: for every pair $s \neq t$ of vertices do
3: obtain the minimum cut separating $s$ and $t$ in $G$, by solving the maximum flow instance with graph $G^{\prime}$, source $s$ and sink $t$
4: output the smallest minimum cut we found

- Need to solve $\Theta\left(n^{2}\right)$ maximum flow instances


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- Need to solve $\Theta\left(n^{2}\right)$ maximum flow instances
- Can we do better?
- Yes. We can fix $s$. We only need to enumerate $t$


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## Extension of Network Flow: Circulation Problem

Input: A digraph $G=(V, E)$
capacities $c \in \mathbb{Z}_{\geq 0}^{E}$
supply vector $d \in \mathbb{Z}^{V}$ with $\sum_{v \in V} d_{v}=0$
Output: whether there exists $f: E \rightarrow \mathbb{Z}_{\geq 0}$ s.t.

$$
\begin{aligned}
\sum_{e \in \delta \mathrm{out}(v)} f(e)-\sum_{e \in \delta^{\text {in }}(v)} f(e)=d_{v} & \forall v \in V \\
0 \leq f(e) \leq c_{e} & \forall e \in E
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- $d_{v}$ denotes the net supply of a good
- $d_{v}>0$ : there is a supply of $d_{v}$ at $v$
- $d_{v}<0$ : there is a demand of $-d_{v}$ at $v$
- problem: whether we can match the supplies and demands without violating capacity constraints


## Example



## Example



## Example



Reduction


## Example



## Reduction



Reduction to maximum flow

- add a super-source $s$ and a super-sink $t$ to network
- for every $v \in V$ with $d_{v}>0$ : add edge $(s, v)$ of capacity $d_{v}$
- for every $v \in V$ with $d_{v}<0$ : add edge $(v, t)$ of capacity $-d_{v}$
- check if maximum flow has value $\sum_{v: d_{v}>0} d_{v}$
- $d(S):=\sum_{v \in S} d_{v}, \forall S \subseteq V$.
- $c(S, V \backslash S):=\sum_{(u, v) \in E: u \in S, v \notin S} c_{(u, v)}$.

Lemma The instance is feasible if and only if for every $S \subseteq V$, $d(S) \leq c(S, V \backslash S)$.

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- if for some $S \subseteq V, c(S, V \backslash S)<d(S)$, then the demand in $S$ can not be sent out of $S$.
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- if for some $S \subseteq V, c(S, V \backslash S)<d(S)$, then the demand in $S$ can not be sent out of $S$.
- It remains to consider the "if" direction


## Proof of "if" Direction

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- assume instance is infeasible: max-flow $<d(A)$
- $A:=\left\{v \in V: d_{v}>0\right\}$
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$$
\begin{aligned}
& d(T \cap A)+|d(S \cap B)|+c(S \backslash\{s\}, T \backslash\{t\})<d(A) \\
& d(T \cap A)-d(S \cap B)+c(S \backslash\{s\}, T \backslash\{t\})<d(A) \\
& c(S \backslash\{s\}, T \backslash\{t\})<d(S \cap A)+d(S \cap B)=d(S \backslash\{s\})
\end{aligned}
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$$

- Define $S^{\prime}=S \backslash\{s\}: d\left(S^{\prime}\right)>c\left(S^{\prime}, V \backslash S^{\prime}\right)$.


## Circulation Problem with Capacity Lower Bounds

Input: A digraph $G=(V, E)$
capacities $c \in \mathbb{Z}_{\geq 0}^{E}$
capacity lower bounds $l \in \mathbb{Z}{ }_{\geq 0}^{E}, 0 \leq l_{e} \leq c_{e}$
supply vector $d \in \mathbb{Z}^{V}$ with $\sum_{v \in V} d_{v}=0$
Output: whether there exists $f: E \rightarrow \mathbb{Z}_{\geq 0}$ s.t.

$$
\begin{aligned}
\sum_{e \in \delta_{\text {out }}(v)} f(e)-\sum_{e \in \delta \text { in }^{\prime}(v)} f(e)=d_{v} & \forall v \in V \\
l_{e} \leq f(e) \leq c_{e} & \forall e \in E
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## Removing Capacity Lower Bounds

$8 \xrightarrow{[2,5]} 5$

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handling $e=(u, v)$ with $l_{e}>0$

- $d_{u}^{\prime} \leftarrow d_{u}-l_{e}$
- $d_{v}^{\prime} \leftarrow d_{v}+l_{e}$
- $c_{e}^{\prime} \leftarrow c_{e}-l_{e}$
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## Removing Capacity Lower Bounds

$8 . \stackrel{[2,5]}{\longrightarrow} 5$ handling $e=(u, v)$ with $l_{e}>0$


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- $d_{v}^{\prime} \leftarrow d_{v}+l_{e}$
- $c_{e}^{\prime} \leftarrow c_{e}-l_{e}$
- $l_{e}^{\prime} \leftarrow 0$
- in old instance: flow is $f(e) \in\left[l_{e}, c_{e}\right] \Longrightarrow f(e)-l_{e} \in\left[0, c_{e}-l_{e}\right]$
- in new instance: flow is $f(e)-l_{e} \in\left[0, c_{e}^{\prime}\right]$


## Survey Design

Input: integers $n, k \geq 1$ and $E \subseteq[n] \times[k]$
integers $0 \leq c_{i} \leq c_{i}^{\prime}, \forall i \in[n]$ integers $0 \leq p_{j} \leq p_{j}^{\prime}, \forall j \in[k]$

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Output: $E^{\prime} \subseteq E$ s.t.

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\begin{aligned}
c_{i} & \leq\left|\left\{j \in[k]:(i, j) \in E^{\prime}\right\}\right| \leq c_{i}^{\prime}, & & \forall i \in[n] \\
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## Background

- $[n]$ : customers, [k]:products
- $i j \in E$ : customer $i$ purchased product $j$ and can do a survey
- every customer $i$ needs to do between $c_{i}$ and $c_{i}^{\prime}$ surveys
- every product $j$ needs to collect between $p_{j}$ and $p_{j}^{\prime}$ surveys


## Reduction to Circulation

- vertices $\{s, t\} \uplus[n] \uplus[k]$,
- $(i, j) \in E:(i, j)$ with bounds $[0,1]$
- $\forall i:(s, i)$ with bounds $\left[c_{i}, c_{i}^{\prime}\right]$
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- $\forall j:(j, t)$ with bounds $\left[p_{j}, p_{i}^{\prime}\right]$
- $(t, s)$ with bounds $[0, \infty]$



## Airline Scheduling

Input: a DAG $G=(V, E)$
Output: the minimum number of disjoint paths in $G$ to cover all vertices


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## Background

- vertex : a flight
- edge $(u, v)$ : an aircraft that serves $u$ can serve $v$ immediately
- goal: minimize the number of aircrafts


## Reduction to the Circulation Problem



## Reduction to the Circulation Problem

- split $v$ into $\left(v_{\text {in }}, v_{\text {out }}\right)$



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- set lower and upper bounds
- add $t \rightarrow s$ of capacity $k$



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- add $t$, and $\left(v_{\text {out }}, t\right), \forall v$
- set lower and upper bounds
- add $t \rightarrow s$ of capacity $k$
- find minimum $k$ s.t. instance is feasible



## Image Segmentation

Input: A graph $G=(V, E)$, with edge costs $c \in \mathbb{Z}_{\geq 0}^{E}$ two reward vectors $a, b \in \mathbb{Z}_{\geq 0}^{V}$

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Input: A graph $G=(V, E)$, with edge costs $c \in \mathbb{Z}_{\geq 0}^{E}$
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Output: a cut $(A, B)$ of $G$ so as to maximize

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\sum_{v \in A} a_{v}+\sum_{v \in B} b_{v}-\sum_{(u, v) \in E:|\{u, v\} \cap A|=1} c_{(u, v)}
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## Background

- $a_{v}$ : the likelihood of $v$ being a foreground pixel
- $b_{v}$ : the likelihood of $v$ being a background pixel
- $c_{(u, v)}$ : the penalty for separating $u$ and $v$
- need to maximize total reward - total penalty


## Reduction to Network

Flow


## Reduction to Network

## Flow

- replace $(u, v)$ with two anti-parallel arcs



## Reduction to Network

## Flow

- replace $(u, v)$ with two anti-parallel arcs
- add source $s$ and arcs $(s, v), \forall v$
- add sink $t$ and arcs
$(v, t), \forall v$



## Reduction to Network

## Flow

- replace $(u, v)$ with two anti-parallel arcs
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## Reduction to Network

## Flow

- replace $(u, v)$ with two anti-parallel arcs
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- set capacities

- The cut value of $(S=\{s\} \cup A,\{t\} \cup B)$ is

$$
\begin{aligned}
& \sum_{v \in B} a_{v}+\sum_{v \in A} b_{v}+\sum_{(u, v) \in E:|\{u, v\} \cap A|=1} c_{(u, v)} \\
= & \sum_{v \in V}\left(a_{v}+b_{v}\right)-\left(\sum_{v \in A} a_{v}+\sum_{v \in B} b_{v}-\sum_{(u, v) \in E:|\{u, v\} \cap A|=1} c_{(u, v)}\right)
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- So, maximizing the objective of $(A, B)$ is equivalent to minimizing the cut value.


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Input: A DAG $G=(V, E)$
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## Motivation

- Motivation: $(u, v) \in E: u$ is a prerequisite of $v$, to select $v$, we must select $u$
- Goal: maximize the revenue subject to the precedence constraint.


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## Motivation

- Motivation: $(u, v) \in E: u$ is a prerequisite of $v$, to select $v$, we must select $u$
- Goal: maximize the revenue subject to the precedence constraint.


Reduction


## Reduction

- add source $s$ and sink $t$
- $p_{v}<0:(s, v)$ of capacity $-p_{v}$
- $p_{v}>0:(v, t)$ of capacity $p_{v}$
- $L=\left\{v: p_{v}<0\right\}$
- $R=\left\{v: p_{v}>0\right\}$.



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- min-cut $(S=\{s\} \cup A, T=\{t\} \cup B)$


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- min-cut $(S=\{s\} \cup A, T=\{t\} \cup B)$
- no $\infty$-capacity edges from $A$ to $B$
- cut value is

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\begin{aligned}
& \sum_{v \in B \cap L}\left(-p_{v}\right)+\sum_{v \in A \cap R} p_{v}=-\sum_{v \in B \cap L} p_{v}-\sum_{v \in B \cap R} p_{v}+\sum_{v \in R} p_{v} \\
= & \sum_{v \in R} p_{v}-\sum_{v \in B} p_{v}
\end{aligned}
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- $B$ is a valid solution $\Longleftrightarrow c(S, T) \neq \infty$

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- $B$ is a valid solution $\Longleftrightarrow c(S, T) \neq \infty$
- when $B$ is valid, $c(S, T)=\sum_{v \in R} p_{v}-\sum_{v \in B} p_{v}$
- so, to maximize $\sum_{v \in B} p_{v}$, we need to minimize $c(S, T)$.

